

HELMERICH HANS  
Form 4  
June 04, 2018

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
HELMERICH HANS

2. Issuer Name and Ticker or Trading Symbol  
CIMAREX ENERGY CO [XEC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
06/01/2018

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  
\_\_\_\_ 10% Owner  
\_\_\_\_ Other (specify below)

C/O CIMAREX ENERGY CO., 1700 LINCOLN STREET, SUITE 3700

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

DENVER, CO 80203

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Common Stock                    |                                      |  |                                | (A) or (D)<br>Code V Amount (D) Price                             | 53,903 <sup>(1)</sup>   | I  | Trustee, 1993<br>Hans<br>Helmerich<br>Trust           |
| Common Stock                    |                                      |  |                                |   | 11,450 <sup>(2)</sup>   | I  | By Wife   |
| Common Stock                    |                                      |  |                                |   | 1,062   | I  | Co-Manager of<br>Helmerich<br>Grandchildren<br>LLC    |

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|              |            |  |   |                  |   |             |   |  |
|--------------|------------|--|---|------------------|---|-------------|---|--|
| Common Stock |            |  |   |                  |   | 7,865       | I | Trustee of Family Trust  |
| Common Stock |            |  |   |                  |   | 36,430      | I | Co-Trustee of The Helmerich Trust (fka Co-Trustee of The Helmerich Foundation) |
| Common Stock |            |  |   |                  |   | 325,000     | I | Peggy Helmerich QTIP Trust (fka Trustee of Estate of W.H. Helmerich III)       |
| Common Stock | 06/01/2018 |  | A | 2,270<br>(3) (4) | A | \$ 0 13,605 | D |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 3) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|  |  |                                      |  | Code V (A) (D)                 |   | Date Exercisable Expiration Date                         | Title or Number of Shares                                     |  |   |

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

HELMERICH HANS  
C/O CIMAREX ENERGY CO.

1700 LINCOLN STREET, SUITE 3700  
DENVER, CO 80203

## Signatures

Francis B. Barron, as  
Attorney-in-Fact

06/04/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects the change in the form of beneficial ownership of recently vested shares from Direct beneficial ownership to Indirect beneficial ownership.
- (2) Mr. Helmerich disclaims beneficial ownership of these shares.
- (3) Represents an award of restricted stock that shall vest on the earlier to occur of (i) the termination of the service as a director other than because of removal or (ii) May 1, 2019.
- (4) The amount of securities beneficially owned as reported in column 5 includes 2788 shares of restricted stock subject to service-based vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.