

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-A

SPECIAL OPPORTUNITIES FUND, INC.

Form NSAR-A

August 29, 2017

000 A000000 06/30/2017
000 C000000 0000897802
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 Special Opportunities Fund, Inc.
001 B000000 811-07528
001 C000000 8776070414
002 A000000 615 East Michigan Street
002 B000000 Milwaukee
002 C000000 WI
002 D010000 53202
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
008 A000001 BULLDOG INVESTORS, LLC
008 B000001 A
008 C000001 801-70596
008 D010001 SADDLE BROOK
008 D020001 NJ
008 D030001 07663
010 A000001 U.S. BANCORP FUND SERVICES, LLC
010 B000001 85-11357
010 C010001 MILWAUKEE
010 C020001 WI
010 C030001 53202
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY, LLC
012 B000001 84-00416
012 C010001 NEW YORK
012 C020001 NY
012 C030001 10038
013 A000001 TAIT, WELLER & BAKER LLP
013 B010001 PHILADELPHIA
013 B020001 PA
013 B030001 19103
015 A000001 U.S. BANK, N.A.
015 B000001 C
015 C010001 MILWAUKEE
015 C020001 WI
015 C030001 53212
015 E010001 X
018 000000 Y
019 A000000 N
019 B000000
020 A000001 RBC DOMINION SECURITIES, INC.
020 B000001 00-0000000
020 C000001 32
020 A000002 WELLS FARGO BANK N.A.
020 B000002 00-0000000
020 C000002 26
020 A000003 CANTOR FITZGERALD & CO.
020 B000003 13-3680184

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020 C000003 14
020 A000004 WALLACH BETH
020 B000004 00-0000000
020 C000004 12
020 A000005 COMPASS POINT RESEARCH & TRADING, LLC
020 B000005 43-593202
020 C000005 2
020 A000006 WALL STREET MANAGEMENT, INC.
020 B000006 13-2508293
020 C000006 1
020 A000007 NATIONAL FINANCIAL SERVICES CORP.
020 B000007 00-0000000
020 C000007 1
020 A000008 JONES & ASSOCIATES, INC.
020 B000008 00-0000000
020 C000008 1
021 000000 89
022 A000001 U.S. BANK, N.A.
022 B000001 00-0000000
022 C000001 617
022 D000001 19847
022 A000002 SECONDMARKET, INC.
022 B000002 00-0000000
022 C000002 7719
022 D000002 0
022 A000003 FREIDMAN BILLINGS & RAMSEY
022 B000003 00-0000000
022 C000003 2750
022 D000003 0
022 A000004 EARLY BIRD CAPITAL INC.
022 B000004 65-0379410
022 C000004 2082
022 D000004 0
022 A000005 UBS JERSEY CITY
022 B000005 00-0000000
022 C000005 1572
022 D000005 0
022 A000006 COWEN GROUP
022 B000006 00-0000000
022 C000006 300
022 D000006 0
023 C000000 15040
023 D000000 19847
024 000000 N
026 A000000 N
026 B000000 Y
026 C000000 N
026 D000000 Y
026 E000000 N
026 F000000 N
026 G010000 N
026 G020000 N
026 H000000 N
027 000000 N
045 000000 Y
046 000000 N
047 000000 Y
048 000000 1.000
048 A010000 0
048 A020000 0.000
048 B010000 0
048 B020000 0.000

