#### **COHEN & STEERS INC**

Form 4 June 12, 2015

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB APPROVAL OMB** 

Washington, D.C. 20549 Check this box

3235-0287 Number: January 31,

2005

if no longer subject to Section 16. Form 4 or Form 5

obligations

Expires: STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Estimated average

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

**SECURITIES** 

burden hours per response... 0.5

See Instruction

1(b).

(Print or Type Responses)

(Last)

Common

Stock

06/28/2012

09/27/2012

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading **COHEN MARTIN** Symbol

5. Relationship of Reporting Person(s) to

Issuer

COHEN & STEERS INC [CNS]

(Check all applicable)

280 PARK AVE, 10TH FLOOR

(First)

3. Date of Earliest Transaction

(Month/Day/Year)

\_X\_\_ Director \_X\_\_ 10% Owner \_\_Other (specify \_X\_\_ Officer (give title

04/13/2012

below) **Executive Chairman** 

(Street)

(Middle)

6. Individual or Joint/Group Filing(Check

Applicable Line)

4. If Amendment, Date Original Filed(Month/Day/Year)

> \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

\$ 0 11,513,341 (3) D

\$ 0 11,513,341 (3) D

NEW YORK, NY 10017

					1 CISOII		
(City)	(State) (Z	Zip) Table	I - Non-De	erivative Securities Acc	quired, Disposed o	of, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock					1,340,701	I	By the Martin Cohen 1998 Family Trust (1)
Common Stock	04/13/2012		A	576 (2) A \$ 0	11,513,341 (3)	D	

592 <sup>(2)</sup> A

657 (2) A

Α

A

### Edgar Filing: COHEN & STEERS INC - Form 4

Common Stock						
Common Stock	12/20/2012	A	8,057 (2) (4)	A 5	\$ 0	11,513,341 (3) D
Common Stock	04/12/2013	A	607 (2) A	A 5	\$ 0	11,513,341 (3) D
Common Stock	06/26/2013	A	690 <u>(2)</u> A	A 5	\$ 0	11,513,341 (3) D
Common Stock	09/27/2013	A	646 (2) A	A 5	\$ 0	11,513,341 (3) D
Common Stock	12/20/2013	A	4,596 (2) (4)	A 5	\$ 0	11,513,341 (3) D
Common Stock	04/11/2014	A	605 (2) A	A 5	\$ 0	11,513,341 (3) D
Common Stock	06/26/2014	A	561 (2) A	A 5	\$ 0	11,513,341 (3) D
Common Stock	09/26/2014	A	613 <u>(2)</u> A	A 5	\$ 0	11,513,341 (3) D
Common Stock	12/19/2014	A	4,056 (2) (4)	A 5	\$ 0	11,513,341 (3) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	
					4, and 5)	Date	Expiration		Amount		
				Code V	' (A) (D)	Exercisable	Date	Title	Number of Shares		

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

**COHEN MARTIN** 

280 PARK AVE, 10TH FLOOR X X Executive Chairman

NEW YORK, NY 10017

## **Signatures**

/s/ Francis C. Poli, Attorney-in-Fact 06/12/2015

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares held by the Martin Cohen 1998 Family Trust for the benefit of Mr. Cohen's family. A member of Mr. Cohen's immediate family (1) serves as trustee of the trust. Mr. Cohen disclaims beneficial ownership of these shares, and the filing of this Form 4 is not an admission that Mr. Cohen is the beneficial owner of these shares for purposes of Section 16 or for any other purpose.
- (2) Represents the acquisition of dividend equivalent restricted stock units in connection with the issuer's quarterly dividends for 2012-2014 and accrued on unvested restricted stock units.
  - This Form 4 is being filed to report dividend equivalent restricted stock units accrued to the Reporting Person which were not eligible for exemption from reporting under Rule 16a-11. All such dividend equivalent restricted stock units were previously included in the Amount
- (3) of Securities Beneficially Owned Following Reported Transaction column in each Form 4 filing subsequent to the respective accrual. The Amount of Securities Beneficially Owned Following Reported Transaction column in this filing represents the amount of securities beneficially owned by the Reporting Person at the date of filing.
- (4) Represents the acquisition of dividend equivalent restricted stock units in connection with the issuer's special dividends for 2012-2014 and accrued on unvested restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3