

UNIVERSAL ELECTRONICS INC
 Form 3/A
 February 10, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
 Expires: January 31, 2015
 Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|--|--|---|--|--|---|
| 1. Name and Address of Reporting Person * Å KOOPMANS MENNO (Last) (First) (Middle) 201 EAST SANDPOINTE, Å 8TH FLOOR (Street) SANTA ANA, Å CA Å 92707-6708 (City) (State) (Zip) | 2. Date of Event Requiring Statement (Month/Day/Year) 01/01/2017 | 3. Issuer Name and Ticker or Trading Symbol UNIVERSAL ELECTRONICS INC [UEIC] | 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) Sr. Vice President | 5. If Amendment, Date Original Filed(Month/Day/Year) 02/09/2017 | 6. Individual or Joint/Group Filing(Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person |
|--|--|---|--|--|---|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 1,217 | D | Å |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) Title | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|---|--|---|--|
|---|---|---|--|---|--|

Edgar Filing: UNIVERSAL ELECTRONICS INC - Form 3/A

| | Date Exercisable | Expiration Date | | Amount or Number of Shares | \$ | or Indirect (I) (Instr. 5) | |
|------------------------|------------------|-----------------|--------------|----------------------------|--------|----------------------------|---|
| Restricted Stock Units | Â (1) | Â (2) | Common Stock | 840 (3) | \$ (4) | D | Â |
| Restricted Stock Units | Â (5) | Â (2) | Common Stock | 1,680 (6) | \$ (4) | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|----------------------|-------|
| | Director | 10% Owner | Officer | Other |
| KOOPMANS MENNO 201 EAST SANDPOINTE 8TH FLOOR SANTA ANA, CA 92707-6708 | Â | Â | Â Sr. Vice President | Â |

Signatures

/s/Menno Koopmans by Valerie J. Ballard, pursuant to Limited Power of Attorney dated February 3, 2017 (attached)

02/10/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person received a grant of 2520 restricted stock units on December 19, 2014, that vest over a 3 year ratable annual vesting period which began on December 19, 2015.
- (2) Not Applicable
- (3) This is the number of restricted stock units outstanding on the the RSU Grant dated December 19, 2014.
- (4) Each restricted stock unit represents a contingent right to receive one share of UEI common stock.
- (5) The reporting person received a grant of 2520 restricted stock units on December 22, 2015, that vest over a 3 year ratable annual vesting period which began on December 22, 2016.
- (6) This is the number of restricted stock units outstanding on the RSU Grant dated December 22, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.