American Water Works Company, Inc.

Form 4

January 27, 2017

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

0.5

Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

1(b).

Stock

Stock

Common

01/25/2017

(Print or Type Responses)

1. Name and A Holdnak Bro	address of Reporting Person $\frac{1}{2}$ enda $J$	2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer				
		American Water Works Company Inc. [AWK]	(Check all applicable)				
(Last)	(First) (Middle)	3. Date of Earliest Transaction (Month/Day/Year) 01/25/2017	Director 10% Owner X Officer (give title Other (specify below)				
	(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)	SVP of Human Resources  6. Individual or Joint/Group Filing(Check Applicable Line)				
VOORHEE	S, NJ 08043	· · · · · · · · · · · · · · · · · · ·	_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State) (Zip)	Table I - Non-Derivative Securities	Acquired, Disposed of, or Beneficially Owned				
1.Title of Security (Instr. 3)	any	tion Date, if Transaction(A) or Disposed of Code (Instr. 3, 4 and 5) th/Day/Year) (Instr. 8)  (A) or					
Common Stock	01/25/2017	A 5,380 A \$ 0	O 7,128 (1) D				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

F

1,083

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

D

6,045

### Edgar Filing: American Water Works Company, Inc. - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exerc		7. Titl	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	onNumber	Expiration D	ate	Amou	int of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
									Amount		
						Date Expiration Exercisable Date	•	Title	or Number		
				C 1 W	(A) (D)			of			
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Holdnak Brenda J 1025 LAUREL OAK ROAD VOORHEES, NJ 08043

**SVP** of Human Resources

### **Signatures**

/s/ Jeffrey M. Taylor, as attorney-in-fact for Brenda J. Holdnak

01/27/2017

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 153 shares purchased under the American Water Works Company, Inc. Employee Stock Purchase Plan (including 66 shares that were inadvertently omitted from the reporting person's Form 4 filed on January 25, 2016).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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