Edgar Filing: PATRIOT NATIONAL BANCORP INC - Form 4

PATRIOT NATIONAL BANCORP INC

Form 4 May 02, 2007

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * Harvey Sandler Revocable Trust

(First)

21170 N. E. 22ND COURT

(Middle)

2. Issuer Name and Ticker or Trading

Symbol

PATRIOT NATIONAL BANCORP INC [PNBK]

(Month/Day/Year) 04/10/2007

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

3. Date of Earliest Transaction

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB APPROVAL

Number:

Expires:

response...

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

_X__ 10% Owner Director _ Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person _X_ Form filed by More than One Reporting

Person

NORTH MIAMI BEACH, FL 33180

(City)	(State)	(Zip) Tab	ole I - Non-	Derivativ	e Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	omr Dispos (Instr. 3,	sed of 4 and (A) or	5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Patriot National Bancorp Inc.	04/10/2007		Code V	Amount 350	(D)	Price \$ 21.3392	457,122	D	
Patriot National Bancorp Inc.	04/10/2007		P	2,500	A	\$ 21.795	459,622	D	
Patriot National Bancorp Inc.	04/10/2007		P	2,500	A	\$ 21.925	462,122	D	

Edgar Filing: PATRIOT NATIONAL BANCORP INC - Form 4

Patriot National Bancorp Inc.	04/16/2007	P	100	A	\$ 23.165	462,222	D
Patriot National Bancorp Inc.	04/18/2007	P	2,500	A	\$ 23.177	464,722	D
Patriot National Bancorp Inc.	04/19/2007	P	100	A	\$ 23.085	464,822	D
Patriot National Bancorp Inc.	04/19/2007	P	2,500	A	\$ 23.125	467,322	D
Patriot National Bancorp Inc.	04/30/2007	P	2,500	A	\$ 22.85	469,822	D
Patriot National Bancorp Inc.	04/30/2007	P	2,500	A	\$ 22.7	472,322	D
Patriot National Bancorp Inc.	04/30/2007	P	1,400	A	\$ 22.5	473,722	D
Patriot National Bancorp Inc.	05/01/2007	P	200	A	\$ 22.775	473,922	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)		4. Transaction	5. orNumber of	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying	8. Price of Derivative Security	9. Nu Deriv Secur
(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Derivativ Securities Acquired	3	Securities (Instr. 3 and 4)	(Instr. 5)	Bene Own Follo

Edgar Filing: PATRIOT NATIONAL BANCORP INC - Form 4

(A) or Disposed of (D) (Instr. 3, 4, and 5)

Code V (A) (D) Date Expiration Title Amount Exercisable Date or

or Number of Shares Repo

Trans

(Insti

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Harvey Sandler Revocable Trust 21170 N. E. 22ND COURT NORTH MIAMI BEACH, FL 33180		X			
SANDLER HARVEY		X			

Signatures

Harvey Sandler Trust	05/02/2007	
	**Signature of Reporting Person	Date
Harvey Sandler		05/02/2007
	**Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3