MINDBODY, Inc. Form 4 January 07, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Murphy Robert John

(First)

(Middle)

2. Issuer Name and Ticker or Trading

Symbol

MINDBODY, Inc. [MB]

3. Date of Earliest Transaction

(Month/Day/Year) 4051 BROAD STREET, SUITE 220 01/05/2016

\_X\_ Director X\_ Officer (give title below)

Issuer

\_X\_\_ 10% Owner \_\_ Other (specify

Chief Operating Officer

5. Relationship of Reporting Person(s) to

(Check all applicable)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### SAN LUIS OBISPO, CA 93401

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. 7. Nature of Ownership Indirect Form: Beneficial Direct (D) Ownership or Indirect (I) (Instr. 4)			
Class A Common Stock	01/05/2016		C(1)	12,600	, í	\$ 0	12,600	D		
Class A Common Stock	01/05/2016		S(2)	12,600	D	\$ 14.7506 (3)	0	D		
Class A Common Stock	01/05/2016		C <u>(1)</u>	2,400	A	\$ 0	2,400	I	See footnote (4)	
Class A Common	01/05/2016		S(2)	2,400	D	\$ 14.7506	0	I	See footnote	

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Stock					(3)			<u>(4)</u>
Class A Common Stock	01/06/2016	C <u>(1)</u>	16,400	A	\$ 0	16,400	D	
Class A Common Stock	01/06/2016	S(2)	16,400	D	\$ 15.2542 (5)	0	D	
Class A Common Stock	01/06/2016	C <u>(1)</u>	3,200	A	\$ 0	3,200	I	See footnote (4)
Class A Common Stock	01/06/2016	S(2)	3,200	D	\$ 15.2542 (5)	0	I	See footnote (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. P. Deri Secu (Ins
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	<u>(6)</u>	01/05/2016		C		12,600	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	12,600	
Class B Common Stock	<u>(6)</u>	01/05/2016		C		2,400	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	2,400	
Class B Common Stock	<u>(6)</u>	01/06/2016		C		16,400	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	16,400	
Class B Common Stock	<u>(6)</u>	01/06/2016		C		3,200	<u>(6)</u>	<u>(6)</u>	Class A Common	3,200	

 Class B
 Class A

 Common 60
 (6)
 Common 13,750

 Stock
 Stock

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Murphy Robert John
4051 BROAD STREET
SUITE 220

SAN LUIS OBISPO, CA 93401

Relationships

Other

# **Signatures**

/s/ Kimberly G. Lytikainen,
Attorney-in-Fact

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of Class A Common Stock was issued upon the conversion of one share of Class B Common Stock at the election of Reporting Person.
- (2) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$13.76 (3) to \$15.28, inclusive. Upon request by the Commission staff, the Issuer, or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.
- (4) The shares are held of record by the Robert John Murphy Family Trust, for which the Reporting Person's spouse serves as trustee.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$14.62 (5) to \$15.43, inclusive. Upon request by the Commission staff, the Issuer, or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.
- (6) Each share of Class B Common Stock is convertible into one share of Class A Common Stock at the option of the holder and has no expiration date.
- (7) The shares are held of record by the Reporting Person's spouse.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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