PAUTLER PAUL F Form 4

October 03, 2007

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * PAUTLER PAUL F			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
			EDWARDS A G INC [AGE]	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				
			(Month/Day/Year)	Director 10% Owner			
ONE NORTH JEFFERSON AVENUE			10/01/2007	_X_ Officer (give title Other (special below)			
AVENUE				Subsidiary Officer			
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)	Applicable Line)			
				_X_ Form filed by One Reporting Person			
ST LOUIS MO 62102				Form filed by More than One Reporting			

#### ST. LOUIS, MO 63103

(City)	(State) (	Zip) Table	e I - Non-D	erivative S	ecurities A	cquired, Disposed	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securiti on(A) or Dis (D) (Instr. 3, 4	•	Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(D) Pric	e (Instr. 3 and 4)		
common stock	10/01/2007		D	70,732	D <u>ш</u>	0	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Person

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	orof D Secu Acq (A) Disp (D)	or cosed of er. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 43.6	10/01/2007		D		3,990	03/01/2005	02/28/2010	common stock	3,990
Employee Stock Option (right to buy)	\$ 25.75	10/01/2007		D		7,588	03/01/2006	02/28/2013	common stock	7,588
Employee Stock Option (right to buy)	\$ 44.24	10/01/2007		D		7,269	03/01/2007	02/28/2014	common stock	7,269

# **Reporting Owners**

Relationships Reporting Owner Name / Address Other Director 10% Owner Officer

PAUTLER PAUL F

ONE NORTH JEFFERSON AVENUE **Subsidiary Officer** 

ST. LOUIS, MO 63103

### **Signatures**

Gabriel Vuagniaux 10/03/2007 by POA

\*\*Signature of Reporting Date Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares disposed of in the merger between A.G. Edwards, Inc. and Wachovia Corporation pursuant to which each share of A.G. Edwards, Inc. common stock was exchanged for .9844 shares of Wachovia common stock, having a market value of \$50.92 per shares on the

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effective date of the merger, and \$35.80 in cash. Each A.G. Edwards Restricted Share was converted into 1.6407 restricted shares of Wachovia Common Stock.

In the merger of A.G. Edwards, Inc. and Wachovia Corporation, each outstanding option to purchase A.G. Edwards, Inc. common stock was converted to an option to purchase Wachovia stock on substantially the same terms, except that the number of options was adjusted by multiplying the number of A.G. Edwards, Inc. options by 1.6407, the exercise price was adjusted by dividing the A.G. Edwards, Inc. exercise price by 1.6407.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.