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| | | |
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| 048 | C010000 | 0 |
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| 048 | D020000 | 0.000 |
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| 048 | F010000 | 0 |
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| 048 | G010000 | 0 |
| 048 | G020000 | 0.000 |
| 048 | H010000 | 0 |
| 048 | H020000 | 0.000 |
| 048 | I010000 | 0 |
| 048 | I020000 | 0.000 |
| 048 | J010000 | 0 |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 0 |
| 048 | K020000 | 0.000 |
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| 050 | 000000 | N |
| 051 | 000000 | N |
| 052 | 000000 | N |
| 053 | A000000 | N |
| 054 | A000000 | Y |
| 054 | B000000 | Y |
| 054 | C000000 | N |
| 054 | D000000 | N |
| 054 | E000000 | N |
| 054 | F000000 | N |
| 054 | G000000 | Y |
| 054 | H000000 | Y |
| 054 | I000000 | N |
| 054 | J000000 | Y |
| 054 | K000000 | N |
| 054 | L000000 | N |
| 054 | M000000 | Y |
| 054 | N000000 | N |
| 054 | O000000 | Y |
| 055 | A000000 | N |
| 055 | B000000 | N |
| 056 | 000000 | Y |
| 057 | 000000 | N |
| 058 | A000000 | N |
| 059 | 000000 | Y |
| 060 | A000000 | Y |
| 060 | B000000 | Y |
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| 062 | B000000 | 0.0 |
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| 062 | D000000 | 0.0 |
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| 062 | L000000 | 0.0 |
| 062 | M000000 | 0.0 |
| 062 | N000000 | 0.0 |
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| | | |
|-----|---------|--------|
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| 066 | F000000 | N |
| 066 | G000000 | Y |
| 067 | 000000 | N |
| 068 | A000000 | N |
| 068 | B000000 | N |
| 069 | 000000 | N |
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| 070 | A020000 | N |
| 070 | B010000 | Y |
| 070 | B020000 | N |
| 070 | C010000 | Y |
| 070 | C020000 | N |
| 070 | D010000 | Y |
| 070 | D020000 | N |
| 070 | E010000 | Y |
| 070 | E020000 | N |
| 070 | F010000 | Y |
| 070 | F020000 | N |
| 070 | G010000 | Y |
| 070 | G020000 | N |
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| 070 | O020000 | Y |
| 070 | P010000 | Y |
| 070 | P020000 | N |
| 070 | Q010000 | Y |
| 070 | Q020000 | N |
| 070 | R010000 | Y |
| 070 | R020000 | N |
| 071 | A000000 | 42711 |
| 071 | B000000 | 42256 |
| 071 | C000000 | 162267 |
| 071 | D000000 | 26 |
| 072 | A000000 | 6 |
| 072 | B000000 | 221 |
| 072 | C000000 | 2583 |
| 072 | D000000 | 0 |
| 072 | E000000 | 0 |
| 072 | F000000 | 961 |
| 072 | G000000 | 55 |
| 072 | H000000 | 26 |

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| | |
|-------------|--------|
| 072 I000000 | 9 |
| 072 J000000 | 20 |
| 072 K000000 | 0 |
| 072 L000000 | 15 |
| 072 M000000 | 83 |
| 072 N000000 | 23 |
| 072 O000000 | 0 |
| 072 P000000 | 0 |
| 072 Q000000 | 22 |
| 072 R000000 | 26 |
| 072 S000000 | 46 |
| 072 T000000 | 0 |
| 072 U000000 | 0 |
| 072 V000000 | 0 |
| 072 W000000 | 39 |
| 072 X000000 | 1325 |
| 072 Y000000 | 0 |
| 072 Z000000 | 1479 |
| 072AA000000 | 4920 |
| 072BB000000 | 2145 |
| 072CC010000 | 8717 |
| 072CC020000 | 0 |
| 072DD010000 | 0 |
| 072DD020000 | 973 |
| 072EE000000 | 0 |
| 073 A010000 | 0.0000 |
| 073 A020000 | 0.1144 |
| 073 B000000 | 0.0000 |
| 073 C000000 | 0.0000 |
| 074 A000000 | 0 |
| 074 B000000 | 0 |
| 074 C000000 | 29814 |
| 074 D000000 | 2038 |
| 074 E000000 | 8143 |
| 074 F000000 | 43638 |
| 074 G000000 | 0 |
| 074 H000000 | 0 |
| 074 I000000 | 117606 |
| 074 J000000 | 336 |
| 074 K000000 | 0 |
| 074 L000000 | 151 |
| 074 M000000 | 13 |
| 074 N000000 | 201739 |
| 074 O000000 | 1453 |
| 074 P000000 | 164 |
| 074 Q000000 | 0 |
| 074 R010000 | 0 |
| 074 R020000 | 0 |
| 074 R030000 | 0 |
| 074 R040000 | 55756 |
| 074 S000000 | 0 |
| 074 T000000 | 144366 |
| 074 U010000 | 8509 |
| 074 U020000 | 0 |
| 074 V010000 | 16.97 |
| 074 V020000 | 0.00 |
| 074 W000000 | 0.0000 |
| 074 X000000 | 3339 |
| 074 Y000000 | 0 |
| 075 A000000 | 0 |
| 075 B000000 | 138148 |
| 076 000000 | 15.20 |

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077 A000000 N
077 B000000 N
077 C000000 N
077 D000000 N
077 E000000 N
077 F000000 N
077 G000000 N
077 H000000 N
077 I000000 N
077 J000000 N
077 K000000 N
077 L000000 N
077 M000000 N
077 N000000 N
077 O000000 N
077 P000000 N
077 Q010000 N
077 Q020000 N
077 Q030000 N
078 000000 N
080 C000000
086 A010000 0
086 A020000 0
086 B010000 0
086 B020000 0
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 COMMON STOCK
087 A020000 84741T104
087 A030000 SPE
087 B010000 PREFERRED STOCK
087 B020000 84741T302
087 B030000 SPE B
088 A000000 Y
088 B000000 N
088 C000000 N
088 D000000 Y
SIGNATURE Andrew Dakos
TITLE President