FLUIDIGM CORP Form 4

March 18, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Smith William Maxwell

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(First)

FLUIDIGM CORP [FLDM]

(Check all applicable)

(Last)

(City)

(Middle)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

Director

10% Owner Other (specify

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

FLUIDIGM CORPORATION, 7000

03/14/2014

X_ Officer (give title below)

SHORELINE COURT, SUITE 100

EVP, LEGAL AFFAIRS & GC

(Street)

(State)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

SOUTH SAN FRANCISCO, CA 94080

		140	1011 1	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Secu	rics ricqu	irea, Disposea or	, or Denemenan	y O willed
1.Title of Security (Instr. 3)	any Co			Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)			Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	03/17/2014		M	1,431	A	\$ 2.422	1,431	D	
Common Stock	03/17/2014		M	1,569	A	\$ 3.3908	3,000	D	
Common Stock	03/17/2014		S(1)	3,000	D	\$ 47.76	0	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

SEC 1474 (9-02)

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displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number or Derivative Securities Acquired Disposed (Instr. 3, 4	e (A) or of (D)	6. Date Exer Expiration D (Month/Day)	ate	7. Title and A Underlying S (Instr. 3 and	Securitie
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Employee Stock Option (Right to Buy)	\$ 47.55	03/14/2014		A	14,000		(2)	03/14/2024	Common Stock	14,00
Restricted Stock Units	(3)	03/14/2014		A	5,600		<u>(4)</u>	<u>(4)</u>	Common Stock	5,60
Employee Stock Option (Right to Buy)	\$ 2.422	03/17/2014		M		1,431	<u>(5)</u>	04/18/2014	Common Stock	1,43
Employee Stock Option (Right to Buy)	\$ 3.3908	03/17/2014		M		1,569	<u>(6)</u>	01/17/2015	Common Stock	1,56

Reporting Owners

Reporting Owner Name / Address	Relationships						
reporting owner runner runners	Director	10% Owner	Officer	Other			
Smith William Maxwell FLUIDIGM CORPORATION 7000 SHORELINE COURT, SUITE 100 SOUTH SAN FRANCISCO, CA 94080			EVP, LEGAL AFFAIRS & GC				

Signatures

/s/ Valerie Barnett,	
attorney-in-fact	03/18/2014
**Signature of Reporting Person	Date

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported by Mr. Smith were effected pursuant to a Rule 10b5-1 trading plan adopted on November 27, 2013.
- (2) 1/48th of the shares subject to the Option vest monthly beginning January 1, 2014.
- (3) Each Restricted Stock Unit represents the contingent right to receive one share of FLDM common stock upon vesting of the unit.

 4/48th of the total number of shares underlying the Restricted Stock Units granted will vest on May 20, 2014, and 3/48th of the total
- (4) number of shares underlying the Restricted Stock Units granted will vest every three months thereafter until fully vested, subject to recipient's continued employment through the applicable vest date.
- (5) The shares subject to the Option fully vested on January 1, 2008.
- (6) The shares subject to the Option fully vested on January 18, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.