

COLUMBIA SPORTSWEAR CO

Form 3

July 07, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

A Swanson Jim A

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

07/01/2017

3. Issuer Name and Ticker or Trading Symbol

COLUMBIA SPORTSWEAR CO [COLM]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other
(give title below) (specify below)

SVP & CFO

C/O COLUMBIA
SPORTSWEAR
COMPANY, A 14375 NW
SCIENCE PARK DRIVE

(Street)

PORTLAND, A OR A 97229

(City)

(State)

(Zip)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

Edgar Filing: COLUMBIA SPORTSWEAR CO - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	02/28/2015 ⁽¹⁾	02/28/2024	Common Stock	494	\$ 41.545	D	Â
Employee Stock Option (right to buy)	02/27/2016 ⁽²⁾	02/26/2025	Common Stock	3,548	\$ 55.89	D	Â
Employee Stock Option (right to buy)	01/28/2017 ⁽³⁾	01/27/2026	Common Stock	3,651	\$ 53.35	D	Â
Employee Stock Option (right to buy)	01/26/2018 ⁽⁴⁾	01/25/2027	Common Stock	3,907	\$ 55.53	D	Â
Restricted Stock Units	03/01/2015 ⁽⁵⁾	Â ⁽⁶⁾	Common Stock	110	\$ ⁽⁷⁾	D	Â
Restricted Stock Units	03/01/2016 ⁽⁸⁾	Â ⁽⁶⁾	Common Stock	410	\$ ⁽⁷⁾	D	Â
Restricted Stock Units	02/01/2017 ⁽⁹⁾	Â ⁽⁶⁾	Common Stock	666	\$ ⁽⁷⁾	D	Â
Restricted Stock Units	02/01/2018 ⁽¹⁰⁾	Â ⁽⁶⁾	Common Stock	949	\$ ⁽⁷⁾	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Swanson Jim A C/O COLUMBIA SPORTSWEAR COMPANY 14375 NW SCIENCE PARK DRIVE PORTLAND,Â ORÂ 97229	Â	Â	Â SVP & CFO	Â

Signatures

Jim A. Swanson 07/07/2017

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On 2/28/14 the reporting person was granted 1,980 stock options. The option grant vests over 4 years with 25% becoming exercisable on each anniversary of the grant date.
- (2) On 2/27/15 the reporting person was granted 3,548 stock options. The option grant vests over 4 years with 25% becoming exercisable on each anniversary of the grant date.
- (3) On 1/28/16 the reporting person was granted 3,651 stock options. The option grant vests over 4 years with 25% becoming exercisable on each anniversary of the grant date.
- (4)

Edgar Filing: COLUMBIA SPORTSWEAR CO - Form 3

On 1/26/17 the reporting person was granted 3,907 stock options. The option grant vests over 4 years with 25% becoming exercisable on each anniversary of the grant date.

- (5) On 2/28/14 the reporting person was granted 446 restricted stock units, 25% of the restricted stock units vest annually (a) on the first anniversary of the first day of the first full calendar month following the award date (the "Vest Date"), and (b) on each of the three subsequent anniversaries following the first anniversary of the Vest Date. Vested shares will be delivered to the reporting person on the designated vest dates. If a vesting date falls on a weekend or any other day on which the Nasdaq Stock Market ("NSM") or any national securities exchange on which the Common Stock then is principally traded (the "Exchange") is not open, affected RSUs shall vest on the next following NSM or Exchange business day, as the case may be.
- (6) N/A
- (7) Each restricted stock unit represents a right to receive one share of COLM common stock.

- (8) On 2/27/15 the reporting person was granted 822 restricted stock units, 25% of the restricted stock units vest annually (a) on the first anniversary of the first day of the first full calendar month following the award date (the "Vest Date"), and (b) on each of the three subsequent anniversaries following the first anniversary of the Vest Date. Vested shares will be delivered to the reporting person on the designated vest dates. If a vesting date falls on a weekend or any other day on which the Nasdaq Stock Market ("NSM") or any national securities exchange on which the Common Stock then is principally traded (the "Exchange") is not open, affected RSUs shall vest on the next following NSM or Exchange business day, as the case may be.

- (9) On 1/28/16 the reporting person was granted 888 restricted stock units, 25% of the restricted stock units vest annually (a) on the first anniversary of the first day of the first full calendar month following the award date (the "Vest Date"), and (b) on each of the three subsequent anniversaries following the first anniversary of the Vest Date. Vested shares will be delivered to the reporting person on the designated vest dates. If a vesting date falls on a weekend or any other day on which the Nasdaq Stock Market ("NSM") or any national securities exchange on which the Common Stock then is principally traded (the "Exchange") is not open, affected RSUs shall vest on the next following NSM or Exchange business day, as the case may be.

- (10) On 1/26/17 the reporting person was granted 949 restricted stock units, 25% of the restricted stock units vest annually (a) on the first anniversary of the first day of the first full calendar month following the award date (the "Vest Date"), and (b) on each of the three subsequent anniversaries following the first anniversary of the Vest Date. Vested shares will be delivered to the reporting person on the designated vest dates. If a vesting date falls on a weekend or any other day on which the Nasdaq Stock Market ("NSM") or any national securities exchange on which the Common Stock then is principally traded (the "Exchange") is not open, affected RSUs shall vest on the next following NSM or Exchange business day, as the case may be.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.