JAKKS PACIFIC INC

Form 4 July 12, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

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if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading SOON-SHIONG PATRICK Issuer Symbol JAKKS PACIFIC INC [JAKK] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction _ 10% Owner (Month/Day/Year) Director _ Other (specify Officer (give title 9922 JEFFERSON BOULEVARD 07/08/2016 below) (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) Form filed by One Reporting Person _X_ Form filed by More than One Reporting

CULVER CITY, CA 90232

| (City) | (State) | (Zip) Tab | le I - Non- | Derivative | Secur | ities Acq | uired, Disposed o | of, or Beneficia | ally Owned |
|--------------------------------------|---|---|---|------------|------------------|---|---|--|------------------------------------|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | | 5. Amount of Securities Beneficially Owned | 6. Ownership Form: Direct (D) | 7. Nature of Indirect Beneficial Ownership | |
| | | | Code V | Amount | (A) or (D) | Price | Following Reported Transaction(s) (Instr. 3 and 4) | or Indirect (I) (Instr. 4) | (Instr. 4) |
| Common Stock | | | | | | | 239,622 | D | |
| Common Stock | 07/08/2016 | | S | 11,903 | D | \$ 8.441 (1) | 2,576,254 | I | Through California Capital Z |
| Common Stock | 07/11/2016 | | S | 9,906 | D | \$ 8.418 (3) | 2,566,348 | I | Through California Capital Z |

Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Stock

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exercis | able and | 7. Title and A | Amount of | |
|-------------|-------------|---------------------|--------------------|-------------------|------------|---------------------|--------------------|-----------------------|----------------------------------|---|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | TransactionNumber | | Expiration Date | | Underlying Securities | | |
| Security | or Exercise | | any | Code | of | (Month/Day/Y | ear) | (Instr. 3 and | 4) | į |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivative | 2 | | | | |
| | Derivative | | | | Securities | | | | | |
| | Security | | | | Acquired | | | | | |
| | · | | | | (A) or | | | | | |
| | | | | | Disposed | | | | | |
| | | | | | of (D) | | | | | |
| | | | | | (Instr. 3, | | | | | |
| | | | | | 4, and 5) | | | | | |
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Warrant | \$ 16.2823 | | | | | 09/12/2012 | 09/12/2017 | Common | 1,500,000 | |

Reporting Owners

| Reporting Owner Name / Address | Kelationships | | | | | |
|--|---------------|-----------|---------|-------|--|--|
| . 9 | Director | 10% Owner | Officer | Other | | |
| SOON-SHIONG PATRICK 9922 JEFFERSON BOULEVARD CULVER CITY, CA 90232 | | X | | | | |
| California Capital Z, LLC 9922 JEFFERSON BOULEVARD CULVER CITY, CA 90232 | | X | | | | |

Signatures

| /s/ Patrick Soon-Shiong | 07/12/2016 | | |
|---|------------|--|--|
| **Signature of Reporting Person | Date | | |
| /s/ Charles Kenworthy, Manager of California Capital Z, | | | |
| LLC | 07/12/2016 | | |
| **Signature of Reporting Person | Date | | |

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Deletionships

Reporting Owners 2

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- These shares of common stock were sold on the open market. The price reported in Column 4 is a weighted average price per share. These shares were sold in multiple transactions at prices ranging from \$8.40 to \$8.50, inclusive. Each of Dr. Patrick Soon-Shiong and
- (1) California Capital Z, LLC ("California Capital Z") undertakes to provide to JAKKS Pacific, Inc. (the "Company"), any securityholder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the price at which these shares were sold.
- (2) Dr. Patrick Soon-Shiong is the sole member of California Capital Z.
 - These shares of common stock were sold on the open market. The price reported in Column 4 is a weighted average price per share. These shares were sold in multiple transactions at prices ranging from \$8.40 to \$8.45, inclusive. Each of Dr. Patrick Soon-Shiong and
- California Capital Z undertakes to provide to the Company, any securityholder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the price at which these shares were sold.
- (4) California Capital Equity, LLC is the sole member of NantWorks LLC. Dr. Patrick Soon-Shiong is the sole member of California Capital Equity, LLC.

Remarks:

This Form 4 shall not be deemed to be an admission by any reporting person hereunder that it or he is the beneficial owner, for Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.