**DOLUCA TUNC** 

Form 4 September 06, 2018

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

**DOLUCA TUNC** 

160 RIO ROBLES

2. Issuer Name and Ticker or Trading

Symbol

**MAXIM INTEGRATED** PRODUCTS INC [MXIM]

3. Date of Earliest Transaction

(Month/Day/Year)

(First) (Middle)

(Street)

4. If Amendment, Date Original

09/04/2018

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

\_X\_ Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify

PRESIDENT, CEO & DIRECTOR

below)

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

Person

SAN JOSE, CA 95134

(City)	(State)	Zip) Table	e I - Non-D	erivative S	Securit	ies Acq	quired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securit on(A) or Dis (D) (Instr. 3,	sposed 4 and 3	of	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	09/04/2018		A	5,000 (1)	A	\$0	129,584 (2) (3)	D	
Common Stock	09/04/2018		A	5,000 (4)	A	\$0	134,584 (2)	D	
Common Stock	09/04/2018		A	5,000 (5)	A	\$0	139,584 (2)	D	
Common Stock	09/04/2018		A	35,000 (6)	A	\$0	174,584 (2)	D	
Common Stock							1,186,496 (3)	I	By Trust

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. Number o ionDerivative Securities Acquired (A or Disposed (D) (Instr. 3, 4, and 5)	Expiration I (Month/Day	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	/ (A) (I	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Performance Shares	\$ 0	09/04/2018		A	56,000 (7)	(8)	(8)	Common Stock	56,000	

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

DOLUCA TUNC 160 RIO ROBLES SAN JOSE, CA 95134

X

PRESIDENT, CEO & DIRECTOR

## **Signatures**

BY MARK CASPER FOR TUNC

DOLUCA 09/05/2018

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Represents Restricted Stock Units that vest over four quarters on February 15, 2019, May 15, 2019, August 15, 2019, and November 15,
- (1) 2019, subject to the individual's continuous status as an employee through such dates but continue to vest post-employment at the Company if the Reporting Person satisfies specific eligibility requirements.
- (2) Represents unvested Restricted Stock Units and Common Stock.
- (3) Includes the transfer of 6,537 shares from direct holdings to indirect holdings.
  - Represents Restricted Stock Units that vest over four quarters on February 15, 2020, May 15, 2020, August 15, 2020, and November 15,
- (4) 2020, subject to the individual's continuous status as an employee through such dates but continue to vest post-employment at the Company if the Reporting Person satisfies specific eligibility requirements.

**(5)** 

Reporting Owners 2

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Represents Restricted Stock Units that vest over four quarters on February 15, 2021, May 15, 2021, August 15, 2021, and November 15, 2021, subject to the individual's continuous status as an employee through such dates but continue to vest post-employment at the Company if the Reporting Person satisfies specific eligibility requirements.

- Represents Restricted Stock Units that vest over four quarters on February 15, 2022, May 15, 2022, August 15, 2022, and November 15, 2022, subject to the individual's continuous status as an employee through such dates but continue to vest post-employment at the Company if the Reporting Person satisfies specific eligibility requirements.
- (7) Represents the target number of Performance Shares that will be earned, if at all, based on the achievement of certain pre-established performance goals. Each Performance Share Unit represents a contingent right to receive one share of MXIM common stock.
- (8) Vesting of the Performance Share Units will be on 8/15/2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.