#### ALPINE TOTAL DYNAMIC DIVIDEND FUND

Form N-PX August 23, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM N-PX

# ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number 811-21980

Alpine Total Dynamic Dividend Fund

(Exact name of registrant as specified in charter)

2500 Westchester Avenue, Suite 215, Purchase, New York 10577

(Address of principal executive offices) (Zip code)

Samuel A.

Lieber

Alpine Woods

Capital

Investors, LLC

2500

Westchester

Avenue, Suite

215

Purchase, New

York 10577

(Name and

address of agent

for service)

Registrant's telephone number, including area code: (914) 251-0880

Date of fiscal year end: October 31

Date of reporting period: 7/1/16-6/30/17

Edgar Filing: ALPINE TOTAL DYNAMIC DIVIDEND FUND - Form N-PX Item 1. Proxy Voting Record. ICA File Number: 811-21980 Reporting Period: 07/01/2016 - 06/30/2017 Alpine Total Dynamic Dividend Fund ========== Alpine Total Dynamic Dividend Fund ============== **ACCOR** Ticker: ACSecurity ID: F00189120 Meeting Date: JUL 12, 2016 Meeting Type: Special Record Date: JUL 07, 2016 **Proposal** Mgt Rec Vote Cast Sponsor Approve Contribution in Kind in the For For Management Form of FRHI Shares and its Remuneration in the Form of Accor Shares 2 Pursuant to Approval of Item 1, Issue For For Management 46.7 Million Shares Authorize Filing of Required 3 For For Management **Documents/Other Formalities** Elect Ali Bouzarif as Director For For Management Elect Aziz Aluthman Fakhroo as Director For For 5 Management

For

For

For

Management

Management

Management

For

For

For

Elect Sarmad Zok as Director

Elect Jiang Qiong Er as Director

Elect Isabelle Simon as Director

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- 9 Elect Natacha Valla as Director For For Management
- 10 Approve Remuneration of Directors in For For Management the Aggregate Amount of EUR 1,12

Million

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#### AETNA INC.

Ticker: AET Security ID: 00817Y108

Meeting Date: MAY 19, 2017 Meeting Type: Annual

Record Date: MAR 17, 2017

Mgt Rec Vote Cast Sponsor **Proposal** Elect Director Fernando Aguirre Management For For 1a Elect Director Mark T. Bertolini For For Management Management Elect Director Frank M. Clark For For Elect Director Betsy Z. Cohen 1d For For Management Elect Director Molly J. Coye Management 1e For For Elect Director Roger N. Farah Management 1f For For Elect Director Jeffrey E. Garten Management 1g For For Elect Director Ellen M. Hancock 1h For For Management 1i Elect Director Richard J. Harrington For For Management Elect Director Edward J. Ludwig For For Management 1j

1k Elect Director Joseph P. Newhouse For For Management

11 Elect Director Olympia J. Snowe For For Management

2 Ratify KPMG LLP as Auditors For For Management

3 Amend Omnibus Stock Plan For For Management

4 Advisory Vote to Ratify Named For For Management

Executive Officers' Compensation

5 Advisory Vote on Say on Pay Frequency None One Year Management

6A Report on Lobbying Payments and Policy Against For Shareholder

6B Report on Gender Pay Gap Against Against Shareholder

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#### **ALLIANZ SE**

Ticker: ALV Security ID: D03080112

Meeting Date: MAY 03, 2017 Meeting Type: Annual

Record Date:

- # Proposal Mgt Rec Vote Cast Sponsor
- 1 Receive Financial Statements and None None Management

Statutory Reports for Fiscal 2016

(Non-Voting)

2 Approve Allocation of Income and For For Management

Dividends of EUR 7.60 per Share

3 Approve Discharge of Management Board For For Management

	for Fiscal 2016			
4	Approve Discharge of Supervisory	Board	For Fo	or Management
	for Fiscal 2016			
5	Approve Affiliation Agreement with	th F	or For	Management
	Allianz Global Health GmbH			
6.1	Elect Helmut Perlet to the Supervi	sory F	or For	Management
	Board			
6.2	Elect Michael Diekmann to the	For	For	Management
	Supervisory Board			
6.3	Elect Sophie Boissard to the	For	For	Management
	Supervisory Board			
6.4	Elect Christine Bosse to the	For	For	Management
	Supervisory Board			
6.5	Elect Friedrich Eichiner to the	For	For	Management
	Supervisory Board			
6.6	Elect Herbert Hainer to the	For	For	Management
	Supervisory Board			
6.7	Elect Jim Hagemann Snabe to the	Fo	or For	Management
	Supervisory Board			

AMERICAN TOWER CORPORATION

Ticker: AMT Security ID: 03027X100

Meeting Date: MAY 31, 2017 Meeting Type: Annual

Record Date: APR 05, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
11	11000341	MISTINCE	Voic Cust	Oponsor

la Elect Director Gustavo Lara Cantu For For Management

1b Elect Director Raymond P. Dolan For For Management

1c Elect Director Robert D. Hormats For For Management

1d Elect Director Craig Macnab For For Management

le Elect Director JoAnn A. Reed For For Management

1f Elect Director Pamela D.A. Reeve For For Management

1g Elect Director David E. Sharbutt For For Management

1h Elect Director James D. Taiclet, Jr. For For Management

1i Elect Director Samme L. Thompson For For Management

2 Ratify Deloitte & Touche LLP as For For Management

Auditors

3 Advisory Vote to Ratify Named For For Management

Executive Officers' Compensation

4 Advisory Vote on Say on Pay Frequency One Year One Year Management

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#### ANADARKO PETROLEUM CORPORATION

Ticker: APC Security ID: 032511107

Meeting Date: MAY 10, 2017 Meeting Type: Annual

Record Date: MAR 14, 2017

#	Proposal Mgt	Rec	Vot	e Cast	Sponsor
1a	Elect Director Anthony R. Chas	e	For	For	Management
1b	Elect Director David E. Constab	le	For	For	Management
1c	Elect Director H. Paulett Eberha	rt	For	For	Management
1d	Elect Director Claire S. Farley	F	or	For	Management
1e	Elect Director Peter J. Fluor	Fo	r	For	Management
1f	Elect Director Richard L. Georg	e	For	For	Management
1g	Elect Director Joseph W. Gorde	r	For	For	Management
1h	Elect Director John R. Gordon		For	For	Management
1i	Elect Director Sean Gourley	F	or	For	Management
1j	Elect Director Mark C. McKinle	y	For	For	Management
1k	Elect Director Eric D. Mullins	]	For	For	Management
11	Elect Director R. A. Walker	F	or	For	Management
2	Ratify KPMG LLP as Auditors		For	For	Management
3	Advisory Vote to Ratify Named		For	For	Management
	Executive Officers' Compensation	1			
4	Advisory Vote on Say on Pay Fr	eque	ncy	One Yea	ar One Year Management

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Ticker: ABI Security ID: 03524A108

Meeting Date: SEP 28, 2016 Meeting Type: Special

Record Date: AUG 12, 2016

- # Proposal Mgt Rec Vote Cast Sponsor
- A.1 Approve Matters Relating to the For Did Not Vote Management

Acquisition of SABMiller plc by

Anheuser-Busch InBev SA

B.2 Receive Special Board Report and None None Management

Special Auditor Report Re: Item 1

B.3 Receive Information on Modifications None None Management

to the Assets and Liabilities of the

Merging Companies

B.4 Approve Transfer of Assets and For Did Not Vote Management

Liabilities Re: SABMILLER plc and

Anheuser-Busch Inbev SA Transaction

B.5 Approve Delisting Re: SABMILLER plc For Did Not Vote Management

and Anheuser-Busch Inbev SA Transaction

C.6 Authorize Implementation of Approved For Did Not Vote Management

Resolutions and Filing of Required

Documents/Formalities at Trade Registry

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#### ANHEUSER-BUSCH INBEV SA

Ticker: ABI Security ID: 03524A108

Meeting Date: APR 26, 2017 Meeting Type: Annual/Special

Record Date: MAR 17, 2017

- # Proposal Mgt Rec Vote Cast Sponsor
- A1.a Receive Special Board Report None None Management
- A1.b Renew Authorization to Increase Share For For Management

Capital up to 3 Percent of Issued

**Share Capital** 

- B1 Management Report Regarding the Old None None Management
  Anheuser-Busch InBev SA/NV
- B2 Report by the Statutory Auditor None None Management

Regarding the Old AB InBev

B3 Approval of the Accounts of the Old AB For For Management

InBev

B4 Approve Discharge to the Directors of For For Management

the Old AB InBev

B5 Approve Discharge of Auditors of the For For Management

Old AB InBev

- B6 Receive Directors' Reports None None Management
- B7 Receive Auditors' Reports None None Management
- B8 Receive Consolidated Financial None None Management

Statements and Statutory Reports

(Non-Voting)

B9 Adopt Financial Statements For For Management

B10 Approve Discharge to the Directors For For Management

B11 Approve Discharge of Auditors For For Management

B12.a Elect M.J. Barrington as Director For Against Management

B12.b Elect W.F. Gifford Jr. as Director For Against Management

B12.c Elect A. Santo Domingo Davila as For Against Management

Director

B13.a Approve Remuneration Report For Against Management

B13.b Approve Remuneration of Directors For For Management

B13.c Approve Non-Executive Director Stock For Against Management

**Option Grants** 

C1 Authorize Implementation of Approved For For Management

Resolutions and Filing of Required

Documents/Formalities at Trade Registry

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#### APACHE CORPORATION

Ticker: APA Security ID: 037411105

Meeting Date: MAY 11, 2017 Meeting Type: Annual

Record Date: MAR 13, 2017

Froposal Mgt Rec Vote Cast Sponsor

1 Elect Director Annell R. Bay For For Management

2 Elect Director John J. Christmann, IV For For Management

3 Elect Director Chansoo Joung For For Management

4 Elect Director William C. Montgomery For For Management

5 Elect Director Amy H. Nelson For For Management

6 Elect Director Daniel W. Rabun For For Management

7 Elect Director Peter A. Ragauss For For Management

8 Ratify Ernst & Young LLP as Auditors For For Management

9 Advisory Vote to Ratify Named For For Management

**Executive Officers' Compensation** 

10 Advisory Vote on Say on Pay Frequency One Year One Year Management

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#### APPLE INC.

Ticker: AAPL Security ID: 037833100

Meeting Date: FEB 28, 2017 Meeting Type: Annual

Record Date: DEC 30, 2016

# Proposal Mgt Rec Vote Cast Sponsor

.1 Elect Director James Bell For For Management

1.2 Elect Director Tim Cook For For Management

1.3 Elect Director Al Gore For For Management

- 1.4 Elect Director Bob Iger For For Management
- 1.5 Elect Director Andrea Jung For For Management
- 1.6 Elect Director Art Levinson For For Management
- 1.7 Elect Director Ron Sugar For For Management
- 1.8 Elect Director Sue Wagner For For Management
- 2 Ratify Ernst & Young LLP as Auditors For For Management
- 3 Advisory Vote to Ratify Named For For Management

  Executive Officers' Compensation
- 4 Advisory Vote on Say on Pay Frequency One Year One Year Management
- 5 Disclose Charitable Contributions Against Against Shareholder
- 6 Adopt Policy to Increase Diversity of Against Against Shareholder Senior Management and Board of

Directors

- 7 Proxy Access Amendments Against For Shareholder
- 8 Engage Outside Independent Experts for Against Against Shareholder

  Compensation Reforms
- 9 Adopt Share Retention Policy For Against For Shareholder Senior Executives

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#### APPLIED MATERIALS, INC.

Ticker: AMAT Security ID: 038222105

Meeting Date: MAR 09, 2017 Meeting Type: Annual

Record Date: JAN 12, 2017

#	Proposal Mgt R	tec Vot	te Cast	Sponsor	
1a	Elect Director Judy Bruner	For	For	Management	
1b	Elect Director Xun (Eric) Chen	For	For	Management	
1c	Elect Director Aart J. de Geus	For	For	Management	
1d	Elect Director Gary E. Dickerson	For	For	Management	
1e	Elect Director Stephen R. Forrest	For	For	Management	
1f	Elect Director Thomas J. Iannotti	For	For	Management	
1g	Elect Director Alexander A. Karsr	ner Fo	or Fo	r Management	
1h	Elect Director Adrianna C. Ma	For	For	Management	
1i	Elect Director Dennis D. Powell	For	For	Management	
2	Advisory Vote to Ratify Named	For	For	Management	
	Executive Officers' Compensation				
3	Advisory Vote on Say on Pay Freq	uency	One Yea	ar One Year Manageme	ent
4	Amend Omnibus Stock Plan	For	For	Management	
5	Amend Executive Incentive Bonus	Plan	For I	For Management	
6	Ratify KPMG LLP as Auditors	For	For	Management	

# ARAMARK

Ticker: ARMK Security ID: 03852U106

Meeting Date: FEB 01, 2017 Meeting Type: Annual

Record Date: DEC 13, 2016

#	Proposal	Mgt Red	e Vote	e Cast	Sponsor	
1.1	Elect Director Eric J. Foss	F	or I	For	Management	
1.2	Elect Director Pierre-Olivie	er I	For	For	Management	
	Beckers-Vieujant					
1.3	Elect Director Lisa G. Bisac	ccia	For	For	Management	
1.4	Elect Director Richard Drei	ling	For	For	Management	
1.5	Elect Director Irene M. Este	eves	For	For	Management	
1.6	Elect Director Daniel J. He	inrich	For	For	Management	
1.7	Elect Director Sanjeev K. M	<b>1</b> ehra	For	For	Management	
1.8	Elect Director Patricia Mor	rison	For	For	Management	
1.9	Elect Director John A. Que	lch	For	For	Management	
1.10	Elect Director Stephen I. S	adove	For	For	Management	
2	Ratify KPMG LLP as Audit	ors	For	For	Management	
3	Advisory Vote to Ratify Na	med	For	For	Management	
	Executive Officers' Compens	sation				
4	Amend Omnibus Stock Plan	1	For	Again	ast Management	
5	Amend Executive Incentive	Bonus P	lan F	For A	gainst Manageme	nt

