

AMAZON COM INC
Form 4
May 16, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Zapolsky David

(Last) (First) (Middle)

PO BOX 81226

(Street)

SEATTLE, WA 98108-1226

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
AMAZON COM INC [AMZN]

3. Date of Earliest Transaction
(Month/Day/Year)
05/15/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|--|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) | Amount | | |
| | | | Code | V | Amount | (D) | Price |
| Common Stock, par value \$0.01 per share | 05/15/2014 | | M | | 866 | A | \$ 0 |
| Common Stock, par value \$0.01 per share | 05/15/2014 | | M | | 305 | A | \$ 0 |
| Common Stock, par value \$0.01 per share | 05/15/2014 | | M | | 17 | A | \$ 0 |

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value
\$.01 per
share

Common
Stock, par

| | | | | | | | |
|-------|------------|---|-----|---|------|-------|---|
| value | 05/15/2014 | M | 957 | A | \$ 0 | 3,231 | D |
|-------|------------|---|-----|---|------|-------|---|

\$.01 per
share

Common
Stock, par

| | | | | | | | |
|-------|------------|------------------|-----|---|-------------------------|-------|---|
| value | 05/15/2014 | S ⁽¹⁾ | 300 | D | \$ 294.19 <u>(2)</u> | 2,931 | D |
|-------|------------|------------------|-----|---|-------------------------|-------|---|

\$.01 per
share

Common
Stock, par

| | | | | | | | |
|-------|------------|------------------|-----|---|------------------------------|-------|---|
| value | 05/15/2014 | S ⁽¹⁾ | 257 | D | \$ 295.5835 <u>(3)</u> | 2,674 | D |
|-------|------------|------------------|-----|---|------------------------------|-------|---|

\$.01 per
share

Common
Stock, par

| | | | | | | | |
|-------|------------|------------------|-----|---|--------------------------|-------|---|
| value | 05/15/2014 | S ⁽¹⁾ | 400 | D | \$ 297.555 <u>(4)</u> | 2,274 | D |
|-------|------------|------------------|-----|---|--------------------------|-------|---|

\$.01 per
share

Common
Stock, par

| | | | | | | | |
|-------|--|--|--|--|--|--------|---|
| value | | | | | | 71.204 | I |
|-------|--|--|--|--|--|--------|---|

\$.01 per
share

Held by the
reporting
person's
Amazon.com
401(k) plan
account

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|

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(4) Represents the weighted average sale price. The highest price at which shares were sold was \$297.90 and the lowest price at which shares were sold was \$297.31.

(5) Converts into Common Stock on a one-for-one basis.

This award vests based upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 604 shares on each of May 15, 2013,

(6) August 15, 2013, and November 15, 2013; 603 shares on February 15, 2014; 866 shares on each of May 15, 2014, August 15, 2014, November 15, 2014, and February 15, 2015; 573 shares on each of May 15, 2015, August 15, 2015, November 15, 2015, and February 15, 2016; 451 shares on each of May 15, 2016, August 15, 2016, and November 15, 2016; 450 shares on February 15, 2017; 1,002 shares on each of May 15, 2017, August 15, 2017, and November 15, 2017; and 1,001 shares on February 15, 2018.

This award vests based upon the following vesting schedule: 305 shares on each of May 15, 2014, August 15, 2014, and November 15, 2014; 303 shares on February 15, 2015; 363 shares on each of May 15, 2015, August 15, 2015, and November 15, 2015; 361 shares on February 15, 2016; 334 shares on each of May 15, 2016, August 15, 2016, and November 15, 2016; and 333 shares on February 15, 2017.

(7) This award vests based upon the following vesting schedule: 17 shares on May 15, 2014; 16 shares on each of August 15, 2014, November 15, 2014, and February 15, 2015; 27 shares on each of May 15, 2015, August 15, 2015, and November 15, 2015; 26 shares on February 15, 2016; and 524 shares on each of May 15, 2016, August 15, 2016, November 15, 2016, and February 15, 2017.

(8) This award vests based upon the following vesting schedule: 957 shares on May 15, 2014; 958 shares on each of August 15, 2014, November 15, 2014, and February 15, 2015; 722 shares on each of May 15, 2015 and August 15, 2015; and 723 shares on each of November 15, 2015 and February 15, 2016.

Remarks:

REMARKS:

The reporting person undertakes to provide, upon request by the staff of the SEC, the issuer, or a security holder of the issuer,

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