FOSTER LEE B II

Form 4

September 04, 2012

#### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* FOSTER LEE B II

2. Issuer Name and Ticker or Trading

Symbol

WESTINGHOUSE AIR BRAKE

5. Relationship of Reporting Person(s) to

Issuer

below)

TECHNOLOGIES CORP [WAB]

(Check all applicable)

(First)

(Street)

3. Date of Earliest Transaction

(Month/Day/Year)

\_X\_\_ Director 10% Owner \_ Other (specify Officer (give title

1001 AIR BRAKE AVENUE 08/31/2012

(Middle)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

WILMERDING, PA 15148

| (City)                               | (State)                                 | (Zip) Tab   | ole I - Non-                           | Derivative               | Secu  | rities Acquir | ed, Disposed of,   | or Beneficiall   | y Owned   |
|--------------------------------------|---|---|--|--------------------------|-------|---------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | omr Dispos<br>(Instr. 3, | ed of |               | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock -<br>Direct          | 08/31/2012                              |   | M                                      | 2,000                    | A     | \$ 17.17      | 34,306   | D  |   |
| Common<br>Stock -<br>Direct          | 08/31/2012                              |   | M                                      | 4,000                    | A     | \$ 21.145     | 38,306   | D  |   |
| Common<br>Stock -<br>Direct          | 08/31/2012                              |   | M                                      | 4,000                    | A     | \$ 26.655     | 42,306   | D  |   |
| Common<br>Stock -                    | 08/31/2012                              |   | S                                      | 10,000                   | D     | \$<br>77.9936 | 32,306   | D  |   |

#### Direct

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | Secu<br>Acqu<br>(A) o<br>Disp<br>(D) | rities<br>nired<br>or<br>osed of<br>r. 3, 4, | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amour Underlying Securit (Instr. 3 and 4) |                                 |
|---|---|--------------------------------------|---|--|--------------------------------------|--|--|--------------------|--|---------------------------------|
|   |   |                                      |   | Code V                                 | (A)                                  | (D)  | Date<br>Exercisable                                      | Expiration<br>Date | Title  | Amo<br>or<br>Num<br>of<br>Share |
| Non-Qualified<br>Stock Option                       | \$ 17.17  | 08/31/2012                           |   | M                                      |                                      | 2,000  | 01/02/2007   | 01/02/2014         | Common<br>Stock  | 2,0                             |
| Non-Qualified<br>Stock Option                       | \$ 21.145   | 08/31/2012                           |   | M                                      |                                      | 4,000  | 01/03/2008   | 01/03/2015         | Common<br>Stock  | 4,0                             |
| Non-Qualified<br>Stock Option                       | \$ 26.655   | 08/31/2012                           |   | M                                      |                                      | 4,000  | 01/03/2009   | 01/03/2016         | Common<br>Stock  | 4,0                             |

## **Reporting Owners**

| Reporting Owner Name / Address           | Relationships |           |         |       |  |  |  |
|--|---------------|-----------|---------|-------|--|--|--|
| Troporting O Water Tumber Trade          | Director      | 10% Owner | Officer | Other |  |  |  |
| FOSTER LEE B II<br>1001 AIR BRAKE AVENUE | X             |           |         |       |  |  |  |
| WILMERDING, PA 15148                     |               |           |         |       |  |  |  |

# **Signatures**

David M. Seitz, POA for Lee B. 09/04/2012 Foster II Date \*\*Signature of Reporting Person

2 Reporting Owners

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.