#### **GARRETTSON GARRETT A**

Form 4 July 02, 2009

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction 1(b).

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

(City)

1.Title of

Security

(Instr. 3)

1. Name and Address of Reporting Person \* **GARRETTSON GARRETT A** 

(First) (Middle)

1212 TERRA BELLA AVENUE

(Street)

2. Transaction Date 2A. Deemed

**MOUNTAIN VIEW, CA 94043** 

(State)

2. Issuer Name and Ticker or Trading

Symbol

IRIDEX CORP [IRIX] 3. Date of Earliest Transaction

(Month/Day/Year) 07/01/2009

4. If Amendment, Date Original

Filed(Month/Day/Year)

Person

(Zip)

(Month/Day/Year) Execution Date, if (Month/Day/Year)

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5)

(A)

Code V Amount (D) Price

or (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise (Instr. 3) Price of

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if (Month/Day/Year)

5. Number of 4. TransactionDerivative Code Securities (Instr. 8) Acquired (A)

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of **Underlying Securities** (Instr. 3 and 4)

**OMB APPROVAL** OMB

3235-0287

January 31, Expires: 2005

Estimated average burden hours per

Number:

5. Relationship of Reporting Person(s) to

6. Individual or Joint/Group Filing(Check

6. Ownership

Form: Direct

(Instr. 4)

(D) or Indirect Beneficial

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(Check all applicable)

Issuer

below)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

5. Amount of

Beneficially

Securities

Following

Reported

Transaction(s)

Owned

X\_ Director

Applicable Line)

Officer (give title

response... 0.5

> 10% Owner Other (specify

> > 7. Nature of

Ownership

(Instr. 4)

SEC 1474

(9-02)

Indirect

### Edgar Filing: GARRETTSON GARRETT A - Form 4

Derivative or Disposed of Security (D) (Instr. 3, 4,

and 5)

Code V (D) Date Exercisable Expiration Title (A)

Date

Amount

Number of Share

Stock

Option Common 08/01/2009(2) 07/01/2016 \$ 2.27 07/01/2009 A 10,000 10,000 Stock Right to

Buy (1)

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> 10% Owner Officer Other Director

**GARRETTSON GARRETT A** 1212 TERRA BELLA AVENUE X **MOUNTAIN VIEW, CA 94043** 

### **Signatures**

/s/ Susan Bruce, Attorney-in-Fact for Garrett 07/02/2009 Garrettson

> \*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option was granted pursuant to IRIDEX Corporation's 2008 Equity Incentive Plan and is exempt to Rule 16b-3.
- The shares vest as follows: 1/12th of the shares shall vest one month after the Vesting Commencement Date and 1/12th each month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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