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# ARCELORMITTAL

Ticker: MT Security ID: 03938L104

Meeting Date: MAY 10, 2017 Meeting Type: Annual/Special

Record Date: APR 26, 2017

- # Proposal Mgt Rec Vote Cast Sponsor
- 1 Receive Board's and Auditor's Reports None None Management
- I Approve Consolidated Financial For For Management

Statements

- II Approve Financial Statements For For Management
- III Approve Allocation of Income For For Management
- IV Approve Remuneration of Directors For For Management
- V Approve Discharge of Directors For For Management
- VI Reelect Lakshmi N. Mittal as Director For Against Management
- VII Reelect Bruno Lafont as Director For For Management
- VIII Reelect Michel Wurth as Director For For Management
- IX Ratify Deloitte as Auditor For For Management
- X Approve 2017 Performance Share Unit For For Management

Plan

- I Approve Reverse Stock Split For For Management
- II Authorize Issuance of Equity or For For Management

**Equity-Linked Securities without** 

Preemptive Rights

III Amend Articles 4, 5, 7, 8, 9, 11, 13, For For Management

14 and 15 Re: Reflect Changes in

Luxembourg Law

IV Approve Conversion of Registered For For Management
Shares into Dematerialized Shares

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#### ARES CAPITAL CORPORATION

Ticker: ARCC Security ID: 04010L103

Meeting Date: DEC 15, 2016 Meeting Type: Special

Record Date: OCT 17, 2016

- # Proposal Mgt Rec Vote Cast Sponsor
- 1 Approve Authority to Issue Shares For For Management

Below Net Asset Value

- 2 Issue Shares in Connection with Merger For For Management
- 3 Adjourn Meeting For For Management

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#### ARES CAPITAL CORPORATION

Ticker: ARCC Security ID: 04010L103

Meeting Date: MAY 22, 2017 Meeting Type: Annual

Record Date: MAR 09, 2017

#	Proposal	Mgt Rec	Vote (	Cast Sp	onsor
1a	Elect Director Michael J. A	rougheti	For	For	Management
1b	Elect Director Ann Torre B	ates	For	For	Management
1c	Elect Director Steven B. M	cKeever	For	For	Management
2	Ratify KPMG LLP as Audit	tors	For	For	Management
AR	ES CAPITAL CORPORATI	ON			
Tic	ker: ARCC Securit	y ID: 040	10L103	3	
Me	eting Date: MAY 22, 2017	Meeting T	ype: Sp	ecial	
Rec	ord Date: MAR 09, 2017				
#	Proposal	Mgt Rec	Vote (	Cast Sp	onsor
1	Approve Issuance of Shares	Below Ne	t For	For	Management
	Asset Value (NAV)				

AZIMUT HOLDING S.P.A.

Ticker: AZM Security ID: T0783G106

Meeting Date: APR 27, 2017 Meeting Type: Annual

Record Date: APR 18, 2017

- # Proposal Mgt Rec Vote Cast Sponsor
- 1 Approve Financial Statements, For For Management
  Statutory Reports, and Allocation of
  Income
- 2.1 Elect CEO Sergio Albarelli as Director For For Management
- 2.2 Elect CFO Alessandro Zambotti as For Against Management

  Director
- 3 Authorize Share Repurchase Program and For Against Management Reissuance of Repurchased Shares
- 4.1 Approve Increase in Fixed-Variable For For Management

  Compensation Ratio
- 4.2 Approve Remuneration Policy For For Management
- A Deliberations on Possible Legal Action None Against Management

  Against Directors if Presented by

  Shareholders

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B/E AEROSPACE, INC.

Ticker: BEAV Security ID: 073302101

Meeting Date: JUL 28, 2016 Meeting Type: Annual

Record Date: MAY 31, 2016

# Proposal Mgt Rec Vote Cast Sponsor

1.1 Elect Director Mary M. VanDeWeghe For For Management

1.2 Elect Director James F. Albaugh For For Management

1.3 Elect Director John T. Whates For For Management

2 Advisory Vote to Ratify Named For For Management

Executive Officers' Compensation

3 Ratify Deloitte & Touche LLP as For For Management

Auditors

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B/E AEROSPACE, INC.

Ticker: BEAV Security ID: 073302101

Meeting Date: MAR 09, 2017 Meeting Type: Special

Record Date: JAN 18, 2017

# Proposal Mgt Rec Vote Cast Sponsor

1 Approve Merger Agreement For For Management

2 Advisory Vote on Golden Parachutes For Against Management

3 Adjourn Meeting For For Management

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#### **BAKER HUGHES INCORPORATED**

Ticker: BHI Security ID: 057224107

Meeting Date: JUN 30, 2017 Meeting Type: Special

Record Date: MAY 25, 2017

- # Proposal Mgt Rec Vote Cast Sponsor
- 1 Approve Merger Agreement For For Management
- 2 Adjourn Meeting For For Management
- 3 Advisory Vote on Golden Parachutes For Against Management
- 4 Approve Omnibus Stock Plan For Against Management
- 5 Approve Material Terms of the For For Management

**Executive Officer Performance Goals** 

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#### BANCO BILBAO VIZCAYA ARGENTARIA, S.A.

Ticker: BBVA Security ID: E11805103

Meeting Date: MAR 16, 2017 Meeting Type: Annual

Record Date: MAR 12, 2017

**Proposal** Mgt Rec Vote Cast Sponsor 1.1 Approve Consolidated and Standalone For For Management **Financial Statements** 1.2 Approve Allocation of Income and For For Management Dividends 1.3 Approve Discharge of Board For For Management 2.1 Reelect Jose Manuel Gonzalez-Paramo For For Management Martinez-Murillo as Director 2.2 Reelect Carlos Loring Martinez de For For Management Irujo as Director 2.3 Reelect Susana Rodriguez Vidarte as For Management For Director 2.4 Reelect Tomas Alfaro Drake as Director For For Management 2.5 Reelect Lourdes Maiz Carro as Director For For Management Management 3 Authorize Capitalization of Reserves For For for Scrip Dividends Authorize Increase in Capital up to 50 For For Management Percent via Issuance of Equity or Equity-Linked Securities, Excluding Preemptive Rights of up to 20 Percent Authorize Issuance of Convertible For For Management Bonds, Debentures, Warrants, and Other

Debt Securities without Preemptive

Rights up to EUR 8 Billion

6 Approve Remuneration Policy For For Management

7 Fix Maximum Variable Compensation Ratio For For Management

8 Appoint KPMG Auditores as Auditor For For Management

9 Authorize Board to Ratify and Execute For For Management

**Approved Resolutions** 

10 Advisory Vote on Remuneration Report For For Management

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#### BANK OF AMERICA CORPORATION

Ticker: BAC Security ID: 060505104

Meeting Date: APR 26, 2017 Meeting Type: Annual

Record Date: MAR 02, 2017

# Proposal Mgt Rec Vote Cast Sponsor

a Elect Director Sharon L. Allen For For Management

1b Elect Director Susan S. Bies For For Management

1c Elect Director Jack O. Bovender, Jr. For For Management

1d Elect Director Frank P. Bramble, Sr. For For Management

1e Elect Director Pierre J.P. de Weck For For Management

1f Elect Director Arnold W. Donald For For Management

1g Elect Director Linda P. Hudson For For Management

1h Elect Director Monica C. Lozano For For Management

1i Elect Director Thomas J. May For For Management

- 1j Elect Director Brian T. Moynihan For For Management
- 1k Elect Director Lionel L. Nowell, III For For Management
- 11 Elect Director Michael D. White For For Management
- 1m Elect Director Thomas D. Woods For For Management
- 1n Elect Director R. David Yost For For Management
- 2 Advisory Vote to Ratify Named For For Management

Executive Officers' Compensation

- 3 Advisory Vote on Say on Pay Frequency One Year One Year Management
- 4 Ratify PricewaterhouseCoopers LLP as For For Management

  Auditors
- 5 Amend the General Clawback Policy Against Against Shareholder
- 6 Non-core banking operations Against Against Shareholder
- 7 Require Independent Board Chairman Against For Shareholder
- 8 Report on Gender Pay Gap Against Against Shareholder

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# **BNP PARIBAS SA**

Ticker: BNP Security ID: F1058Q238

Meeting Date: MAY 23, 2017 Meeting Type: Annual/Special

Record Date: MAY 18, 2017

# Proposal Mgt Rec Vote Cast Sponsor

Approve Financial Statements and For For Management **Statutory Reports** Approve Consolidated Financial Management 2 For For Statements and Statutory Reports 3 Approve Allocation of Income and For For Management Dividends of EUR 2.70 per Share Acknowledge Auditors' Special Report For Management For on Related-Party Transactions Authorize Repurchase of Up to 10 For 5 For Management Percent of Issued Share Capital Reelect Jean Lemierre as Director 6 For For Management 7 Reelect Monique Cohen as Director For For Management 8 Reelect Daniela Schwarzer as Director For For Management 9 Reelect Fields Wicker-Miurin as For For Management Director Elect Jacques Aschenbroich as Director For For Management Approve Remuneration Policy for For Management For Chairman of the Board Approve Remuneration Policy for CEO For Management and Vice-CEO 13 Non-Binding Vote on Compensation of For For Management Jean Lemierre, Chairman of the Board 14 Non-Binding Vote on Compensation of For For Management Jean-Laurent Bonnafe, CEO 15 Non-Binding Vote on Compensation of For Management

Philippe Bordenave, Vice-CEO

16 Non-Binding Vote on the Overall For For Management

Envelope of Compensation of Certain

Senior Management, Responsible

Officers and the Risk-takers

17 Authorize Decrease in Share Capital For For Management

via Cancellation of Repurchased Shares

18 Authorize Filing of Required For For Management

**Documents/Other Formalities** 

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#### **BOUYGUES**

Ticker: EN Security ID: F11487125

Meeting Date: APR 27, 2017 Meeting Type: Annual/Special

Record Date: APR 24, 2017

# Proposal Mgt Rec Vote Cast Sponsor

Approve Financial Statements and For For Management

Statutory Reports

2 Approve Consolidated Financial For For Management

Statements and Statutory Reports

3 Approve Allocation of Income and For For Management

Dividends of EUR 1.60 per Share

Approve Auditors' Special Report on Against Management **Related-Party Transactions** Approve Additional Pension Scheme For For Management Agreement with Olivier Bouygues, Vice **CEO** Approve Additional Pension Scheme Against Management For Agreement with Philippe Marien, Vice **CEO** Approve Additional Pension Scheme For Against Management Agreement with Olivier Roussat, Vice **CEO** Non-Binding Vote on Compensation of For Management Martin Bouygues, CEO and Chairman Non-Binding Vote on Compensation of For For Management Olivier Bouygues, Vice CEO 10 Non-Binding Vote on Compensation of For For Management Philippe Marien, Vice CEO Non-Binding Vote on Compensation of For Management Olivier Roussat, Vice CEO 12 Approve Remuneration Policy of For Against Management Chairman and CEO and Vice CEOs 13 Approve Remuneration of Directors in For For Management the Aggregate Amount of EUR 1 Million 14 Reelect Helman le Pas de Secheval as For For Management Director

For

Against

Management

Elect Alexandre de Rothschild as

Director Authorize Repurchase of Up to 5 For Against Management Percent of Issued Share Capital Authorize Decrease in Share Capital For For Management via Cancellation of Repurchased Shares Authorize Issuance of Equity or For Against Management Equity-Linked Securities with Preemptive Rights up to Aggregate Nominal Amount of EUR 150 Million Authorize Capitalization of Reserves For Against Management of Up to EUR 4 Billion for Bonus Issue or Increase in Par Value Authorize Issuance of Equity or For Against Management Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 85 Million Approve Issuance of Equity or For Against Management Equity-Linked Securities for up to 20 Percent of Issued Capital Per Year for

22 Authorize Board to Set Issue Price for For Against Management
 10 Percent Per Year of Issued Capital
 Pursuant to Issue Authority without
 Preemptive Rights

Private Placements, up to Aggregate

Nominal Amount of EUR 70 Million

23	Authorize Board to Increase Capital in For Against Management
	the Event of Additional Demand Related
	to Delegation Submitted to Shareholder
	Vote
24	Authorize Capital Increase of up to 10 For Against Management
	Percent of Issued Capital for
	Contributions in Kind
25	Authorize Capital Increase of Up to For Against Management
	EUR 85 Million for Future Exchange
	Offers
26	Authorize Issuance of Equity upon For Against Management
	Conversion of a Subsidiary's
	Equity-Linked Securities for up to EUR
	85 Million
27	Authorize Capital Issuances for Use in For Against Management
	Employee Stock Purchase Plans
28	Authorize up to 2 Percent of Issued For Against Management
	Capital for Use in Stock Option Plans
29	Authorize Board to Issue Free Warrants For Against Management
	with Preemptive Rights During a Public
	Tender Offer
30	Authorize Filing of Required For For Management
	Documents/Other Formalities

