Edgar Filing: LASSITER PHILLIP B - Form 4

| LASSITER I Form 4 May 03, 201 | | | | | | | | | | | | |
|--|---|--|-------------|---|-----------|--------------------------------|--|-----------|---|---------------------|-------------|--|
| FORM | 1 4 | | | | | | | | | | PPROVAL | |
| UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | OMB Number: | 3235-0287 | | | | |
| Check thi | | | | C | | | | | | Expires: | January 31, | |
| subject to | | | | CHANGES IN BENEFICIAL OWNI SECURITIES | | | | | NERSHIP OF | Estimated | | |
| | | | | | | | | | burden hou response | • | | |
| Form 5 | | suant to S | Section 16 | 6(a) of th | ne Secu | ritie | es Ex | chang | ge Act of 1934, | response | 0.5 | |
| obligation may cont <i>See</i> Instru 1(b). | inue. Section 17(| a) of the | | ility Hol | ding C | omj | pany | Act o | f 1935 or Sectio | 'n | | |
| (Print or Type F | Responses) | | | | | | | | | | | |
| LASSITER PHILLIP B Symbol | | | | Name and Ticker or Trading | | | | g | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) | (First) (M | /liddle) | 3. Date of | Earliest T | ransactio | n | | | (Clied | k all applicabl | c) | |
| | | | (Month/Da | ay/Year) | | | | | _X_Director10% Owner | | | |
| C/O DIEBO INCORPOR ROAD | LD, Rated, 5995 Ma | AYFAIR | 04/29/20 |)10 | | | | | Officer (give below) | title Oth below) | er (specify | |
| | (Street) | | 4. If Amer | ndment. D | ate Origi | nal | | | 6. Individual or Jo | oint/Group Fili | ng(Check | |
| Filed(Month/Day/ | | | | | - | | | | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| NORTHCA | 1000, 000, 000, 000, 000, 000, 000, 000 | 20 | | | | | | | Person | | | |
| (City) | (State) | (Zip) | Table | e I - Non-l | Derivati | ve S | ecuri | ties Ac | quired, Disposed o | f, or Beneficia | lly Owned | |
| 1.Title of Security (Instr. 3) | | saction Date 2A. Dee /Day/Year) Execution any (Month/ | | 3.4. SecuritiesTransactionAcquired (A) orCodeDisposed of (D)(Instr. 8)(Instr. 3, 4 and 5) | | Securities I Beneficially (| 6. Ownership Form: Direct (D) or Indirect (I) | | | | | |
| | | (intointii) | 2 uj, 10ur) | (11150.0) | (msu | , - | (A) or | -) | Following Reported Transaction(s) | (Instr. 4) | (Instr. 4) | |
| | | | | Code | V Amo | ınt | (D) | Price | (Instr. 3 and 4) | | | |
| Common Stock | 04/29/2010 | | | A <u>(1)</u> | 2,80 | 0 | А | \$0 | 18,771 <u>(2)</u> | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|---|--|--------------------|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Non-Qualified Stock Option | \$ 38.64 | | | | | 04/25/2003 | 04/24/2012 | Common Stock | 4,000 |
| Non-Qualified Stock Option | \$ 39.26 | | | | | 04/24/2004 | 04/23/2013 | Common Stock | 4,000 |
| Non-Qualified Stock Option | \$ 49.24 | | | | | 04/22/2005 | 04/21/2014 | Common Stock | 4,500 |
| Non-Qualified Stock Option | \$ 48.47 | | | | | 04/28/2006 | 04/27/2015 | Common Stock | 4,500 |
| Non-Qualified Stock Option | \$ 42.24 | | | | | 04/27/2007 | 04/26/2016 | Common Stock | 4,500 |

Edgar Filing: LASSITER PHILLIP B - Form 4

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|--------------|---|--|--|--|
| | Director | 10% Owner | Officer Othe | | | | |
| LASSITER PHILLIP B C/O DIEBOLD, INCORPORATED 5995 MAYFAIR ROAD NORTH CANTON, OH 44720 | Х | | | | | | |
| Signatures | | | | | | | |
| Chad F. Hesse, Attyin-fact for Phill | ip B. | 05 | 5/03/2010 |) | | | |

Date

r

<u>**</u>Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Award of Deferred Shares.

(2) Number includes Deferred Shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Lassiter

Edgar Filing: LASSITER PHILLIP B - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.