#### Edgar Filing: GENERAL ELECTRIC CO - Form 3

#### GENERAL ELECTRIC CO

Form 3

February 20, 2007

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement GENERAL ELECTRIC CO [GE] Zucker Jeffrey A (Month/Day/Year) 02/09/2007 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) GENERAL ELECTRIC (Check all applicable) COMPANY, Â 3135 EASTON TURNPIKE 10% Owner Director \_X\_\_ Officer (Street) Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) President & CEO, NBC Universal X\_ Form filed by One Reporting Person FAIRFIELD. CTÂ 06828 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 106,803 D Common Stock 29,306 Ι by 401(k) Common Stock 40 I by family Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Phantom Stock Units	(1)	(1)	Common Stock	11,339	\$ (2)	D	Â
Restricted Stock Units	(3)	(3)	Common Stock	18,750	\$ (2)	D	Â
Restricted Stock Units	(4)	(4)	Common Stock	48,669	\$ (2)	D	Â
Restricted Stock Units	(5)	(5)	Common Stock	237,500	\$ (2)	D	Â
Employee Stock Options (right to buy) (6)	09/12/2000	09/12/2007	Common Stock	22,500	\$ 22.08	D	Â
Employee Stock Options (right to buy) (6)	09/11/2001	09/11/2008	Common Stock	22,500	\$ 26.42	D	Â
Employee Stock Options (right to buy) (6)	09/10/2002	09/10/2009	Common Stock	30,000	\$ 39.73	D	Â
Employee Stock Options (right to buy) (6)	09/22/2003	09/22/2010	Common Stock	5,000	\$ 57.31	D	Â
Employee Stock Options (right to buy) (6)	09/22/2003	09/22/2010	Common Stock	20,000	\$ 57.31	D	Â
Employee Stock Options (right to buy) (6)	12/14/2003	12/14/2010	Common Stock	30,000	\$ 51.44	D	Â
Employee Stock Options (right to buy) (6)	07/26/2004	07/26/2011	Common Stock	40,000	\$ 43.75	D	Â
Employee Stock Options (right to buy) (6)	09/26/2004	09/26/2011	Common Stock	20,000	\$ 35.48	D	Â
Employee Stock Options (right to buy) (7)	09/13/2005	09/13/2012	Common Stock	60,000	\$ 27.05	D	Â
Employee Stock Options (right to buy) (7)	09/12/2006	09/12/2013	Common Stock	48,000	\$ 31.53	D	Â
Employee Stock Options (right to buy) (7)	09/17/2007	09/17/2014	Common Stock	60,000	\$ 34.22	D	Â
Employee Stock Options (right to buy) (7)	09/16/2008	09/16/2015	Common Stock	60,000	\$ 34.47	D	Â
Employee Stock Options (right to buy) (7)	09/08/2009	09/08/2016	Common Stock	50,000	\$ 34.01	D	Â

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Zucker Jeffrey A GENERAL ELECTRIC COMPANY 3135 EASTON TURNPIKE FAIRFIELD, CTÂ 06828

Â President & CEO, NBC Universal Â

# **Signatures**

Eliza W. Fraser on behalf of Jeffrey Zucker

02/20/2007

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reallocable to other investment media. Payable after termination of employment.
- (2) 1-for-1
- (3) 25,000 units granted 09/10/01; Vesting schedule :25% on third anniversary; 25% on seventh anniversary; 50% upon retirement.
- (4) 10,667 units granted 09/12/03; 13,334 units granted 09/17/04; 13,334 units granted 09/16/05; 16,667 units granted on 09/08/06. Vesting schedule: 50% on third anniversary; 50% on fifth anniversary.
- (5) 50,000 units granted 09/13/02; 150,000 units granted 12/11/03; 50,000 units granted 2/08/07. Vesting schedule : 25% on third anniversary; 25% on fifth anniversary; 25% on tenth anniversary; 25% upon retirement.
- (6) The options become exercisable in two equal installments of 50% each, with 50% on the "Date Exercisable" shown to the right, and another 50% two years thereafter.
- (7) The options become exercisable in five equal installments of 20% each beginning on the "Date Exercisable" shown to the right, and another 20% each year thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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