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#### **BROADCOM LIMITED**

Ticker: AVGO Security ID: Y09827109

Meeting Date: APR 05, 2017 Meeting Type: Annual

Record Date: FEB 08, 2017

- # Proposal Mgt Rec Vote Cast Sponsor
- 1a Elect Director Hock E. Tan For For Management
- 1b Elect Director James V. Diller For For Management
- 1c Elect Director Lewis C. Eggebrecht For For Management
- 1d Elect Director Kenneth Y. Hao For For Management
- 1e Elect Director Eddy W. Hartenstein For For Management
- 1f Elect Director Check Kian Low For For Management
- 1g Elect Director Donald Macleod For For Management
- 1h Elect Director Peter J. Marks For For Management
- 1i Elect Director Henry Samueli For For Management
- 2 Approve PricewaterhouseCoopers LLP as For For Management

Auditors and Authorize Board to Fix

Their Remuneration

3 Approve Issuance of Shares with or For For Management

without Preemptive Rights

4 Advisory Vote to Ratify Named For For Management

**Executive Officers' Compensation** 

5 Advisory Vote on Say on Pay Frequency One Year One Year Management

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#### BT GROUP PLC

Ticker: BT.A Security ID: 05577E101

Meeting Date: JUL 13, 2016 Meeting Type: Annual

Record Date: MAY 23, 2016

- # Proposal Mgt Rec Vote Cast Sponsor
- 1 Accept Financial Statements and For For Management

Statutory Reports

- 2 Approve Remuneration Report For For Management
- 3 Approve Final Dividend For For Management
- 4 Re-elect Sir Michael Rake as Director For For Management
- 5 Re-elect Gavin Patterson as Director For For Management
- 6 Re-elect Tony Ball as Director For For Management
- 7 Re-elect Iain Conn as Director For For Management
- 8 Re-elect Isabel Hudson as Director For For Management
- 9 Re-elect Karen Richardson as Director For For Management
- 10 Re-elect Nick Rose as Director For For Management
- 11 Re-elect Jasmine Whitbread as Director For For Management
- 12 Elect Mike Inglis as Director For For Management
- 13 Elect Tim Hottges as Director For For Management
- 14 Elect Simon Lowth as Director For For Management

15 Reappoint PricewaterhouseCoopers LLP For For Management as Auditors 16 Authorise Board to Fix Remuneration of For For Management Auditors 17 Authorise Issue of Equity with For Management For Pre-emptive Rights 18 Authorise Issue of Equity without For Management For Pre-emptive Rights Authorise Market Purchase of Ordinary For Management For Shares 20 Authorise the Company to Call General For For Management Meeting with Two Weeks' Notice **Approve Political Donations** For Management For

#### CANADIAN PACIFIC RAILWAY LIMITED

Ticker: CP Security ID: 13645T100

Meeting Date: MAY 10, 2017 Meeting Type: Annual

Record Date: MAR 15, 2017

# Proposal Mgt Rec Vote Cast Sponsor

1 Ratify Deloitte LLP as Auditors For For Management

2 Advisory Vote on Executive For Against Management

Compensation Approach

3.1 Elect Director John Baird For For Management

3.2 Elect Director Isabelle Courville For For Management

3.3 Elect Director Keith E. Creel For For Management

3.4 Elect Director Gillian (Jill) H. Denham For For Management

3.5 Elect Director William R. Fatt For For Management

3.6 Elect Director Rebecca MacDonald For For Management

3.7 Elect Director Matthew H. Paull For For Management

3.8 Elect Director Jane L. Peverett For For Management

3.9 Elect Director Andrew F. Reardon For For Management

3.10 Elect Director Gordon T. Trafton II For For Management

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#### CARTER'S, INC.

Ticker: CRI Security ID: 146229109

Meeting Date: MAY 17, 2017 Meeting Type: Annual

Record Date: MAR 27, 2017

# Proposal Mgt Rec Vote Cast Sponsor

1a Elect Director Amy Woods Brinkley For For Management

1b Elect Director Michael D. Casey For For Management

1c Elect Director A. Bruce Cleverly For For Management

1d Elect Director Jevin S. Eagle For For Management

2 Advisory Vote to Ratify Named For For Management

Executive Officers' Compensation

3 Advisory Vote on Say on Pay Frequency One Year One Year Management

4a Declassify the Board of Directors For For Management

4b Declassify the Board of Directors For For Management

5 Ratify PricewaterhouseCoopers LLP as For For Management

Auditors

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CCR S.A.

Ticker: CCRO3 Security ID: P2170M104

Meeting Date: APR 11, 2017 Meeting Type: Annual

Record Date:

# Proposal Mgt Rec Vote Cast Sponsor

Accept Financial Statements and For For Management

Statutory Reports for Fiscal Year

Ended Dec. 31, 2016

2 Approve Capital Budget For For Management

3 Approve Allocation of Income For For Management

4.1 Fix Number of Directors For For Management

4.2 Elect Ana Maria Marcondes Penido For Abstain Management

Sant'Anna as Director and

Vice-Chairman and Eduarda Penido Dalla

Vecchia As Alternate

4.3 Elect Francisco Caprino Neto as For Abstain Management

Director and Roberto Navarro

Evangelista as Alternate

4.4 Elect Ricardo Coutinho de Sena as For Abstain Management

Director and Jose Henrique Braga

Polido Lopes as Alternate

4.5 Elect Jose Florencio Rodriges Neto as For Abstain Management

Director and Livio Hagime Kuze as

Alternate

4.6 Elect Paulo Roberto Reckziegel Guedes For Abstain Management

as Director and Tarcisio Augusto

Carneiro as Alternate

4.7 Elect Ana Dolores Moura Carneiro For Abstain Management

Novaes as Director and Eduardo Penido

Sant'Anna as Alternate

4.8 Elect Paulo Marcio de Oliveira For Abstain Management

Monteiro as Director and Marina

Rosenthal Rocha as Alternate

4.9 Elect Henrique Sutton de Sousa Neves For Abstain Management

as Director and Rosa E Penido Dalla

Vecchia as Alternate

4.10 Elect Murilo Cesar Lemos dos Santos For Abstain Management

Passos as Director and Chairman and

Fernando Luiz Aguiar Filho as Alternate

- 4.11 Elect Luiz Alberto Colonna Rosman as For Abstain Management

  Independent Director
- 4.12 Elect Wilson Nelio Brumer as For Abstain Management
  Independent Director
- 4.13 Elect Mailson Ferreira da Nobrega as None For ShareholderDirector Appointed by MinorityShareholder
- 4.14 Elect Charles Rene Lebarbenchon as None Abstain Shareholder
  Director Appointed by Minority
  Shareholder
- 5.1 Install and Fix Number of Fiscal For For ManagementCouncil Members
- 5.2 Elect Newton Brandao Ferraz Ramos as For Abstain ManagementFiscal Council Member and FernandoSantos Salles as Alternate
- 5.3 Elect Adalgiso Fragoso Faria as Fiscal For Abstain Management Council Member and Marcelo de Andrade as Alternate
- 5.4 Elect Jose Valdir Pesce as Fiscal For Abstain ManagementCouncil Member and Edmar Briguelli asAlternate
- 5.5 Elect Luiz Claudio Leite de Oliveira None For Shareholder as Fiscal Council Member and Andre

	Eduardo Dantas as Alternate Appointed				
	by Minority Shareholder				
5.6	Elect Fiscal Maria Cecilia Rossi as None Abstain Shareholder				
	Fiscal Council Member and Alexandre				
	Cardoso Freitas as Alternate Appointed				
	by Minority Shareholder				
6	Approve Remuneration of Company's For Against Management				
	Management				
7	Approve Remuneration of Fiscal Council For For Management				
	Members				

CCR S.A.

7

Security ID: P2170M104 Ticker: CCRO3

Meeting Date: APR 11, 2017 Meeting Type: Special

Record Date:

- Proposal Mgt Rec Vote Cast Sponsor
- Amend Articles to Reflect Changes in For For Management 1

Capital and Consolidate Bylaws

Accordingly

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#### CELLNEX TELECOM S.A.

Ticker: CLNX Security ID: E2R41M104

Meeting Date: APR 26, 2017 Meeting Type: Annual

Record Date: APR 21, 2017

- # Proposal Mgt Rec Vote Cast Sponsor
- 1 Approve Consolidated and Standalone For For Management

Financial Statements

2 Approve Allocation of Income and For For Management

Dividends

- 3 Approve Discharge of Board For For Management
- 4 Renew Appointment of Deloitte as For For Management

Auditor

- 5 Amend Remuneration Policy For For Management
- 6.1 Fix Number of Directors at 10 For For Management
- 6.2 Elect Concepcion del Rivero Bermejo as For For Management

Director

7 Authorize Board to Ratify and Execute For For Management

**Approved Resolutions** 

8 Advisory Vote on Remuneration Report For For Management

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#### CHEVRON CORPORATION

Ticker: CVX Security ID: 166764100

Meeting Date: MAY 31, 2017 Meeting Type: Annual

Record Date: APR 03, 2017

- # Proposal Mgt Rec Vote Cast Sponsor
- la Elect Director Wanda M. Austin For For Management
- 1b Elect Director Linnet F. Deily For For Management
- 1c Elect Director Robert E. Denham For For Management
- 1d Elect Director Alice P. Gast For For Management
- 1e Elect Director Enrique Hernandez, Jr. For For Management
- 1f Elect Director Jon M. Huntsman, Jr. For For Management
- 1g Elect Director Charles W. Moorman, IV For For Management
- 1h Elect Director Dambisa F. Moyo For For Management
- 1i Elect Director Ronald D. Sugar For For Management
- 1j Elect Director Inge G. Thulin For For Management
- 1k Elect Director John S. Watson For For Management
- 11 Elect Director Michael K. Wirth For For Management
- 2 Ratify PricewaterhouseCoopers LLP as For For Management

Auditors

- 3 Advisory Vote to Ratify Named For For Management
  - Executive Officers' Compensation
- 4 Advisory Vote on Say on Pay Frequency One Year One Year Management

- 5 Report on Lobbying Payments and Policy Against For Shareholder
- 6 Report on Risks of Doing Business in Against Against Shareholder

Conflict-Affected Areas

7 Annually Assess Portfolio Impacts of None None Shareholder

Policies to Meet 2-degree Scenario

- \*Withdrawn Resolution\*
- 8 Assess and Report on Transition to a Against For Shareholder
  - Low Carbon Economy
- 9 Require Independent Board Chairman Against For Shareholder
- 10 Require Director Nominee with Against For Shareholder

**Environmental Experience** 

11 Amend Articles/Bylaws/Charter -- Call Against For Shareholder

Special Meetings

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#### CHIMERA INVESTMENT CORPORATION

Ticker: CIM Security ID: 16934Q208

Meeting Date: JUN 01, 2017 Meeting Type: Annual

Record Date: APR 03, 2017

- # Proposal Mgt Rec Vote Cast Sponsor
- 1a Elect Director Paul Donlin For For Management

1b Elect Director Mark Abrams For For Management

1c Elect Director Gerard Creagh For For Management

2 Advisory Vote to Ratify Named For For Management

Executive Officers' Compensation

3 Advisory Vote on Say on Pay Frequency One Year One Year Management

4 Ratify Ernst & Young LLP as Auditors For For Management

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#### CHINA RAILWAY CONSTRUCTION CORPORATION LTD.

Ticker: 601186 Security ID: Y1508P110

Meeting Date: JUN 15, 2017 Meeting Type: Annual

Record Date: MAY 15, 2017

# Proposal Mgt Rec Vote Cast Sponsor

1 Approve 2016 Report of the Board of For For Management

Directors

2 Approve 2016 Work Report of the For For Management

**Supervisory Committee** 

3 Approve 2016 Audited Financial For For Management

Statements

4 Approve 2016 Profit Distribution Plan For For Management

5 Approve 2016 Annual Report and Its For For Management

Summary

Against

Management

Approve Determination of the Caps for For For Management Guarantees for Subsidiaries of the Company for 2017 7 Approve Transfer of Equity Interest For Management Funded by the Proceeds Raised from the Non-public Issuance of A Shares in 2015 and Permanent Replenishment of Working Capital by the Proceeds from the Transfer and Remaining Uninvested Proceeds Raised from the Issuance 8 Approve Renewal of Mutual Supply of Against Management Services Framework Agreement Approve Payment of Fees for Auditing For For Management the 2016 Annual Report and Relevant Services 10 Approve Payment of 2016 Internal For For Management Control Audit Fees 11 Approve Deloitte Touche Tohmatsu CPA For For Management LLP as External Auditors and Payment of 2017 Auditing Service Fee Management 12 Approve Remuneration of Directors and For For **Supervisors** 13 Approve Amendments to the Rules and For For Management Procedure for the Board of Directors

14 Approve Registration and Issuance of For

Debt Financing Instruments of the

Company in the National Association of

Financial Market Institutional

Investors

15 Approve Issuance of Equity or For Against Management

Equity-Linked Securities without

Preemptive Rights

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CISCO SYSTEMS, INC.

Ticker: CSCO Security ID: 17275R102

Meeting Date: DEC 12, 2016 Meeting Type: Annual

Record Date: OCT 14, 2016

# Proposal Mgt Rec Vote Cast Sponsor

a Elect Director Carol A. Bartz For For Management

1b Elect Director M. Michele Burns For For Management

1c Elect Director Michael D. Capellas For For Management

1d Elect Director John T. Chambers For For Management

1e Elect Director Amy L. Chang For For Management

1f Elect Director John L. Hennessy For For Management

1g Elect Director Kristina M. Johnson For For Management

1h Elect Director Roderick C. McGeary For For Management

- 1i Elect Director Charles H. Robbins For For Management
- 1j Elect Director Arun Sarin For For Management
- 1k Elect Director Steven M. West For For Management
- 2 Advisory Vote to Ratify Named For For Management

Executive Officers' Compensation

- 3 Ratify PricewaterhouseCoopers LLP as For For Management
- 4 Report on Lobbying Payments and Policy Against For Shareholder
- 5 Report on Arab and non-Arab Employees Against Against Shareholder using EEO-1 Categories
- 6 Establish Board Committee on Against Against Shareholder
  Operations in Israeli Settlements

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# CITIGROUP INC.

