

Arbour Paola M  
Form 3  
March 11, 2019

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Arbour Paola M  
(Last) (First) (Middle)

1445 ROSS AVENUE, SUITE  
1400

(Street)

DALLAS, TX 75202

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)  
03/01/2019

3. Issuer Name and Ticker or Trading Symbol  
TENET HEALTHCARE CORP [THC]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer \_\_\_\_ Other  
(give title below) (specify below)  
EVP, Chief Information Officer

5. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)  
\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities Beneficially Owned  
(Instr. 4)

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and Expiration Date  
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)

Title Amount or Number of Shares

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:  
Direct (D)  
or Indirect (I)

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

(Instr. 5)

2018 May Restricted Stock Units	Â <u>(1)</u>	Â <u>(1)</u>	Common Stock <u>(2)</u>	7,527	\$ <u>(2)</u>	D	Â
2018 May Performance Stock Options (Right to Buy)	Â <u>(3)</u>	05/31/2028	Common Stock	17,205	\$ 35.43	D	Â
2019 February Restricted Stock Units	Â <u>(4)</u>	Â <u>(4)</u>	Common Stock <u>(2)</u>	9,437	\$ <u>(2)</u>	D	Â
2019 February Performance Stock Options (Right to Buy)	Â <u>(5)</u>	02/27/2029	Common Stock	21,351	\$ 28.26	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Arbour Paola M 1445 ROSS AVENUE SUITE 1400 DALLAS, TX 75202	Â	Â	Â EVP, Chief Information Officer	Â

## Signatures

Anthony L. Shoemaker, as Attorney-in-Fact for Paola M.  
Arbour

03/11/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) One-third of these time-based restricted stock units vest on each of May 31, 2019, 2020 and 2021.

(2) Restricted stock units are settled in shares of the Company's common stock upon vesting.

These performance-based stock options vest on May 31, 2021, subject to the satisfaction of a stock price performance condition requiring

(3) the Company's common stock price to close at or above \$44.29 per share for any 20 consecutive trading days during the three-year period following the date of grant, which was May 31, 2018.

(4) One-third of these time-based restricted stock units vest on each of February 27, 2020, 2021 and 2022.

These performance-based stock options vest on February 27, 2022, subject to the satisfaction of a stock price performance condition

(5) requiring the Company's common stock price to close at or above \$35.33 per share for any 20 consecutive trading days during the three-year period following the date of grant, which was February 27, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.