#### RPM INTERNATIONAL INC/DE/

Form 5 July 14, 2015

\$0.01 par

**OMB APPROVAL** FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer SULLIVAN FRANK C Symbol RPM INTERNATIONAL INC/DE/ (Check all applicable) [RPM] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) \_X\_ Director 10% Owner \_X\_\_ Officer (give title Other (specify (Month/Day/Year) below) below) 05/31/2015 Chairman and CEO 2628 PEARL ROAD, P.O. BOX 777 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) MEDINA. OHÂ 44258 \_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired 5. Amount 1.Title of 2. Transaction Date 2A. Deemed 6. 7. Nature of Indirect Security (Month/Day/Year) Execution Date, if Transaction (A) or Disposed of of Securities Ownership Beneficial (Instr. 3) Code (D) Beneficially Form: Ownership (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at Direct (D) (Instr. 4) end of or Indirect Issuer's (I) Fiscal Year (Instr. 4) (A) (Instr. 3 and or 4) Amount (D) Price Common Stock, Â Â 05/27/2015 G 2,000 D \$0 986,172 D \$0.01 par value Â Â Common 12/11/2014 G 5,200 D \$0 980,972 D Stock,

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| value                                   |            |   |    |        |   |      |                |   |   |
|---|------------|---|----|--------|---|------|----------------|---|---|
| Common<br>Stock,<br>\$0.01 par<br>value | 10/22/2014 | Â | G  | 12,000 | D | \$0  | 968,972        | D | Â   |
| Common<br>Stock,<br>\$0.01 par<br>value | 08/12/2014 | Â | G  | 2,500  | D | \$0  | 966,472        | D | Â   |
| Common<br>Stock,<br>\$0.01 par<br>value | 06/19/2014 | Â | G  | 1,000  | D | \$0  | 965,472        | D | Â   |
| Common<br>Stock,<br>\$0.01 par<br>value | 06/23/2013 | Â | G5 | 7,300  | A | \$0  | 972,772<br>(1) | D | Â   |
| Common<br>Stock,<br>\$0.01 par<br>value | Â          | Â | Â  | Â      | Â | Â    | 15,000         | I | By LLC  |
| Common<br>Stock,<br>\$0.01 par<br>value | 12/08/2014 | Â | G  | 400    | A | \$ 0 | 11,705         | I | By Thomas C.<br>and Sandra S.<br>Sullivan<br>Irrevocable<br>Grandchildren's<br>Trust dated May<br>8, 2006 |
| Common<br>Stock,<br>\$0.01 par<br>value | Â          | Â | Â  | Â      | Â | Â    | 9,630          | I | By Thomas C. Sullivan Irrevocable Trust FBO Frank C. Sullivan 10/26/12                                    |
| Common<br>Stock,<br>\$0.01 par<br>value | Â          | Â | Â  | Â      | Â | Â    | 3,000          | I | As custodian for son  |
| Common<br>Stock,<br>\$0.01 par<br>value | Â          | Â | Â  | Â      | Â | Â    | 3,000          | I | As custodian for son  |
| Common<br>Stock,<br>\$0.01 par          | Â          | Â | Â  | Â      | Â | Â    | 3,000          | I | As custodian for son  |

value

Common

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5.<br>Number of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and A Underlying S (Instr. 3 and 4 | ecurities                        |
|---|---|--------------------------------------|---|---|--|--|--------------------|---|----------------------------------|
|   |   |                                      |   |   | (A) (D)  | Date<br>Exercisable                                      | Expiration<br>Date | Title                                       | Amount or<br>Number of<br>Shares |
| Stock<br>Appreciation<br>Rights                     | Â   | Â                                    | Â   | Â                                       | Â  | (4)  | (4)                | Common<br>Stock                             | 1,700,000                        |

#### **Reporting Owners**

| Reporting Owner Name / Address  | Kelationships |           |                  |       |  |  |  |  |
|---|---------------|-----------|------------------|-------|--|--|--|--|
|   | Director      | 10% Owner | Officer          | Other |  |  |  |  |
| SULLIVAN FRANK C<br>2628 PEARL ROAD<br>P.O. BOX 777<br>MEDINA, OH 44258 | ÂX            | Â         | Chairman and CEO | Â     |  |  |  |  |

## **Signatures**

/s/ Frank C. Sullivan, by Gregory S. Harvey, his attorney-in-fact to Power of Attorney dated September 26, 2013 on file with the Commission

07/14/2015

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes an aggregate of 53,661 shares of Common Stock issued pursuant to the 1997 RPM International Inc. Restricted Stock Plan, as amended, 159,091 shares of Common Stock issued pursuant to the 2007 RPM International Inc. Restricted Stock Plan, 240,000 shares of

Reporting Owners 3

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Common Stock, issued as Performance Contingent Restricted Stock, pursuant to the RPM International Inc. 2004 Omnibus Equity and Incentive Plan, and 174,000 shares of Common Stock, issued as Performance Earned Restricted Stock, pursuant to the RPM International Inc. 2004 Omnibus Equity and Incentive Plan.

- (2) Approximate number of shares held as of May 31, 2015 in the account of reporting person by Wachovia Bank, N.A., as Trustee of the RPM International Inc. 401(k) Trust and Plan, as amended.
- (3) No transaction is being reported on this line. Reported on a previously filed Form 3, Form 4, or Form 5.
  - Stock Appreciation Rights granted pursuant to the RPM International Inc. 2004 Omnibus Equity and Incentive Plan in an exempt transaction under Rule 16b-3. These Stock Appreciation Rights yest in four equal installments commencing one year after the date of
- (4) transaction under Rule 16b-3. These Stock Appreciation Rights vest in four equal installments commencing one year after the date of grant. These Stock Appreciation Rights were granted between 2007 and 2014 and expire 10 years from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.