Auditors

Ticker: C Security ID: 172967424

Meeting Date: APR 25, 2017 Meeting Type: Annual

Record Date: FEB 27, 2017

# Proposal Mgt Rec Vote Cast Sponsor

la Elect Director Michael L. Corbat For For Management

1b Elect Director Ellen M. Costello For For Management

- Elect Director Duncan P. Hennes For For Management 1d Elect Director Peter B. Henry For For Management Elect Director Franz B. Humer For Management For Elect Director Renee J. James For Management 1f For Elect Director Eugene M. McQuade For For Management 1g Elect Director Michael E. O'Neill For Management 1h For For 1i Elect Director Gary M. Reiner For Management 1j Elect Director Anthony M. Santomero For For Management Elect Director Diana L. Taylor For For Management 11 Elect Director William S. Thompson, Jr. For For Management Elect Director James S. Turley For For Management Elect Director Deborah C. Wright For For Management Elect Director Ernesto Zedillo Ponce For For Management de Leon Ratify KPMG LLP as Auditors For For Management 2 3 Advisory Vote to Ratify Named For For Management **Executive Officers' Compensation** Advisory Vote on Say on Pay Frequency One Year One Year Management 4 5 Demonstrate No Gender Pay Gap Against Against Shareholder Appoint a Stockholder Value Committee Against Against Shareholder 6 7 Report on Lobbying Payments and Policy Against For Shareholder 8 Claw-back of Payments under Against Against Shareholder Restatements
- 9 Limit/Prohibit Accelerated Vesting of Against For Shareholder Awards

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# CITIZENS FINANCIAL GROUP, INC.

Ticker: CFG Security ID: 174610105

Meeting Date: APR 27, 2017 Meeting Type: Annual

Record Date: MAR 03, 2017

#	Proposal Mgt Re	c Vote	Cast Sp	oonsor
1.1	Elect Director Bruce Van Saun	For	For	Management
1.2	Elect Director Mark Casady	For	For	Management
1.3	Elect Director Christine M. Cummi	ng Fo	or For	Management
1.4	Elect Director Anthony Di Iorio	For	For	Management
1.5	Elect Director William P. Hankows	ky Fo	or For	Management
1.6	Elect Director Howard W. Hanna, I	II Fo	r For	Management
1.7	Elect Director Leo I. "Lee" Higdon	For	For	Management
1.8	Elect Director Charles J. "Bud" Koo	ch For	For	Management
1.9	Elect Director Arthur F. Ryan	For	For	Management
1.10	Elect Director Shivan S. Subraman	iam F	or For	Management
1.11	Elect Director Wendy A. Watson	For	For	Management
1.12	2 Elect Director Marita Zuraitis	For	For	Management
2	Advisory Vote to Ratify Named	For	For	Management
	Executive Officers' Compensation			
3	Ratify Deloitte & Touche LLP as	For	For	Management

#### Auditors

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#### **CLARIANT AG**

Ticker: CLN Security ID: H14843165

Meeting Date: MAR 20, 2017 Meeting Type: Annual

Record Date:

- # Proposal Mgt Rec Vote Cast Sponsor
- 1.1 Accept Financial Statements and For For Management

Statutory Reports

- 1.2 Approve Remuneration Report For For Management
- 2 Approve Discharge of Board and Senior For For Management

Management

- 3.1 Approve Allocation of Income For For Management
- 3.2 Approve Dividends of CHF 0.45 per For For Management

Share from Capital Contribution

Reserves

- 4.1a Elect Guenter von Au as Director For For Management
- 4.1b Elect Peter Chen as Director For For Management
- 4.1c Elect Hariolf Kottmann as Director For For Management
- 4.1d Elect Eveline Saupper as Director For For Management
- 4.1e Elect Carlo G. Soave as Director For For Management

- 4.1f Elect Peter Steiner as Director For For Management
- 4.1g Elect Claudia Suessmuth Dyckerhoff as For For Management

  Director
- 4.1h Elect Susanne Wamsler as Director For For Management
- 4.1i Elect Rudolf Wehrli as Director For For Management
- 4.1j Elect Konstantin Winterstein as For For Management

  Director
- 4.2 Elect Rudolf Wehrli as Board Chairman For For Management
- 4.3a Appoint Carlo G. Soave as Member of For For Management the Compensation Committee
- 4.3b Appoint Eveline Saupper as Member of For For Management the Compensation Committee
- 4.3c Appoint Rudolf Wehrli as Member of the For For Management

  Compensation Committee
- 4.4 Designate Balthasar Settelen as For For Management
  Independent Proxy
- 4.5 Ratify PricewaterhouseCoopers AG as For For Management

  Auditors
- 5.1 Approve Remuneration of Directors in For For Management the Amount of CHF 3.6
- 5.2 Approve Remuneration of Executive For For Management

  Committee in the Amount of CHF 17.2
- 6.1 Additional Voting Instructions Board None Against Management of Directors Proposals (Voting)
- 6.2 Additional Voting Instructions None Against Management

Shareholder Proposals (Voting)

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## CMS ENERGY CORPORATION

Ticker: CMS Security ID: 125896100

Meeting Date: MAY 05, 2017 Meeting Type: Annual

Record Date: MAR 07, 2017

#	Proposal Mg	t Rec Vot	e Cast	Sponsor
1a	Elect Director Jon E. Barfield	For	For	Management
1b	Elect Director Deborah H. Butle	er For	For	Management
1c	Elect Director Kurt L. Darrow	For	For	Management
1d	Elect Director Stephen E. Ewin	g For	For	Management
1e	Elect Director William D. Harv	ey For	For	Management
1f	Elect Director Philip R. Lochner	r, Jr. For	For	Management
1g	Elect Director Patricia K. Poppe	e For	For	Management
1h	Elect Director John G. Russell	For	For	Management
1i	Elect Director Myrna M. Soto	For	For	Management
1j	Elect Director John G. Sznewajs	s For	For	Management
1k	Elect Director Laura H. Wright	For	For	Management
2	Advisory Vote to Ratify Named	For	For	Management
	Executive Officers' Compensation	n		

<sup>3</sup> Advisory Vote on Say on Pay Frequency One Year One Year Management

4	Report on Political Contributions Against For Shareholder
5	Ratify PricewaterhouseCoopers LLP as For For Management
	Auditors
CO	LONY CAPITAL, INC.
Tic	ker: CLNY Security ID: 19624R106
Me	eting Date: DEC 20, 2016 Meeting Type: Special
Rec	cord Date: NOV 02, 2016
#	Proposal Mgt Rec Vote Cast Sponsor
1	Approve Merger Agreement For For Management
2	Approve Charter Proposal For For Management
3	Advisory Vote on Golden Parachutes For For Management
4	Adjourn Meeting For For Management
CO	LONY NORTHSTAR, INC.

Security ID: 19625W104

Ticker:

CLNS

Meeting Date: MAY 04, 2017 Meeting Type: Annual

Record Date: MAR 24, 2017

# Proposal Mgt Rec Vote Cast Sponsor

1.1 Elect Director Thomas J. Barrack, Jr. For For Management

1.2 Elect Director David T. Hamamoto For For Management

1.3 Elect Director Douglas Crocker, II For For Management

1.4 Elect Director Nancy A. Curtin For For Management

1.5 Elect Director Jon A. Fosheim For For Management

1.6 Elect Director Justin E. Metz For For Management

1.7 Elect Director George G. C. Parker For For Management

1.8 Elect Director Charles W. Schoenherr For For Management

1.9 Elect Director John A. Somers For For Management

1.10 Elect Director John L. Steffens For For Management

2 Advisory Vote to Ratify Named For Against Management

**Executive Officers' Compensation** 

3 Advisory Vote on Say on Pay Frequency One Year One Year Management

4 Ratify Ernst & Young LLP as Auditors For For Management

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#### **COLONY STARWOOD HOMES**

Ticker: SFR Security ID: 19625X102

Meeting Date: MAY 15, 2017 Meeting Type: Annual

Record Date: MAR 17, 2017

#	Proposal Mgt Rec	Vote	Cast Spo	onsor
1.1	Elect Director Thomas J. Barrack, Jr	: For	For	Management
1.2	Elect Director Barry S. Sternlicht	For	Withhold	Management
1.3	Elect Director Robert T. Best	For	Withhold	Management
1.4	Elect Director Thomas M. Bowers	For	For	Management
1.5	Elect Director Richard D. Bronson	For	Withho	ld Management
1.6	Elect Director Justin T. Chang	For	For	Management
1.7	Elect Director Michael D. Fascitelli	For	For	Management
1.8	Elect Director Renee Lewis Glover	For	For	Management
1.9	Elect Director Jeffrey E. Kelter	For	For N	Management
1.10	Elect Director Thomas W. Knapp	For	r For	Management
1.11	Elect Director Richard B. Saltzman	For	For	Management
1.12	2 Elect Director John L. Steffens	For	For	Management
1.13	B Elect Director J. Ronald Terwilliger	For	Withho	ld Management
1.14	Elect Director Frederick C. Tuomi	For	For	Management
2	Ratify Ernst & Young LLP as Audito	ors Fo	r For	Management
3	Approve Qualified Employee Stock	For	For	Management
	Purchase Plan			
4	Amend Omnibus Stock Plan	For	For	Management
5	Advisory Vote to Ratify Named	For	For	Management
	Executive Officers' Compensation			

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#### COMCAST CORPORATION

Ticker: CMCSA Security ID: 20030N101

Meeting Date: JUN 08, 2017 Meeting Type: Annual

Record Date: MAR 16, 2017

- # Proposal Mgt Rec Vote Cast Sponsor
  1.1 Elect Director Kenneth J. Bacon For For Management
  1.2 Elect Director Madeline S. Bell For For Management
- 1.3 Elect Director Sheldon M. Bonovitz For For Management
- 1.4 Elect Director Edward D. Breen For For Management
- 1.5 Elect Director Gerald L. Hassell For For Management
- 1.6 Elect Director Jeffrey A. Honickman For For Management
- 1.7 Elect Director Asuka Nakahara For For Management
- 1.8 Elect Director David C. Novak For For Management
- 1.9 Elect Director Brian L. Roberts For For Management
- 1.10 Elect Director Johnathan A. Rodgers For For Management
- 2 Ratify Deloitte & Touche LLP as For For Management

Auditors

- 3 Advisory Vote to Ratify Named For For Management

  Executive Officers' Compensation
- 4 Advisory Vote on Say on Pay Frequency One Year One Year Management
- 5 Report on Lobbying Payments and Policy Against For Shareholder
- 6 Approve Recapitalization Plan for all Against For Shareholder

Stock to Have One-vote per Share						
COSCO PACIFIC LIMITED						
Ticker: 1199 Security ID: G2442N104						
·						
Meeting Date: JUL 18, 2016 Meeting Type: Special						
Record Date: JUL 12, 2016						
# Proposal Mgt Rec Vote Cast Sponsor						
1 Change English Name and Adopt Chinese For Did Not Vote Management						
Name						
1 dance						
CRRC CORP LTD.						
Ticker: 601766 Security ID: Y1822T103						
Meeting Date: JUN 20, 2017 Meeting Type: Annual						
Record Date: MAY 19, 2017						
Record Date: MAY 19, 2017						
Record Date: MAY 19, 2017						

Mgt Rec Vote Cast Sponsor

Proposal

- Approve 2016 Work Report of the Board For For Management 1 2 Approve 2016 Work Report of the For Management For **Supervisory Committee** Approve 2016 Final Accounts Report Management 3 For For Approve 2017 Arrangement of Guarantees For **Against** Management 4 Approve 2016 Profit Distribution Plan For Management 5 For Approve Remuneration of Directors and For For Management 6 Supervisors Approve Deloitte Touche Tohmatsu as For For Management External Auditor, Deloitte Touche Tohmatsu CPA LLP and KPMG Huazhen as PRC and Internal Control Auditors, Deloitte Touche Tohmatsu CPA LLP as Principal Auditor and Authorize Board to Fix Their Remuneration Approve Remuneration of Some of the For Management **External Directors** Approve Provision of Guarantee for the For 9 For Management Phase 1 PPP Project of Rail Transit Routes 1 and 2 in Wuhu 10 Approve Issuance of Debt Financing For Against Management Instruments 11 Approve Issuance of Equity or Against For Management Equity-Linked Securities without
  - 12.1 Elect Sun Yongcai as Director Shareholder For For

**Preemptive Rights** 

12.2 Elect Xu Zongxiang as Director For For Shareholder

13 Amend Articles of Association to For For Shareholder

Reflect Increase in Registered Capital

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#### CSRA INC.

