Edgar Filing: Seren Capital, Ltd. - Form 4

Seren Capita Form 4 December 20												
										OMB APPROVAL		
	UNITED	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section										
Check thi if no long subject to Section 1 Form 4 o Form 5 obligation may cont <i>See</i> Instru 1(b).	6. Filed pur Section 17(
(Print or Type F	Responses)											
Seren Capital, Ltd. Syn				Name and	I Ticker or ' C [RP]	Tradin	Ig	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last)	(First) (N							(Check				
2201 LAKE		Month/D 12/18/2(-				Director X 10% Owner Officer (give title Other (specify below)					
				ndment, Da th/Day/Year	ate Original			6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person				
RICHARDS	SON, TX 75082							Form filed by M Person	ore than One Re	porting		
(City)	(State)	(Zip)	Table	e I - Non-I	Derivative S	Securi	ties Acqu	uired, Disposed of	or Beneficial	y Owned		
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		Date, if	3. 4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or			of (D)	5. Amount of Securities6.BeneficiallyForm: Direct Form: Direct Owned(D) orFollowingIndirect (I)Reported(Instr. 4)Transaction(s) (Instr. 3 and 4)(Instr. 4)		7. Nature of Indirect t Beneficial Ownership (Instr. 4)		
				Code V	Amount	(D)	Price \$	``````````````````````````````````````				
Common Stock	12/18/2018			S	97,900 (1)	D	46.62	14,056,687	D			
Common Stock	12/18/2018			S	2,100 (1)	D	\$ 47.64 (<u>3)</u>	14,054,587	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationsh					
	Director	10% Owner	Officer	Other			
Seren Capital, Ltd. 2201 LAKESIDE BLVD. RICHARDSON, TX 75082		Х					
Signatures							
/s/ Seren Capital Ltd., By: Seren Capital Management, L.L.C., Its General Partner, By: Stephen T. Winn, Sole Manager and President							
	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 14, 2018.

The price reported is a weighted average sale price. The sale price ranged from \$46.21 to \$47.20. Reporting Person provided Issuer full
 (2) information regarding the number of shares sold at each separate price. Issuer will provide, upon receipt of a request, a copy of this information to the SEC staff or a security holder of the issuer.

The price reported is a weighted average sale price. The sale prices ranged from \$47.26 to \$47.71. Reporting Person provided Issuer
 (3) full information regarding the number of shares sold at each separate price. Issuer will provide, upon receipt of a request, a copy of this information to the SEC staff or a security holder of the issuer.

Remarks:

Stephen T. Winn serves on the Issuer's board of directors and is expected to continue to serve until his successor is appointed a

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.