Edgar Filing: FLUIDIGM CORP - Form 4

LUDICN COD

Common

Common

Stock

Stock

12/31/2013

12/31/2013

FLUIDIGN	ICORP								
Form 4									
January 03,	2014								
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION							OMB APPROVAL		
FUNI	VI 4 UNITED	STATES	SECU	RITIES A	ND EXCHANGE C	COMMISSION	OMB		
			Wa	shington,	D.C. 20549		Number:	3235-0287	
Check t				0 /			Expires:	January 31,	
if no longer STATEMENT OF			F CHAI	F CHANGES IN BENEFICIAL OWNERSHIP			Expires.	2005	
subject Section	10	SECURITIES					verage		
Form 4		SECONTIES					burden hour		
Form 5		Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934					response	0.5	
obligati									
may con				•	ling Company Act of		l		
See Inst	truction	30(n)	of the I	nvestment	Company Act of 194	0			
1(b).									
(Print or Type	e Responses)								
		- *						<i>.</i>	
1. Name and Address of Reporting Person <u>*</u> JONES ROBERT C					5. Relationship of Reporting Person(s) to Issuer				
			Symbol						
			FLUID	IGM COR	P [FLDM]	(Check	all applicable)	
(Last)	(First) ((Middle)	3. Date of	of Earliest Tra	ansaction	(Check	an applicable)	
				Day/Year)		Director	10%	Owner	
FLUIDIGM CORPORATION, 7000			12/31/2013			Different (give title Other (specify			
SHORELINE COURT, SUITE 100			12/31/2013			below) below)			
SHOKLER		11 100				EVP, RESEAR	CH & DEVEL	OPMENT	
(Street)			4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)			Applicable Line)			
						X Form filed by O			
SOUTH S.	AN					Form filed by Me Person	ore than One Rej	porting	
FRANCIS	CO, CA 94080					reison			
		(Zin)							
(City)	(State)	(Zip)	Tab	le I - Non-D	erivative Securities Acq	uired, Disposed of,	or Beneficial	ly Owned	
1.Title of	2. Transaction Date	e 2A. Deen	ned	3.	4. Securities Acquired (A	.) 5. Amount of	6.	7. Nature of	
Security	(Month/Day/Year)	Execution	ecution Date, if Transaction Disposed of (D)			Securities	Ownership	Indirect	
(Instr. 3)		any	2		Code (Instr. 3, 4 and 5)		Form:	Beneficial	
		(Month/D	ay/Year)	(Instr. 8)		Owned	Direct (D)	Ownership	
						Following	or Indirect	(Instr. 4)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SE information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Reported

Transaction(s)

(Instr. 3 and 4)

(A)

or

Α

D

\$

(2)

Price

38.3355 0

\$ 4.4461 3,500

Code V Amount (D)

3,500

3,500

(2)

Μ

S(1)

(I)

D

D

(Instr. 4)

SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 4.4461	12/31/2013		М	3,500	<u>(3)</u>	04/23/2018	Common Stock	3,500

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
JONES ROBERT C						
FLUIDIGM CORPORATION		EVP, RESEARCH &				
7000 SHORELINE COURT, SUITE 100		DEVELOPMENT				
SOUTH SAN FRANCISCO, CA 94080						
Signatures						
/s/ Valerie Barnett.						

/s/ Valerie Barnett,	
attorney-in-fact	01/03/2014
**Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported by Mr. Jones were effected pursuant to a Rule 10b5-1 trading plan adopted on March 13, 2013.

The "Amount" and "Price" reported in this Column 4 reflect the aggregate number and weighted-average price, respectively, of shares sold. These shares were sold in multiple transactions at prices ranging from \$38.01 to \$38.55 inclusive. The reporting person undertakes

- (2) sola. These shares were sola in maniple transactions at prees ranging non-\$55.07 to \$55.05 inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, full information regarding the number of shares sold at each separate price within the range set forth herein.
- (3) The shares subject to the Option fully vested on March 31, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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