Ticker: CSRA Security ID: 12650T104

Meeting Date: AUG 09, 2016 Meeting Type: Annual

Record Date: JUN 13, 2016

# Proposal Mgt Rec Vote Cast Sponsor

a Elect Director Keith B. Alexander For Against Management

1b Elect Director Sanju K. Bansal For For Management

c Elect Director Michele A. Flournoy For For Management

1d Elect Director Mark A. Frantz For For Management

le Elect Director Nancy Killefer For For Management

1f Elect Director Craig Martin For For Management

1g Elect Director Sean O'Keefe For For Management

1h Elect Director Lawrence B. Prior, III For For Management

1i Elect Director Michael E. Ventling For For Management

1j Elect Director Billie I. Williamson For For Management

2 Ratify Deloitte & Touche LLP as For For Management

#### Auditors

3 Advisory Vote to Ratify Named For For Management

**Executive Officers' Compensation** 

- 4 Advisory Vote on Say on Pay Frequency One Year One Year Management
- 5 Amend Omnibus Stock Plan For For Management

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#### CVS HEALTH CORPORATION

Ticker: CVS Security ID: 126650100

Meeting Date: MAY 10, 2017 Meeting Type: Annual

Record Date: MAR 14, 2017

# Proposal Mgt Rec Vote Cast Sponsor

la Elect Director Richard M. Bracken For For Management

1b Elect Director C. David Brown, II For For Management

1c Elect Director Alecia A. DeCoudreaux For For Management

1d Elect Director Nancy-Ann M. DeParle For For Management

1e Elect Director David W. Dorman For For Management

1f Elect Director Anne M. Finucane For Against Management

1g Elect Director Larry J. Merlo For For Management

1h Elect Director Jean-Pierre Millon For For Management

1i Elect Director Mary L. Schapiro For For Management

1j Elect Director Richard J. Swift For For Management

- 1k Elect Director William C. Weldon For For Management
- 11 Elect Director Tony L. White For For Management
- 2 Ratify Ernst & Young LLP as Auditors For For Management
- 3 Advisory Vote to Ratify Named For Against Management

  Executive Officers' Compensation
- 4 Advisory Vote on Say on Pay Frequency One Year One Year Management
- 5 Approve Omnibus Stock Plan For For Management
- 6 Reduce Ownership Threshold for Against For Shareholder
  Shareholders to Call Special Meeting
- 7 Report on Pay Disparity Against Against Shareholder
- 8 Adopt Quantitative Renewable Energy Against Against Shareholder
  Goals

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# CYRUSONE INC.

Ticker: CONE Security ID: 23283R100

Meeting Date: APR 28, 2017 Meeting Type: Annual

Record Date: MAR 08, 2017

- # Proposal Mgt Rec Vote Cast Sponsor
- 1.1 Elect Director Gary J. Wojtaszek For For Management
- 1.2 Elect Director David H. Ferdman For For Management

1.3 Elect Director John W. Gamble, Jr. For For Management

1.4 Elect Director Michael A. Klayko For For Management

1.5 Elect Director T. Tod Nielsen For For Management

1.6 Elect Director Alex Shumate For For Management

1.7 Elect Director William E. Sullivan For For Management

1.8 Elect Director Lynn A. Wentworth For For Management

2 Advisory Vote to Ratify Named For Against Management

**Executive Officers' Compensation** 

3 Ratify Deloitte & Touche LLP as For For Management

Auditors

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#### DARDEN RESTAURANTS, INC.

Ticker: DRI Security ID: 237194105

Meeting Date: SEP 29, 2016 Meeting Type: Annual

Record Date: AUG 01, 2016

# Proposal Mgt Rec Vote Cast Sponsor

1.1 Elect Director Margaret Shan Atkins For For Management

1.2 Elect Director Jean M. Birch For For Management

1.3 Elect Director Bradley D. Blum For For Management

1.4 Elect Director James P. Fogarty For For Management

1.5 Elect Director Cynthia T. Jamison For For Management

1.6 Elect Director Eugene I. (Gene) Lee, For For Management

Jr.

1.7 Elect Director William S. Simon For For Management

1.8 Elect Director Charles M. (Chuck) For For Management

Sonsteby

2 Advisory Vote to Ratify Named For For Management

Executive Officers' Compensation

3 Ratify KPMG LLP as Auditors For For Management

4 Adopt a Policy to Phase Out Against Against Shareholder

Non-Therapeutic Use of Antibiotics in

the Meat Supply Chain

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#### DELL TECHNOLOGIES INC.

Ticker: DVMT Security ID: 24703L103

Meeting Date: JUN 26, 2017 Meeting Type: Annual

Record Date: APR 27, 2017

# Proposal Mgt Rec Vote Cast Sponsor

1.1 Elect Director David W. Dorman For For Management

1.2 Elect Director William D. Green For For Management

1.3 Elect Director Ellen J. Kullman For For Management

2 Ratify PricewaterhouseCoopers LLP as For For Management

Auditors

3 Advisory Vote to Ratify Named For For Management

Executive Officers' Compensation

- 4 Advisory Vote on Say on Pay Frequency One Year One Year Management
- 5 Increase Authorized Common Stock For Against Management

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#### DELPHI AUTOMOTIVE PLC

Ticker: DLPH Security ID: G27823106

Meeting Date: APR 27, 2017 Meeting Type: Annual

Record Date: FEB 27, 2017

# Proposal Mgt Rec Vote Cast Sponsor

1 Elect Director Joseph S. Cantie For For Management

2 Elect Director Kevin P. Clark For For Management

3 Elect Director Gary L. Cowger For For Management

4 Elect Director Nicholas M. Donofrio For For Management

5 Elect Director Mark P. Frissora For For Management

6 Elect Director Rajiv L. Gupta For For Management

7 Elect Director Sean O. Mahoney For For Management

8 Elect Director Timothy M. Manganello For For Management

9 Elect Director Ana G. Pinczuk For For Management

10 Elect Director Thomas W. Sidlik For For Management

11 Elect Director Bernd Wiedemann For For Management

12 Elect Director Lawrence A. Zimmerman For For Management

13 Ratify Ernst & Young LLP as Auditors For For Management

and Authorize Board to Fix Their

Remuneration

14 Advisory Vote to Ratify Named For For Management

**Executive Officers' Compensation** 

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#### DELTA AIR LINES, INC.

Ticker: DAL Security ID: 247361702

Meeting Date: JUN 30, 2017 Meeting Type: Annual

Record Date: MAY 03, 2017

# Proposal Mgt Rec Vote Cast Sponsor

1a Elect Director Edward H. Bastian For For Management

1b Elect Director Francis S. Blake For For Management

1c Elect Director Daniel A. Carp For For Management

1d Elect Director David G. DeWalt For For Management

1e Elect Director William H. Easter, III For For Management

1f Elect Director Mickey P. Foret For For Management

Ig Elect Director Jeanne P. Jackson For For Management

1h Elect Director George N. Mattson For For Management

1i Elect Director Douglas R. Ralph For For Management

1j Elect Director Sergio A.L. Rial For For Management

1k Elect Director Kathy N. Waller For For Management

2 Advisory Vote to Ratify Named For For Management

**Executive Officers' Compensation** 

3 Advisory Vote on Say on Pay Frequency One Year One Year Management

4 Ratify Ernst & Young LLP as Auditors For For Management

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#### DEUTSCHE BOERSE AG

Ticker: DB1 Security ID: D1882G119

Meeting Date: MAY 17, 2017 Meeting Type: Annual

Record Date:

# Proposal Mgt Rec Vote Cast Sponsor

1 Receive Financial Statements and None None Management

Statutory Reports for Fiscal 2016

(Non-Voting)

2 Approve Allocation of Income and For For Management

Dividends of EUR 2.35 per Share

3 Approve Discharge of Management Board For For Management

for Fiscal 2016

4 Approve Discharge of Supervisory Board For For Management

for Fiscal 2016

5 Authorize Share Repurchase Program and For For Management

Reissuance or Cancellation of

Repurchased Shares

6 Authorize Use of Financial Derivatives For For Management

when Repurchasing Shares

7 Approve Creation of EUR 6 Million Pool For For Management

of Capital with Preemptive Rights

8 Ratify KPMG AG as Auditors for Fiscal For For Management

2017

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# DIGITAL REALTY TRUST, INC.

Ticker: DLR Security ID: 253868103

Meeting Date: MAY 08, 2017 Meeting Type: Annual

Record Date: MAR 14, 2017

# Proposal Mgt Rec Vote Cast Sponsor

1a Elect Director Dennis E. Singleton For Against Management

1b Elect Director Laurence A. Chapman For Against Management

lc Elect Director Kathleen Earley For For Management

1d Elect Director Kevin J. Kennedy For Against Management

1e Elect Director William G. LaPerch For Against Management

1f Elect Director Afshin Mohebbi For For Management

1g Elect Director Mark R. Patterson For For Management

1h Elect Director A. William Stein For For Management

1i Elect Director Robert H. Zerbst For For Management

2 Ratify KPMG LLP as Auditors For For Management

3 Advisory Vote to Ratify Named For For Management

**Executive Officers' Compensation** 

4 Advisory Vote on Say on Pay Frequency One Year One Year Management

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#### DISCOVER FINANCIAL SERVICES

Ticker: DFS Security ID: 254709108

Meeting Date: MAY 11, 2017 Meeting Type: Annual

Record Date: MAR 13, 2017

# Proposal Mgt Rec Vote Cast Sponsor

1.1 Elect Director Jeffrey S. Aronin For For Management

1.2 Elect Director Mary K. Bush For For Management

1.3 Elect Director Gregory C. Case For For Management

1.4 Elect Director Candace H. Duncan For For Management

1.5 Elect Director Joseph F. Eazor For For Management

.6 Elect Director Cynthia A. Glassman For For Management

1.7 Elect Director Richard H. Lenny For For Management

1.8 Elect Director Thomas G. Maheras For For Management

1.9 Elect Director Michael H. Moskow For For Management

1.10 Elect Director David W. Nelms For For Management

1.11 Elect Director Mark A. Thierer For For Management

1.12 Elect Director Lawrence A. Weinbach For For Management

2 Advisory Vote to Ratify Named For For Management

Executive Officers' Compensation

3 Advisory Vote on Say on Pay Frequency One Year One Year Management

4 Ratify Deloitte & Touche LLP as For For Management

Auditors

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#### **DOLLAR GENERAL CORPORATION**

Ticker: DG Security ID: 256677105

Meeting Date: MAY 31, 2017 Meeting Type: Annual

Record Date: MAR 23, 2017

# Proposal Mgt Rec Vote Cast Sponsor

1a Elect Director Warren F. Bryant For For Management

1b Elect Director Michael M. Calbert For For Management

1c Elect Director Sandra B. Cochran For For Management

1d Elect Director Patricia D. Fili-Krushel For For Management

1e Elect Director Paula A. Price For For Management

1f Elect Director William C. Rhodes, III For For Management

1g Elect Director David B. Rickard For For Management

1h Elect Director Todd J. Vasos For For Management

2 Amend Omnibus Stock Plan For For Management

3 Amend Executive Incentive Bonus Plan For For Management

4 Advisory Vote to Ratify Named For For Management

**Executive Officers' Compensation** 

5 Advisory Vote on Say on Pay Frequency Three One Year Management

Years

6 Ratify Ernst & Young LLP as Auditors For For Management

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#### **EMC CORPORATION**

Ticker: EMC Security ID: 268648102

Meeting Date: JUL 19, 2016 Meeting Type: Special

Record Date: MAY 13, 2016

# Proposal Mgt Rec Vote Cast Sponsor

1 Approve Merger Agreement For For Management

2	Advisory Vote on Golden P	arachutes	For	For	Management	
3	Adjourn Meeting	For	For	Mana	gement	
EN	IBRIDGE INC.					
Tic	cker: ENB Security	ID: 29250	)N105			
Me	eeting Date: DEC 15, 2016 M	Meeting Ty	pe: Spec	cial		
Re	cord Date: NOV 07, 2016					
#	Proposal	Mgt Rec	Vote Ca	ast Spo	nsor	
1	Issue Shares in Connection	with the	For	For	Management	
	Merger					
2	Amend By-Law No.1	Fo	r Fo	r M	anagement	
EN	IBRIDGE INC.					
Tic	cker: ENB Security	ID: 29250	)N105			
Μe	eeting Date: MAY 11, 2017	Meeting Ty	pe: Anr	nual		

Record Date: MAR 23, 2017

#	Proposal Mgt Re	ec Vote	Cast S <sub>I</sub>	oonsor			
1.1	Elect Director Pamela L. Carter	For	For	Management			
1.2	Elect Director Clarence P. Cazalot,	Jr. For	For	Management			
1.3	Elect Director Marcel R. Coutu	For	For	Management			
1.4	Elect Director Gregory L. Ebel	For	For	Management			
1.5	Elect Director J. Herb England	For	For	Management			
1.6	Elect Director Charles W. Fischer	For	For	Management			
1.7	Elect Director V. Maureen Kempste	on Fo	or For	Management			
	Darkes						
1.8	Elect Director Michael McShane	For	For	Management			
1.9	Elect Director Al Monaco	For	For	Management			
1.10	D Elect Director Michael E.J. Phelps	For	For	Management			
1.1	1 Elect Director Rebecca B. Roberts	For	For	Management			
1.12 Elect Director Dan C. Tutcher For For Management							
1.13 Elect Director Catherine L. Williams For For Management							
2	Ratify PricewaterhouseCoopers LLI	Pas Fo	or For	Management			
	Auditors						
3	Approve Shareholder Rights Plan	For	For	Management			
4	Advisory Vote on Executive	For	For	Management			
	Compensation Approach						
5	Prepare a Report Detailing Due	Again	st For	Shareholder			
	Diligence Process to Identify And						
Address Social And Environmental Risks							
	When Reviewing Potential Acquisitions						

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#### EOG RESOURCES, INC.

Ticker: EOG Security ID: 26875P101

Meeting Date: APR 27, 2017 Meeting Type: Annual

Record Date: FEB 27, 2017

# Proposal Mgt Rec Vote Cast Sponsor

- a Elect Director Janet F. Clark For For Management
- 1b Elect Director Charles R. Crisp For For Management
- 1c Elect Director Robert P. Daniels For For Management
- 1d Elect Director James C. Day For For Management
- le Elect Director Donald F. Textor For For Management
- 1f Elect Director William R. Thomas For For Management
- 1g Elect Director Frank G. Wisner For For Management
- 2 Ratify Deloitte & Touche LLP as For For Management

Auditors

- 3 Increase Authorized Common Stock For For Management
- 4 Advisory Vote to Ratify Named For For Management

Executive Officers' Compensation

5 Advisory Vote on Say on Pay Frequency One Year One Year Management

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#### EVERCORE PARTNERS INC.

