Edgar Filing: Ben-Natan Nimrod - Form 4

Ben-Natan N Form 4											
February 20, FORN		STATES	SECUR	ITIES A	ND EXC	HAN	IGE (COMMISSION	OMB AI	PPROVAL	
				hington,					Number:	3235-0287	
Check thi if no long subject to Section 1 Form 4 o Form 5	F CHANGES IN BENEFICIAL OWNERSHIP O SECURITIES Section 16(a) of the Securities Exchange Act of 1934						Expires: January 31 2009 Estimated average burden hours per response 0.9				
obligation may cont <i>See</i> Instru 1(b).	¹⁸ Section 17(action	a) of the	Public Ut		ing Com	pany	Act of	f 1935 or Section	n		
(Print or Type F	(esponses)										
1. Name and Address of Reporting Person <u>*</u> Ben-Natan Nimrod			2. Issuer Name and Ticker or Trading Symbol HARMONIC INC [HLIT]				2	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (I	Middle)	3. Date of Earliest Transaction (Check				k all applicable)				
4300 NORTH FIRST STREET 02/15/2 (Street) 4. If Ame			(Month/Day/Year) 02/15/2019					Director 10% Owner X Officer (give title Other (specify below) Dther (specify below) SVP & GM, Cable Access 6. Individual or Joint/Group Filing(Check Applicable Line) X_Form filed by One Reporting Person			
				Amendment, Date Original Month/Day/Year)							
SAN JOSE,	CA 95134							Form filed by M Person	lore than One Re	eporting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed of	, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	any		med on Date, if Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)		Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
				Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
Common Stock	02/15/2019			М	7,000	А	\$0	210,452	D		
Common Stock	02/15/2019			М	40,000	А	\$0	250,452	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: Ben-Natan Nimrod - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Restricted Stock Units	\$ 0	02/15/2019		М	7,000	02/15/2018(1)	02/15/2020	Common Stock	7,00
Restricted Stock Units	\$ 0	02/15/2019		М	40,000	02/15/2019 <u>(2)</u>	02/15/2021	Common Stock	40,0

Reporting Owners

Reporting Owner Name / Address			Relationships	
I State and a state	Director 10% Owner		Officer	Other
Ben-Natan Nimrod 4300 NORTH FIRST STREET SAN JOSE, CA 95134			SVP & GM, Cable Access	
Signatures				
/s/ Laura Donovan By Attorney- Donovan	in-Fact: I	Laura	02/20/2019	

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares of common stock were acquired upon the vesting of restricted stock units on 2/15/2019. These restricted stock units were initially granted to the Reporting Person on 3/20/2017, and were identified on a Form 4 filed by the Reporting Person on 3/22/2017.

These shares of common stock were acquired upon the vesting of restricted stock units on February 15, 2019. These restricted stock units

Date

(2) were initially granted to the Reporting Person on March 20, 2018, and were identified on a Form 4 filed by the Reporting Person on March 22, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.