Edgar Filing: EAGLE PHARMACEUTICALS, INC. - Form 4

EAGLE PHARMACEUTICALS, INC. Form 4 January 04, 2017 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Krill Steven L. Issuer Symbol EAGLE PHARMACEUTICALS, (Check all applicable) INC. [EGRX] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X_Officer (give title Other (specify (Month/Day/Year) below) below) C/O EAGLE 12/15/2016 EVP & Chief Scientific Officer PHARMACEUTICALS, INC., 50 TICE BLVD., SUITE 315 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting WOODCLIFF LAKE, NJ 07677 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature of 6. Transaction Disposed of (D) Indirect Security (Month/Day/Year) Execution Date, if Securities Ownership (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial anv (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (\mathbf{I}) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V (D) Price Amount Common 12/15/2016 Α \$4.42 3,730 D Μ 3,730 Stock Common 12/15/2016 S 3,730 D D 0 74.7896 Stock Common 12/15/2016 Μ D 6,270 \$ 12.67 6,270 Α Stock Common 12/15/2016 S 6,270 D 0 D 74.7896 Stock 12/19/2016 Μ 2,683 \$ 12.67 2,683 D Α

Common

Stock

Common Stock	12/19/2016	S	2,683	D	\$ 75.6066	0	D
Common Stock	12/19/2016	М	7,317	А	\$ 12.63	7,317	D
Common Stock	12/19/2016	S	7,317	D	\$ 75.6066	0	D
Common Stock	12/20/2016	М	10,000	A	\$ 12.63	10,000	D
Common Stock	12/20/2016	S	10,000	D	\$ 76.6876	0	D
Common Stock	12/21/2016	М	2,683	А	\$ 12.63	2,683	D
Common Stock	12/21/2016	S	2,683	D	\$ 77.1747	0	D
Common Stock	12/21/2016	М	7,569	А	\$ 46.09	7,569	D
Common Stock	12/21/2016	S	7,569	D	\$ 77.1747	0	D
Common Stock	12/22/2016	М	5,126	А	\$ 46.09	5,126	D
Common Stock	12/22/2016	S	5,126	D	\$ 76.7794	0	D
Common Stock	12/23/2016	М	5,127	А	\$ 46.09	5,127	D
Common Stock	12/23/2016	S	5,127	D	\$ 78.6069	0	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of	8
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orDerivative	Expiration Date	Underlying Securities	D
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	S
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)			(]
	Derivative				or Disposed of			
	Security				(D)			
					(Instr. 3, 4,			

Edgar Filing: EAGLE PHARMACEUTICALS, INC. - Form 4

	and 5)							
			Code V (A	.) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 4.42	12/15/2016	М	3,730	<u>(1)</u>	04/18/2023	Common Stock	3,730
Stock Option (right to buy)	\$ 12.67	12/15/2016	М	6,270	(2)	04/07/2024	Common Stock	6,270
Stock Option (right to buy)	\$ 12.67	12/19/2016	М	2,683	(2)	04/07/2024	Common Stock	2,683
Stock Option (right to buy)	\$ 12.63	12/19/2016	М	7,317	<u>(3)</u>	08/25/2024	Common Stock	7,317
Stock Option (right to buy)	\$ 12.63	12/20/2016	М	10,000	<u>(3)</u>	08/25/2024	Common Stock	10,000
Stock Option (right to buy)	\$ 12.63	12/21/2016	М	2,683	<u>(3)</u>	08/25/2024	Common Stock	2,683
Stock Option (right to buy)	\$ 46.09	12/21/2016	М	7,569	<u>(4)</u>	03/12/2025	Common Stock	7,569
Stock Option (right to buy)	\$ 46.09	12/22/2016	М	5,126	<u>(4)</u>	03/12/2025	Common Stock	5,126
Stock Option (right to buy)	\$ 46.09	12/23/2016	М	5,127	(4)	03/12/2025	Common Stock	5,127

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

Other

Krill Steven L. C/O EAGLE PHARMACEUTICALS, INC. 50 TICE BLVD., SUITE 315 WOODCLIFF LAKE, NJ 07677

EVP & Chief Scientific Officer

Signatures

/s/ Scott Tarriff, Attorney-in-Fact

01/04/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 25% of the shares subject to the option vested on April 19, 2014 and the remainder shall vest in equal monthly installments over a period of three years.
- (2) 25% of the shares subject to the option vested on April 8, 2015 and the remainder shall vest in equal monthly installments over a period of three years.
- (3) 25% of the shares subject to the option vested on August 26, 2015 and the remainder shall vest in equal monthly installments over a period of three years.
- (4) 25% of the shares subject to the option vested on March 13, 2016 and the remainder shall vest in equal monthly installments over a period of three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.