Ticker: EVR Security ID: 29977A105

Meeting Date: JUN 12, 2017 Meeting Type: Annual

Record Date: APR 20, 2017

- # Proposal Mgt Rec Vote Cast Sponsor
- 1.1 Elect Director Roger C. Altman For For Management
- 1.2 Elect Director Richard I. Beattie For For Management
- 1.3 Elect Director Gail B. Harris For For Management
- 1.4 Elect Director Robert B. Millard For For Management
- 1.5 Elect Director Willard J. Overlock, Jr. For For Management
- 1.6 Elect Director Simon M. Robertson For For Management
- 1.7 Elect Director Ralph L. Schlosstein For For Management
- 1.8 Elect Director John S. Weinberg For For Management
- 1.9 Elect Director William J. Wheeler For For Management
- 2 Advisory Vote to Ratify Named For Against Management

Executive Officers' Compensation

- 3 Advisory Vote on Say on Pay Frequency One Year One Year Management
- 4 Ratify Deloitte & Touche LLP as For For Management

Auditors

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## FEDEX CORPORATION

Ticker: FDX Security ID: 31428X106

Meeting Date: SEP 26, 2016 Meeting Type: Annual

Record Date: AUG 01, 2016

#	Proposal Mgt Re	c Vote	Cast Sp	oonsor
1.1	Elect Director James L. Barksdale	For	For	Management
1.2	Elect Director John A. Edwardson	For	For	Management
1.3	Elect Director Marvin R. Ellison	For	For	Management
1.4	Elect Director John C. ("Chris") Ing	lis For	For	Management
1.5	Elect Director Kimberly A. Jabal	For	For	Management
1.6	Elect Director Shirley Ann Jackson	For	For	Management
1.7	Elect Director R. Brad Martin	For	For	Management
1.8	Elect Director Joshua Cooper Ramo	For	For	Management
1.9	Elect Director Susan C. Schwab	For	For	Management
1.10	Elect Director Frederick W. Smith	For	For	Management
1.1	Elect Director David P. Steiner	For	For	Management
1.12	2 Elect Director Paul S. Walsh	For	For	Management
2	Advisory Vote to Ratify Named	For	For	Management
	Executive Officers' Compensation			
3	Ratify Ernst & Young LLP as Audit	ors Fo	r For	Management
4	Report on Lobbying Payments and F	Policy A	against F	For Shareholder

Exclude Abstentions from Vote Counts Against Against

Shareholder

6 Adopt Holy Land Principles Against Against Shareholder

7 Report Application of Company Against Against Shareholder

Non-Discrimination Policies in States

With Pro-Discrimination Laws

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#### FERROVIAL SA

Ticker: FER Security ID: E49512119

Meeting Date: APR 04, 2017 Meeting Type: Annual

Record Date: MAR 30, 2017

- # Proposal Mgt Rec Vote Cast Sponsor
- 1 Approve Consolidated and Standalone For For Management
  Financial Statements
- 2 Approve Allocation of Income For For Management
- 3 Approve Discharge of Board For For Management
- 4 Appoint Deloitte as Auditor For For Management
- 5.1 Ratify Appointment of and Elect Philip For For Management

  Bowman as Director
- 5.2 Elect Hanne Birgitte Breinbjerb For For Management

Sorensen as Director

6 Authorize Capitalization of Reserves For For Management for Scrip Dividends

Authorize Capitalization of Reserves For For Management for Scrip Dividends Approve Reduction in Share Capital via For For Management **Amortization of Treasury Shares** 9.1 Amend Article 46 Re: Attendance of For Management For **Board Meetings** 9.2 Remove Chapter VII Transitory For For Management Provision Re: Audit and Control Committee Authorize Share Repurchase Program Management 10 For For Authorize Board to Ratify and Execute For Management For **Approved Resolutions** Advisory Vote on Remuneration Report For Management For Receive Amendments to Board of None None Management 13 **Directors Regulations** 

#### FOOT LOCKER, INC.

Ticker: FL Security ID: 344849104

Meeting Date: MAY 17, 2017 Meeting Type: Annual

Record Date: MAR 20, 2017

# Proposal Mgt Rec Vote Cast Sponsor

a Elect Director Maxine Clark For For Management

1b Elect Director Alan D. Feldman For For Management

lc Elect Director Jarobin Gilbert, Jr. For For Management

1d Elect Director Richard A. Johnson For For Management

1e Elect Director Guillermo G. Marmol For For Management

1f Elect Director Matthew M. McKenna For For Management

1g Elect Director Steven Oakland For For Management

1h Elect Director Ulice Payne, Jr. For For Management

1i Elect Director Cheryl Nido Turpin For For Management

1j Elect Director Kimberly Underhill For For Management

1k Elect Director Dona D. Young For For Management

2 Ratify KPMG LLP as Auditors For For Management

3 Adopt Majority Voting for Uncontested For For Management

**Election of Directors** 

4 Amend Executive Incentive Bonus Plan For For Management

5 Advisory Vote to Ratify Named For For Management

**Executive Officers' Compensation** 

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#### FORTUNE BRANDS HOME & SECURITY, INC.

Ticker: FBHS Security ID: 34964C106

Meeting Date: MAY 02, 2017 Meeting Type: Annual

Record Date: MAR 03, 2017

# Proposal Mgt Rec Vote Cast Sponsor

1a Elect Director A. D. David Mackay For For Management

1b Elect Director David M. Thomas For For Management

1c Elect Director Norman H. Wesley For For Management

2 Ratify PricewaterhouseCoopers LLP as For For Management

Auditors

3 Advisory Vote to Ratify Named For For Management

Executive Officers' Compensation

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#### **GKN PLC**

Ticker: GKN Security ID: G39004232

Meeting Date: MAY 04, 2017 Meeting Type: Annual

Record Date: MAY 02, 2017

# Proposal Mgt Rec Vote Cast Sponsor

1 Accept Financial Statements and For For Management

**Statutory Reports** 

2 Approve Final Dividend For For Management

3 Re-elect Mike Turner as Director For For Management

Re-elect Nigel Stein as Director For For Management 4 Re-elect Adam Walker as Director For 5 For Management Re-elect Kevin Cummings as Director Management For For 6 Re-elect Phil Swash as Director For Management 7 For Re-elect Angus Cockburn as Director For Management 8 Re-elect Tufan Erginbilgic as Director For Management 9 For Re-elect Shonaid Jemmett-Page as For Management For Director Re-elect Richard Parry-Jones as For For Management Director Elect Anne Stevens as Director Management For For Reappoint Deloitte LLP as Auditors For For Management Authorise the Audit & Risk Committee For For Management to Fix Remuneration of Auditors Approve Remuneration Policy For For Management Approve Remuneration Report For For Management Authorise EU Political Donations and For Management 17 For Expenditure Authorise Issue of Equity with Management For For Pre-emptive Rights Authorise Issue of Equity without For For Management Pre-emptive Rights Authorise Issue of Equity without Management 20 For For Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment

21 Authorise Market Purchase of Ordinary For For Management

Shares

22 Authorise the Company to Call General For For Management

Meeting with Two Weeks' Notice

23 Amend Sustainable Earnings Plan 2012 For For Management

24 Approve Sharesave Plan For For Management

25 Approve Share Incentive Plan For For Management

26 Establish International Share Plans For For Management

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#### GLOBAL LOGISTIC PROPERTIES LIMITED

Ticker: MC0 Security ID: Y27187106

Meeting Date: JUL 29, 2016 Meeting Type: Annual

Record Date:

# Proposal Mgt Rec Vote Cast Sponsor

Adopt Financial Statements and For For Management

Directors' and Auditors' Reports

2 Approve Final Dividend For For Management

3a Elect Seek Ngee Huat as Director For For Management

3b Elect Luciano Lewandowski as Director For For Management

3c Elect Fang Fenglei as Director For For Management

Elect Paul Cheng Ming Fun as Director For For Management Elect Yoichiro Furuse as Director For For Management 4b Approve Directors' Fees For For Management 5 For Approve KPMG LLP as Auditors and For Management 6 Authorize Board to Fix Their Remuneration Approve Issuance of Equity or For Management For Equity-Linked Securities with or without Preemptive Rights Approve Grant of Awards and Issuance For Management 8 For of Shares Under the GLP Performance Share Plan and/or the GLP Restricted Share Plan For Authorize Share Repurchase Program For Management

For

For

Management

#### HANA FINANCIAL GROUP INC.

Adopt New Constitution

Ticker: A086790 Security ID: Y29975102

Meeting Date: MAR 17, 2017 Meeting Type: Annual

Record Date: DEC 31, 2016

Mgt Rec Vote Cast Sponsor Proposal

1	Approve Financial Statements and	For	For	Management
	Allocation of Income			
2.1	Elect Yoon Jong-nam as Outside Dire	ctor For	For	Management
2.2	Elect Park Moon-kyu as Outside Direct	ctor For	For	Management
2.3	Elect Song Ki-jin as Outside Director	For	For	Management
2.4	Elect Kim In-bae as Outside Director	For	For	Management
2.5	Elect Yoon Sung-bock as Outside	For	For	Management
	Director			
2.6	Elect Chah Eun-young as Outside	For	For	Management
	Director			
2.7	Elect Kim Byoung-ho as Inside Direct	or For	For	Management
2.8	Elect Ham Young-joo as Inside Direct	or For	For	Management
3	Elect Yang Won-keun as Outside	For	For	Management
	Director to Serve as Audit Committee			
	Member			
4.1	Elect Yoon Jong-nam as Members of	For	For	Management
	Audit Committee			
4.2	Elect Park Moon-kyu as Members of	For	For	Management
	Audit Committee			
4.3	Elect Yoon Sung-bock as Members of	For	For	Management
	Audit Committee			
5	Approve Total Remuneration of Inside	For	For	Management
	Directors and Outside Directors			

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#### HARRIS CORPORATION

Ticker: HRS Security ID: 413875105

Meeting Date: OCT 28, 2016 Meeting Type: Annual

Record Date: SEP 02, 2016

Mgt Rec Vote Cast Sponsor **Proposal** Elect Director James F. Albaugh For For Management Elect Director William M. Brown For 1b For Management Elect Director Peter W. Chiarelli For For Management Elect Director Thomas A. Dattilo For Management 1d For Elect Director Roger B. Fradin For For Management Elect Director Terry D. Growcock For For Management 1f Elect Director Lewis Hay, III For For Management 1g Elect Director Vyomesh I. Joshi Management 1h For For Elect Director Leslie F. Kenne For Management 1i For Elect Director James C. Stoffel For For Management 1j Elect Director Gregory T. Swienton For For Management 1k Elect Director Hansel E. Tookes, II 11 For For Management Advisory Vote to Ratify Named Management 2 For For Executive Officers' Compensation Ratify Ernst & Young LLP as Auditors For 3 For Management

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## INTEL CORPORATION

Ticker: INTC Security ID: 458140100

Meeting Date: MAY 18, 2017 Meeting Type: Annual

Record Date: MAR 20, 2017

#	Proposal Mgt Re	ec Vote	e Cast	Sponsor
1a	Elect Director Charlene Barshefsky	y For	For	Management
1b	Elect Director Aneel Bhusri	For	For	Management
1c	Elect Director Andy D. Bryant	For	For	Management
1d	Elect Director Reed E. Hundt	For	For	Management
1e	Elect Director Omar Ishrak	For	For	Management
1f	Elect Director Brian M. Krzanich	For	For	Management
1g	Elect Director Tsu-Jae King Liu	For	For	Management
1h	Elect Director David S. Pottruck	For	For	Management
1i	Elect Director Gregory D. Smith	For	For	Management
1j	Elect Director Frank D. Yeary	For	For	Management
1k	Elect Director David B. Yoffie	For	For	Management
2	Ratify Ernst & Young LLP as Audi	tors Fo	or F	or Management
3	Advisory Vote to Ratify Named	For	For	Management
	Executive Officers' Compensation			
4	Amend Omnibus Stock Plan	For	For	Management
5	Advisory Vote on Say on Pay Frequence	uency (	One Yea	ar One Year Management

6 Political Contributions Disclosure Against Against Shareholder

7 Provide Vote Counting to Exclude Against Against Shareholder

Abstentions

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#### INTESA SANPAOLO SPA

Ticker: ISP Security ID: T55067101

Meeting Date: APR 27, 2017 Meeting Type: Annual

Record Date: APR 18, 2017

- # Proposal Mgt Rec Vote Cast Sponsor
- 1.a Accept Financial Statements and For For Management

Statutory Reports

- 1.b Approve Allocation of Income For For Management
- 2.a 2017 Remuneration Policies for For For Management

**Employees and Non-Employed Staff** 

2.b Extend Maximum Variable Compensation For For Management

Ratio to All Risk Takers Who Are Not

Part of Corporate Control Functions

- 2.c Approve Severance Payments Policy For For Management
- 2.d Approve Incentive Bonus Plan For For Management
- 2.e Authorize Share Repurchase Program and For For Management

Reissuance of Repurchased Shares to

C	ervice	Incent	ive	Ro	nue	ΡI	an
٠,	CIVICE	HICCHI	UVC	DO		ГΙ	ан

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ISS A/S

Ticker: ISS Security ID: K5591Y107

Meeting Date: MAR 30, 2017 Meeting Type: Annual

Record Date: MAR 23, 2017

- # Proposal Mgt Rec Vote Cast Sponsor
- 1 Receive Report of Board None None Management
- 2 Accept Financial Statements and For For Management

**Statutory Reports** 

3 Approve Allocation of Income and For For Management

Dividends of DKK 7.70 Per Share

4 Approve Discharge of Management and For For Management

**Board** 

- 5 Authorize Share Repurchase Program For For Management
- 6 Approve Remuneration of Directors in For For Management

the Amount of DKK 1.26 Million for

Chairman, DKK 630,000 for Deputy

Chairman and DKK 420,000 for Other

Directors; Approve Compensation for

#### Committee Work

7a Reelect Lord Allen of Kensington Kt For For Management

**CBE** as Director

7b Reelect Thomas Berglund as Director For For Management

7c Reelect Claire Chiang as Director For For Management

7d Reelect Henrik Poulsen as Director For For Management

7e Reelect Ben Stevens as Director For For Management

If Reelect Cynthia Mary Trudell as For For Management

Director

8 Ratify Ernst & Young as Auditors For For Management

9 Other Business None None Management

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#### JAPAN AIRLINES CO. LTD.

