

Firsthand Technology Value Fund, Inc.

Form 3

February 19, 2014

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
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burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Bulldog Investors, LLC

(Last)

(First)

(Middle)

PARK 80 WEST - PLAZA
TWO, 250 PEHLE AVE.,
SUITE 708

(Street)

SADDLE BROOK, NJ 07663

(City)

(State)

(Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

12/18/2013

3. Issuer Name and Ticker or Trading Symbol

Firsthand Technology Value Fund, Inc. [SVVC]

4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

____ Director ☒ 10% Owner____ Officer ☐ Other
(give title below) (specify below)

6. Individual or Joint/Group

Filing(Check Applicable Line)

☐ Form filed by One Reporting
Person☒ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

998,412

I

By Clients ⁽¹⁾

Common Stock

998,412

I

By Clients ⁽²⁾

Common Stock

998,412

I

By Clients ⁽³⁾

Common Stock

998,412

I

By Clients ⁽⁴⁾

Common Stock

5,000

D ⁽⁵⁾

A

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Bulldog Investors, LLC PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663	^	^ X	^	^
GOLDSTEIN PHILLIP PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663	^	^ X	^	^
Dakos Andrew PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663	^	^ X	^	^
Samuels Steven PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663	^	^ X	^	^

Signatures

/s/ Phillip Goldstein on behalf of Bulldog Investors, LLC	02/19/2014
__Signature of Reporting Person	Date
/s/ Phillip Goldstein	02/19/2014
__Signature of Reporting Person	Date
/s/ Andrew Dakos	02/19/2014
__Signature of Reporting Person	Date
/s/ Steven Samuels	02/19/2014
__Signature of Reporting Person	Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Certain private investment fund clients of Bulldog Investors, LLC are the beneficial owners of 998,412 shares of SVVC. Bulldog Investors, LLC disclaims beneficial interest in such shares except to the extent of any pecuniary interest therein.

Andrew Dakos is an owner of Bulldog Investors, LLC. He also is a Principal of the general partner of certain of such private investment fund clients of Bulldog Investors, LLC, and a limited partner in certain of such private fund clients. Mr. Dakos disclaims beneficial interest in shares held by such clients, except to the extent of any pecuniary interest therein.

Steven Samuels is an owner of Bulldog Investors, LLC. He also is a Principal of the general partner of certain of such private investment fund clients of Bulldog Investors, LLC, and a limited partner in certain of such private fund clients. Mr. Samuels disclaims beneficial interest in shares held by such clients, except to the extent of any pecuniary interest therein.

Phillip Goldstein is an owner of Bulldog Investors, LLC. He also is a Principal of the general partner of certain of such private investment fund clients of Bulldog Investors, LLC, and a limited partner in certain of such private fund clients. Mr. Goldstein disclaims beneficial interest in shares held by such clients, except to the extent of any pecuniary interest therein.

(5) Shares are held by Phillip Goldstein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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