#### Edgar Filing: WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP - Form 4

#### WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP

Form 4

February 26, 2014

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

0.5

January 31, Expires: 2005

**OMB APPROVAL** 

response...

Estimated average burden hours per

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* WESLEY TIMOTHY R

2. Issuer Name and Ticker or Trading

Symbol

WESTINGHOUSE AIR BRAKE

5. Relationship of Reporting Person(s) to

Issuer

TECHNOLOGIES CORP [WAB]

(Check all applicable)

(First)

(Street)

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

02/24/2014

Director 10% Owner \_X\_\_ Officer (give title Other (specify

below) VP - Investor Relations

1001 AIR BRAKE AVENUE

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

WILMERDING, PA 15148

| (City)                               | (State)                                 | (Zip) Tab   | le I - Non-I                           | Derivative                     | Secu                         | rities Acqu  | ired, Disposed of  | , or Beneficiall   | y Owned   |
|--------------------------------------|---|---|--|--------------------------------|------------------------------|--------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securion(A) or D (Instr. 3, | ispose<br>4 and<br>(A)<br>or | d of (D)     | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock -<br>Direct          | 02/24/2014                              |   | M                                      | 3,000                          | A                            | \$ 14.5      | 20,730   | D  |   |
| Common<br>Stock -<br>Direct          | 02/24/2014                              |   | M                                      | 1,200                          | A                            | \$<br>19.103 | 21,930   | D  |   |
| Common<br>Stock -<br>Direct          | 02/24/2014                              |   | M                                      | 1,126                          | A                            | \$<br>28.695 | 23,056   | D  |   |
| Common<br>Stock -                    | 02/24/2014                              |   | S                                      | 5,326                          | D                            | \$ 78.15     | 17,730 (1)   | D  |   |

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Direct

 $\begin{array}{c} \text{Common} \\ \text{Stock} \end{array} \hspace{3cm} 16,205.1 \hspace{1cm} \text{I} \hspace{3cm} \begin{array}{c} 401k \\ \text{Account} \end{array}$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | Secur<br>Acqu<br>(A) o<br>Dispo<br>(D) | orities uired or osed of r. 3, 4, | 6. Date Exercis<br>Expiration Dat<br>(Month/Day/Y | te                 | and 7. Title and A Underlying St (Instr. 3 and 4 |                                 |
|---|---|---|---|--|--|-----------------------------------|---|--------------------|--|---------------------------------|
|   |   |   |   | Code V                                 | (A)                                    | (D)                               | Date<br>Exercisable                               | Expiration<br>Date | Title  | Amo<br>or<br>Num<br>of<br>Share |
| Non-Qualified<br>Stock Option                       | \$ 14.5   | 02/24/2014                              |   | M                                      | (A)                                    | 3,000                             | 02/17/2013  | 02/17/2019         | Common<br>Stock                                  | 3,0                             |
| Non-Qualified<br>Stock Option                       | \$ 28.695   | 02/24/2014                              |   | M                                      |  | 1,126                             | 02/15/2015  | 02/15/2021         | Common<br>Stock                                  | 1,1                             |
| Non-Qualified<br>Stock Options                      | \$ 19.1025  | 02/24/2014                              |   | M                                      |  | 1,200                             | 03/01/2014  | 02/17/2020         | Common<br>Stock                                  | 1,2                             |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |           |       |  |  |  |
|--------------------------------|---------------|-----------|-----------|-------|--|--|--|
| • 5                            | Director      | 10% Owner | Officer   | Other |  |  |  |
| WESLEY TIMOTHY R               |               |           | VP -      |       |  |  |  |
| 1001 AIR BRAKE AVENUE          |               |           | Investor  |       |  |  |  |
| WILMERDING, PA 15148           |               |           | Relations |       |  |  |  |

## **Signatures**

David M. Seitz, POA for Timothy R. 02/26/2014 Wesley

\*\*Signature of Reporting Person Date

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On June 11, 2013, Wabtec Corporation (WAB) effected a 2-for-1 stock split in the form of a 100% stock dividend to shareholders of record on June 3, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.