Ticker: 9201 Security ID: J25979121

Meeting Date: JUN 22, 2017 Meeting Type: Annual

Record Date: MAR 31, 2017

# Proposal Mgt Rec Vote Cast Sponsor

1 Approve Allocation of Income, with a For For Management

Final Dividend of JPY 94

2 Amend Articles to Authorize Board to For For Management

Pay Interim Dividends

Management

3.2	Elect Director Ueki, Yoshiharu	For	For	Management
3.3	Elect Director Fujita, Tadashi	For	For	Management
3.4	Elect Director Okawa, Junko	For	For	Management
3.5	Elect Director Saito, Norikazu	For	For	Management
3.6	Float Director Kikuwama Hidaki	For	For	Managamant

For

For

3.6 Elect Director Kikuyama, Hideki For For Management

3.7 Elect Director Shin, Toshinori For For Management

3.8 Elect Director Iwata, Kimie For For Management

3.9 Elect Director Kobayashi, Eizo For For Management

3.10 Elect Director Ito, Masatoshi For For Management

4 Approve Cash Compensation Ceiling for For For Management

Directors and Equity Compensation Plan

3.1 Elect Director Onishi, Masaru

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#### JOHNSON MATTHEY PLC

Ticker: JMAT Security ID: ADPV34060

Meeting Date: JUL 20, 2016 Meeting Type: Annual

Record Date: JUL 18, 2016

# Proposal Mgt Rec Vote Cast Sponsor

1 Accept Financial Statements and For For Management

Statutory Reports

Approve Remuneration Report 2 For For Management For Approve Final Dividend For Management 3 Re-elect Tim Stevenson as Director For For Management 4 Management 5 Re-elect Odile Desforges as Director For For Re-elect Alan Ferguson as Director For For Management 6 Re-elect Robert MacLeod as Director 7 For For Management Re-elect Colin Matthews as Director For Management 8 For 9 Re-elect Chris Mottershead as Director For For Management For Re-elect John Walker as Director 10 For Management Reappoint KPMG LLP as Auditors 11 For For Management Authorise the Audit Committee to Fix Management 12 For For Remuneration of Auditors Authorise EU Political Donations and For Management Expenditure Authorise Issue of Equity with For For Management Pre-emptive Rights Authorise Issue of Equity without For Management For Pre-emptive Rights Authorise Issue of Equity without Management For For Pre-emptive Rights Authorise Market Purchase of Ordinary For For Management Shares Authorise the Company to Call General For For Management Meeting with Two Weeks' Notice

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## KINDER MORGAN, INC.

Ticker: KMI Security ID: 49456B101

Meeting Date: MAY 10, 2017 Meeting Type: Annual

Record Date: MAR 13, 2017

# Proposal	Mgt Rec Vot	e Cast	Sponsor
1.1 Elect Director Richard D.	Kinder For	For	Management
1.2 Elect Director Steven J. k	Kean For	For	Management
1.3 Elect Director Kimberly	A. Dang For	For	Management
1.4 Elect Director Ted A. Gar	rdner For	For	Management
1.5 Elect Director Anthony W	V. Hall, Jr. For	For	Management
1.6 Elect Director Gary L. Hu	ıltquist For	For	Management
1.7 Elect Director Ronald L.	Kuehn, Jr. For	For	Management
1.8 Elect Director Deborah A	. Macdonald F	For Fo	or Management
1.9 Elect Director Michael C	. Morgan Fo	r For	Management
1.10 Elect Director Arthur C.	Reichstetter For	r For	Management
1.11 Elect Director Fayez Sar	ofim For	For	Management
1.12 Elect Director C. Park Sh	naper For	For	Management
1.13 Elect Director William A	. Smith For	For	Management
1.14 Elect Director Joel V. Sta	aff For	For	Management
1.15 Elect Director Robert F.	Vagt For	For	Management
1.16 Elect Director Perry M.	Waughtal Fo	r For	Management

2 Ratify PricewaterhouseCoopers LLP as For For Management

Auditors

3 Adopt Proxy Access Right Against For Shareholder

4 Report on Methane Emissions Against For Shareholder

5 Report on Annual Sustainability Against For Shareholder

6 Report on Capital Expenditure Strategy Against For Shareholder

with Respect to Climate Change Policy

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#### LEIDOS HOLDINGS, INC.

Ticker: LDOS Security ID: 525327102

Meeting Date: MAY 12, 2017 Meeting Type: Annual

Record Date: MAR 13, 2017

# Proposal Mgt Rec Vote Cast Sponsor

1a Elect Director Gregory R. Dahlberg For For Management

1b Elect Director David G. Fubini For For Management

1c Elect Director Miriam E. John For For Management

1d Elect Director John P. Jumper For For Management

1e Elect Director Harry M.J. Kraemer, Jr. For For Management

1f Elect Director Roger A. Krone For For Management

1g Elect Director Gary S. May For For Management

1h Elect Director Surya N. Mohapatra For For Management

1i Elect Director Lawrence C. Nussdorf For For Management

1j Elect Director Robert S. Shapard For For Management

1k Elect Director Susan M. Stalnecker For For Management

11 Elect Director Noel B. Williams For For Management

2 Advisory Vote to Ratify Named For For Management

Executive Officers' Compensation

3 Advisory Vote on Say on Pay Frequency One Year One Year Management

4 Amend Qualified Employee Stock For For Management

Purchase Plan

5 Approve Omnibus Stock Plan For For Management

6 Ratify Deloitte & Touche LLP as For For Management

Auditors

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#### LENNAR CORPORATION

Ticker: LEN Security ID: 526057104

Meeting Date: APR 18, 2017 Meeting Type: Annual

Record Date: FEB 21, 2017

# Proposal Mgt Rec Vote Cast Sponsor

1.1 Elect Director Irving Bolotin For For Management

1.2 Elect Director Steven L. Gerard For For Management

1.3 Elect Director Theron I. 'Tig' Gilliam For For Management

1.4 Elect Director Sherrill W. Hudson For For Management

1.5 Elect Director Sidney Lapidus For For Management

1.6 Elect Director Teri P. McClure For For Management

1.7 Elect Director Stuart Miller For For Management

1.8 Elect Director Armando Olivera For For Management

1.9 Elect Director Donna Shalala For For Management

1.10 Elect Director Jeffrey Sonnenfeld For For Management

2 Ratify Deloitte & Touche LLP as For For Management

Auditors

3 Advisory Vote to Ratify Named For Against Management

**Executive Officers' Compensation** 

4 Advisory Vote on Say on Pay Frequency One Year One Year Management

5 Approve Recapitalization Plan for all Against For Shareholder

Stock to Have One-vote per Share

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#### MARATHON PETROLEUM CORPORATION

Ticker: MPC Security ID: 56585A102

Meeting Date: APR 26, 2017 Meeting Type: Annual

Record Date: FEB 27, 2017

# Proposal Mgt Rec Vote Cast Sponsor

1a Elect Director Steven A. Davis For For Management

1b Elect Director Gary R. Heminger For For Management

Ic Elect Director J. Michael Stice For For Management

1d Elect Director John P. Surma For For Management

2 Ratify PricewaterhouseCoopers LLP as For For Management

Auditors

3 Advisory Vote to Ratify Named For For Management

Executive Officers' Compensation

4 Amend Omnibus Stock Plan For For Management

5 Report on Environmental and Human Against For Shareholder

Rights Due Diligence

6 Report on Strategy for Aligning with 2 Against For Shareholder

Degree Scenario

7 Adopt Simple Majority Vote Against For Shareholder

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#### MCKESSON CORPORATION

Ticker: MCK Security ID: 58155Q103

Meeting Date: JUL 27, 2016 Meeting Type: Annual

Record Date: MAY 31, 2016

# Proposal Mgt Rec Vote Cast Sponsor

Management

For

1b Elect Director Wayne A. Budd For For Management

For

1c Elect Director N. Anthony Coles For For Management

1d Elect Director John H. Hammergren For For Management

e Elect Director M. Christine Jacobs For For Management

1f Elect Director Donald R. Knauss For For Management

1g Elect Director Marie L. Knowles For For Management

1h Elect Director Edward A. Mueller For For Management

1i Elect Director Susan R. Salka For For Management

2 Ratify Deloitte & Touche LLP as For For Management

Auditors

3 Advisory Vote to Ratify Named For For Management

**Executive Officers' Compensation** 

Elect Director Andy D. Bryant

4 Pro-rata Vesting of Equity Awards Against For Shareholder

5 Report on Political Contributions Against For Shareholder

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#### MEDIOBANCA SPA

Ticker: MB Security ID: T10584117

Meeting Date: OCT 28, 2016 Meeting Type: Annual

Record Date: OCT 19, 2016

Froposal Mgt Rec Vote Cast Sponsor

1 Approve Financial Statements, For For Management

Statutory Reports, and Allocation of

Income

2 Elect Marina Natale as Director None Against Shareholder

3.a Approve Remuneration Report For For Management

3.b Approve Fixed-Variable Compensation For For Management

Ratio

3.c Approve Severance Payments Policy For For Management

4 Approve Director, Officer, and For For Management

Internal Auditors Liability and

Indemnity Insurance

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#### MEDTRONIC PLC

Ticker: MDT Security ID: G5960L103

Meeting Date: DEC 09, 2016 Meeting Type: Annual

Record Date: OCT 11, 2016

# Proposal Mgt Rec Vote Cast Sponsor

1a Elect Director Richard H. Anderson For For Management

1b Elect Director Craig Arnold For For Management

1c Elect Director Scott C. Donnelly For For Management

1d	Elect Director Randall J. Hogan, III	For	For	Management
1e	Elect Director Omar Ishrak	For	For	Management
1f	Elect Director Shirley Ann Jackson	For	For	Management
1g	Elect Director Michael O. Leavitt	For	For	Management
1h	Elect Director James T. Lenehan	For	For	Management
1i	Elect Director Elizabeth G. Nabel	For	For	Management
1j	Elect Director Denise M. O'Leary	For	For	Management
1k	Elect Director Kendall J. Powell	For	For	Management
11	Elect Director Robert C. Pozen	For	For	Management
1m	Elect Director Preetha Reddy	For	For	Management
2	Ratify PricewaterhouseCoopers LLF	e as F	or Fo	r Management
	Auditors			
3	Advisory Vote to Ratify Named	For	For	Management
	Executive Officers' Compensation			
4	Provide Proxy Access Right	For	For	Management
5a	Amend Articles of Association	For	For	Management
5b	Amend Memorandum of Association	on	For F	For Management
6	Amend Articles to Clarify the Board	l's For	For	Management
	Sole Authority to Determine its Size			
	Within the Fixed Limits			

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## MELCO CROWN ENTERTAINMENT LTD.

Ticker: MPEL Security ID: 585464100

Meeting Date: MAR 29, 2017 Meeting Type: Annual

Record Date: FEB 21, 2017

# Proposal Mgt Rec Vote Cast Sponsor

1 Change Company Name to Melco Resorts & For For Management

**Entertainment Limited** 

2 Adopt the Amended and Restated For For Management

Memorandum and Articles of Association

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MFA FINANCIAL, INC.

