### Edgar Filing: WARMENHOVEN DANIEL J - Form 4

WARMENH Form 4 August 05, 2	HOVEN DANIEL 2010	J										
										OMB AF	PROVAL	
FORM	<b>4</b> UNITED S	STATES				ND EXC D.C. 205		NGE C	OMMISSION	OMB Number:	3235-0287	
Check th					,					Expires:	January 31,	
if no long subject to Section 1 Form 4 o Form 5	o <b>SIAIE</b> N 16. or			SECU	JR	ITIES			NERSHIP OF	Estimated a burden hour response	•	
obligatio may con <i>See</i> Instr 1(b).	tinue. Section 17(a	a) of the I	Public U	tility Ho	old		pany	Act of	e Act of 1934, 1935 or Section 0	I		
(Print or Type ]	Responses)											
WARMENHOVEN DANIEL J Sym						Ticker or T	Fradin	g	5. Relationship of Reporting Person(s) to Issuer			
	NetApp	_		-			(Check all applicable)					
(Last) 495 EAST J	(First) (N JAVA DRIVE	/liddle)	3. Date o (Month/I 08/04/2	Day/Year)		ansaction			X Director Officer (give t below)		Owner r (specify	
	(Street)		4. If Ame Filed(Mo			e Original			6. Individual or Joi Applicable Line) _X_ Form filed by O Form filed by M	ne Reporting Per	rson	
	LE, CA 94089								Person			
(City)	(State)	(Zip)	Tab	le I - Non	1-D	erivative S	Securi	ties Acqu	uired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/D	Date, if	3. Transac Code (Instr. 8	tior	4. Securiti n(A) or Dis (Instr. 3, 4	sposed	l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
C				Code	V	Amount	(D)	Price	(Instr. 5 and 4)		1	
Common Stock	08/04/2010			M <u>(1)</u>		10,647	А	\$ 20.16	2,387,332	Ι	by Trust $(2)$	
Common Stock	08/04/2010			S <u>(1)</u>		10,647	D	\$ 44.17	2,376,685	Ι	by Trust $(2)$	
Common Stock									50,087	D		
Common Stock									170,000	I	by Lmtd Ptnrshp2 (3)	
Common Stock									78,962	Ι	by Trust2 $(4)$	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day/	ate	7. Title and A Underlying S (Instr. 3 and	Securit
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sł
Non-Qualified Stock Option (right to buy)	\$ 20.16	08/04/2010		M(1)	10,647	(5)	04/25/2011	Common Stock	10,

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
WARMENHOVEN DANIEL J 495 EAST JAVA DRIVE SUNNYVALE, CA 94089	Х					
Signaturas						

### Signatures

By: Janice Mahoney by Power of Attorney For: Daniel J. 08/05/2010 Warmenhoven \*\*Signature of Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The option exercise(s) and sale(s) reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting (1)person on July 2, 2010.
- Shares held in trust by Daniel J. Warmenhoven & Charmaine A. Warmenhoven, trustees to The Warmenhoven 1987 Revocable Trust (2) UTA dated 12/16/87, as amended.

Shares held by Warmenhoven Ventures LP, a limited partnership of which the Warmenhoven Management Trust is the general partner, of (3) which the reporting person and his wife are the trustees. Reporting person disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.

(4)

Date

Shares held in trust by Richard A. Andre, trustee to The Daniel J. Warmenhoven 1991 Children's Trust. Reporting person disclaims beneficial ownership of such shares.

(5) Option vests with respect to 25% of the option shares on the first anniversary of the grant date and the balance in a series of equal monthly installments over the next 36 months of service thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.