

SCHWAB CHARLES CORP  
 Form 3  
 February 08, 2007

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

McCool James D  
 (Last) (First) (Middle)

C/O THE CHARLES SCHWAB CORPORATION, 120 KEARNY STREET

(Street)

SAN FRANCISCO, CA 94108

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)  
 02/02/2007

3. Issuer Name and Ticker or Trading Symbol  
 SCHWAB CHARLES CORP [SCHW]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner  
 Officer  Other  
 (give title below) (specify below)  
 EVP - Corp. & Retirement Svcs.

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	66,932 <sup>(1)</sup>	D	^
Common Stock	4,562	I	by ESOP
Common Stock	7,832	I	by 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Incentive Stock Option (right to buy)	02/23/1999 <sup>(2)</sup>	02/23/2008	Common Stock	4,500	\$ 8.7222	D	Â
Non-Qualified Stock Option (right to buy)	02/25/2000 <sup>(2)</sup>	02/25/2009	Common Stock	5,700	\$ 23.3125	D	Â
Incentive Stock Option (right to buy)	02/25/2000 <sup>(2)</sup>	02/25/2009	Common Stock	5,700	\$ 23.3125	D	Â
Non-Qualified Stock Option (right to buy)	11/01/2000 <sup>(2)</sup>	11/01/2009	Common Stock	2,250	\$ 25.9166	D	Â
Incentive Stock Option (right to buy)	02/23/2001 <sup>(2)</sup>	02/23/2010	Common Stock	2,850	\$ 26.375	D	Â
Non-Qualified Stock Option (right to buy)	02/23/2001 <sup>(2)</sup>	02/23/2010	Common Stock	600	\$ 26.375	D	Â
Non-Qualified Stock Option (right to buy)	10/25/2001 <sup>(2)</sup>	10/25/2010	Common Stock	1,500	\$ 31.0625	D	Â
Non-Qualified Stock Option (right to buy)	12/13/2001 <sup>(2)</sup>	12/13/2010	Common Stock	4,500	\$ 30.125	D	Â
Incentive Stock Option (right to buy)	12/13/2001 <sup>(2)</sup>	12/13/2010	Common Stock	4,500	\$ 30.125	D	Â
Non-Qualified Stock Option (right to buy)	12/15/2003 <sup>(6)</sup>	12/15/2010	Common Stock	15,000	\$ 28.75	D	Â
Incentive Stock Option (right to buy)	02/28/2002 <sup>(2)</sup>	02/28/2011	Common Stock	2,500	\$ 20.9	D	Â
Non-Qualified Stock Option (right to buy)	02/28/2002 <sup>(2)</sup>	02/28/2011	Common Stock	2,500	\$ 20.9	D	Â
Non-Qualified Stock Option (right to buy)	05/04/2001 <sup>(3)</sup>	05/04/2011	Common Stock	2,000	\$ 20.68	D	Â
Non-Qualified Stock Option (right to buy)	07/18/2002 <sup>(4)</sup>	07/18/2011	Common Stock	57,367	\$ 15.02	D	Â
Incentive Stock Option (right to buy)	07/18/2002 <sup>(4)</sup>	07/18/2011	Common Stock	2,633	\$ 15.02	D	Â
Non-Qualified Stock Option (right to buy)	02/27/2003 <sup>(4)</sup>	02/27/2012	Common Stock	6,000	\$ 13.11	D	Â
Incentive Stock Option (right to buy)	02/27/2003 <sup>(4)</sup>	02/27/2012	Common Stock	6,000	\$ 13.11	D	Â