Ticker: MFA Security ID: 55272X102

Meeting Date: MAY 24, 2017 Meeting Type: Annual

Record Date: MAR 29, 2017

# Proposal Mgt Rec Vote Cast Sponsor

a Elect Director Stephen R. Blank For For Management

1b Elect Director Laurie Goodman For For Management

1c Elect Director William S. Gorin For For Management

2 Ratify KPMG LLP as Auditors For For Management

3 Advisory Vote to Ratify Named For For Management

**Executive Officers' Compensation** 

4 Advisory Vote on Say on Pay Frequency One Year One Year Management

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#### MGM RESORTS INTERNATIONAL

Ticker: MGM Security ID: 552953101

Meeting Date: MAY 31, 2017 Meeting Type: Annual

Record Date: APR 05, 2017

# Proposal Mgt Rec Vote Cast Sponsor

1.1 Elect Director Robert H. Baldwin For For Management

1.2 Elect Director William A. Bible For For Management

1.3 Elect Director Mary Chris Gay For For Management

1.4 Elect Director William W. Grounds For For Management

.5 Elect Director Alexis M. Herman For For Management

1.6 Elect Director Roland Hernandez For For Management

1.7 Elect Director John Kilroy For For Management

1.8 Elect Director Rose McKinney-James For For Management

1.9 Elect Director James J. Murren For For Management

1.10 Elect Director Gregory M. Spierkel For For Management

1.11 Elect Director Daniel J. Taylor For For Management

2 Ratify Deloitte & Touche LLP as For For Management

Auditors

3 Advisory Vote to Ratify Named For For Management

Executive Officers' Compensation

4 Advisory Vote on Say on Pay Frequency One Year One Year Management

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#### MICROSOFT CORPORATION

Ticker: MSFT Security ID: 594918104

Meeting Date: NOV 30, 2016 Meeting Type: Annual

Record Date: SEP 30, 2016

# Proposal Mgt Rec Vote Cast Sponsor

1.1 Elect Director William H. Gates, Ill For For Management

1.2 Elect Director Teri L. List-Stoll For For Management

.3 Elect Director G. Mason Morfit For For Management

1.4 Elect Director Satya Nadella For For Management

.5 Elect Director Charles H. Noski For For Management

.6 Elect Director Helmut Panke For For Management

1.7 Elect Director Sandra E. Peterson For For Management

.8 Elect Director Charles W. Scharf For For Management

1.9 Elect Director John W. Stanton For For Management

1.10 Elect Director John W. Thompson For For Management

1.11 Elect Director Padmasree Warrior For For Management

2 Advisory Vote to Ratify Named For For Management

Executive Officers' Compensation

3 Ratify Deloitte & Touche LLP as For For Management

**Auditors** 

4 Amend Right to Call Special Meeting For For Management

5 Amend Omnibus Stock Plan For For Management

6 Proxy Access Against For Shareholder

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#### MITSUBISHI UFJ FINANCIAL GROUP

Ticker: 8306 Security ID: J44497105

Meeting Date: JUN 29, 2017 Meeting Type: Annual

Record Date: MAR 31, 2017

# Proposal Mgt Rec Vote Cast Sponsor

1 Approve Allocation of Income, with a For For Management

Final Dividend of JPY 9

2.1 Elect Director Kawakami, Hiroshi For For Management

2.2 Elect Director Kawamoto, Yuko For For Management

2.3 Elect Director Matsuyama, Haruka For For Management

2.4 Elect Director Toby S. Myerson For For Management

2.5 Elect Director Okuda, Tsutomu For For Management

2.6 Elect Director Sato, Yukihiro For Against Management

For Elect Director Tarisa Watanagase For Management Elect Director Yamate, Akira For For Management Elect Director Shimamoto, Takehiko For For Management For For 2.10 Elect Director Okamoto, Junichi Management 2.11 Elect Director Sono, Kiyoshi For For Management 2.12 Elect Director Nagaoka, Takashi For For Management 2.13 Elect Director Ikegaya, Mikio For For Management 2.14 Elect Director Mike, Kanetsugu For For Management For 2.15 Elect Director Hirano, Nobuyuki For Management 2.16 Elect Director Kuroda, Tadashi For For Management For 2.17 Elect Director Tokunari, Muneaki For Management 2.18 Elect Director Yasuda, Masamichi For For Management Amend Articles to Require Individual Against For Shareholder Compensation Disclosure for Directors Shareholder Amend Articles to Separate Chairman of Against For 4 the Board and CEO Amend Articles to Create System that Against Against Shareholder Enables Employees to be Reinstated after Running for Office in National Elections, Local Assembly Elections, and Elections for the Heads of Local Governments Shareholder 6 Amend Articles to Require Company to Against For Urge Subsidiaries Owning Shares in Allied Firms to Vote Shares

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Ap	nro	nrı	ate	١ĸ
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7 Amend Articles to Disclose Director Against Against Shareholder

**Training Policy** 

8 Amend Articles to Add Provisions on Against Against Shareholder

Communications and Responses between

Shareholders and Directors

9 Amend Articles to Add Provisions Against Against Shareholder

Allowing Shareholders to Nominate

**Director Candidates to Nomination** 

Committee and Its Equal Treatment

10 Amend Articles to Allow Inclusion of Against Against Shareholder

Shareholder Proposals in Convocation

Notice with the Upper Limit of 100 at

Minimum

11 Amend Articles to Establish Liaison Against Against Shareholder

for Reporting Concerns to Audit

Committee

12 Amend Articles to Mandate Holding of Against Against Shareholder

Meetings Consisting Solely of Outside

Directors

13 Amend Articles to Add Provisions on Against Against Shareholder

Recruitment and Offer of Senior

Positions to Women Who Interrupted

Their Careers for Childbirth

14 Amend Articles to Prohibit Against Against Shareholder

**Discriminatory Treatment of Activist** 

In	ves	tΩ	rc

15 Amend Articles to Establish Special Against Against Shareholder

Committee on Expressing the Company's

Opinion on Recent Actions by Justice

Minister Katsutoshi Kaneda

16 Amend Articles to Establish Special Against Against Shareholder

Investigation Committee on Loans to K.

K. Kenko

17 Remove Director Haruka Matsuyama from Against Against Shareholder

Office

18 Appoint Shareholder Director Nominee Against Against Shareholder

Lucian Bebchuk in place of Haruka

Matsuyama

19 Amend Articles to Hand over Petition Against Against Shareholder

Calling for Refraining of Strongly

Pursuing Negative Interest Rate Policy

to Governor of the Bank of Japan

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MITSUI FUDOSAN CO. LTD.

Ticker: 8801 Security ID: J4509L101

Meeting Date: JUN 29, 2017 Meeting Type: Annual

Record Date: MAR 31, 2017

#	Proposal Mg	t Rec	Vote	Cast S	ponsor
1	Approve Allocation of Income,	with a	For	For	Management
	Final Dividend of JPY 18				
2.1	Elect Director Iwasa, Hiromich	i I	For	For	Management
2.2	Elect Director Komoda, Masan	obu	For	For	Management
2.3	Elect Director Kitahara, Yoshik	azu	For	For	Management
2.4	Elect Director Fujibayashi, Kiy	otaka	For	For	Management
2.5	Elect Director Onozawa, Yasuc	)	For	For	Management
2.6	Elect Director Sato, Masatoshi	F	For	For	Management
2.7	Elect Director Ishigami, Hiroyu	ıki	For	For	Management
2.8	Elect Director Yamamoto, Taka	ashi	For	For	Management
2.9	Elect Director Yamashita, Toru	!	For	For	Management
2.10	Elect Director Egashira, Toshi	aki	For	For	Management
2.11	Elect Director Egawa, Masako		For	For	Management
2.12	2 Elect Director Nogimori, Masa	ıfumi	For	For	Management
3	Approve Annual Bonus	Fo	or F	or	Management
4	Approve Director Retirement B	onus	For	For	Management

MONDELEZ INTERNATIONAL, INC.

Ticker: MDLZ Security ID: 609207105

Meeting Date: MAY 17, 2017 Meeting Type: Annual

Record Date: MAR 08, 2017

# **Proposal** Mgt Rec Vote Cast Sponsor For Elect Director Lewis W.K. Booth For Management Elect Director Charles E. Bunch For For Management 1b Elect Director Lois D. Juliber For For Management Elect Director Mark D. Ketchum For For Management 1d Elect Director Jorge S. Mesquita For For Management For Elect Director Joseph Neubauer Management 1f For Elect Director Nelson Peltz For For Management 1g Elect Director Fredric G. Reynolds For For Management 1h Elect Director Irene B. Rosenfeld For For Management 1j Elect Director Christiana S. Shi For For Management For Elect Director Patrick T. Siewert For Management Elect Director Ruth J. Simmons 11 For For Management Elect Director Jean-Francois M. L. van For For Management Boxmeer Ratify PricewaterhouseCoopers LLP as For For Management Auditors Advisory Vote to Ratify Named For For Management **Executive Officers' Compensation** 

Advisory Vote on Say on Pay Frequency One Year One Year

Against For

Assess Environmental Impact of

Non-Recyclable Packaging

5

Management

Shareholder

103

6 Create a Committee to Prepare a Report Against Against Shareholder

Regarding the Impact of Plant Closures

on Communities and Alternatives

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#### **NESTLE SA**

Ticker: NESN Security ID: H57312649

Meeting Date: APR 06, 2017 Meeting Type: Annual

Record Date:

- # Proposal Mgt Rec Vote Cast Sponsor
- 1.1 Accept Financial Statements and For For Management

**Statutory Reports** 

- 1.2 Approve Remuneration Report For For Management
- 2 Approve Discharge of Board and Senior For For Management

Management

3 Approve Allocation of Income and For For Management

Dividends of CHF 2.30 per Share

- 4.1a Reelect Paul Bulcke as Director For For Management
- 4.1b Reelect Andreas Koopmann as Director For For Management
- 4.1c Reelect Henri de Castries as Director For For Management
- 4.1d Reelect Beat W. Hess as Director For For Management
- 4.1e Reelect Renato Fassbind as Director For For Management

4.1f Reelect Steven G. Hoch as Director For For Management 4.1g Reelect Naina Lal Kidwai as Director For For Management 4.1h Reelect Jean-Pierre Roth as Director For For Management 4.1i Reelect Ann M. Veneman as Director For For Management 4.1j Reelect Eva Cheng as Director For Management For 4.1k Reelect Ruth K. Oniang'o as Director For For Management 4.11 Reelect Patrick Aebischer as Director For For Management 4.2a Elect Ulf Mark Schneider as Director For For Management For 4.2b Elect Ursula M. Burns as Director For Management Elect Paul Bulcke as Board Chairman For For Management 4.4a Appoint Beat W. Hess as Member of the For For Management **Compensation Committee** 4.4b Appoint Andreas Koopmann as Member of For Management For the Compensation Committee For 4.4c Appoint Jean-Pierre Roth as Member of For Management the Compensation Committee 4.4d Appoint Patrick Aebischer as Member of For For Management the Compensation Committee 4.5 Ratify KPMG AG as Auditors For For Management 4.6 Designate Hartmann Dreyer as For For Management **Independent Proxy** 5.1 Approve Remuneration of Directors in For For Management the Amount of CHF 10 Million 5.2 Approve Remuneration of Executive For For Management Committee in the Amount of CHF 60

#### Million

6 Transact Other Business (Voting) Against Against Management

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NETAPP, INC.

Ticker: NTAP Security ID: 64110D104

Meeting Date: SEP 15, 2016 Meeting Type: Annual

Record Date: JUL 19, 2016

# Proposal Mgt Rec Vote Cast Sponsor

la Elect Director T. Michael Nevens For For Management

1b Elect Director Jeffry R. Allen For For Management

c Elect Director Alan L. Earhart For For Management

1d Elect Director Gerald Held For For Management

le Elect Director Kathryn M. Hill For For Management

1f Elect Director George Kurian For For Management

1g Elect Director George T. Shaheen For For Management

1h Elect Director Stephen M. Smith For For Management

1i Elect Director Robert T. Wall For For Management

1j Elect Director Richard P. Wallace For For Management

2 Amend Omnibus Stock Plan For For Management

3 Amend Qualified Employee Stock For For Management

Purchase Plan

4	Advisory Vote to Ratify Named I	For	For	Management
	Executive Officers' Compensation			
5	Ratify Deloitte & Touche LLP as	For	For	Management
	Auditors			
NE	EW RESIDENTIAL INVESTMENT COI	RP.		
Tic	icker: NRZ Security ID: 64828	T201		
Me	Ieeting Date: MAY 25, 2017 Meeting Ty	pe: An	nual	
Re	ecord Date: MAR 27, 2017			
#	Proposal Mgt Rec	Vote C	ast Spor	nsor
1.1	1 Elect Director Alan L. Tyson Fo	or F	For N	Management
1.2	2 Elect Director David Saltzman F	or 1	For ]	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
NE	EWELL BRANDS INC.			
Tic	icker: NWL Security ID: 65122	29106		

Meeting Date: MAY 09, 2017 Meeting Type: Annual

Record Date: MAR 17, 2017

#	Proposal Mgt Rec	Vote	Cast	Sponsor
1a	Elect Director Ian G.H. Ashken	For	For	Management
1b	Elect Director Thomas E. Clarke	For	For	Management
1c	Elect Director Kevin C. Conroy	For	For	Management
1d	Elect Director Scott S. Cowen	For	For	Management
1e	Elect Director Michael T. Cowhig	For	For	Management
1f	Elect Director Domenico De Sole	For	For	Management
1g	Elect Director Martin E. Franklin	For	For	Management
1h	Elect Director Ros L'Esperance	For	For	Management
1i	Elect Director Michael B. Polk	For	For	Management
1j	Elect Director Steven J. Strobel	For	For	Management
1k	Elect Director Michael A. Todman	For	Fo	r Management
11	Elect Director Raymond G. Viault	For	For	Management
2	Ratify PricewaterhouseCoopers LLP	as Fo	or F	or Management
	Auditors			
3	Advisory Vote to Ratify Named	For	For	Management
	Executive Officers' Compensation			
4	Advisory Vote on Say on Pay Freque	ncy C	ne Yea	ar One Year Management

NEXTERA ENERGY, INC.

Ticker: NEE Security ID: 65339F101

Meeting Date: MAY 18, 2017 Meeting Type: Annual

Record Date: MAR 23, 2017

- Mgt Rec Vote Cast Sponsor Proposal Elect Director Sherry S. Barrat For Management For Elect Director James L. Camaren For For Management 1b For For Elect Director Kenneth B. Dunn Management Elect Director Naren K. Gursahaney For For Management 1d For For Elect Director Kirk S. Hachigian Management Elect Director Toni Jennings For For Management 1f Elect Director Amy B. Lane For For Management 1g Management 1h Elect Director James L. Robo For For Elect Director Rudy E. Schupp For For Management For Elect Director John L. Skolds For Management 1j Elect Director William H. Swanson For For Management Elect Director Hansel E. Tookes, II For Management 11 For For 2 Ratify Deloitte & Touche LLP as For Management Auditors For Management Advisory Vote to Ratify Named For
- 4 Advisory Vote on Say on Pay Frequency One Year One Year Management
- 5 Approve Non-Employee Director For For Management
  Restricted Stock Plan

Executive Officers' Compensation

6 Report on Political Contributions Against For Shareholder

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#### NIPPON BUILDING FUND INC.

Ticker: 8951 Security ID: J52088101

Meeting Date: MAR 15, 2017 Meeting Type: Special

Record Date: DEC 31, 2016

- # Proposal Mgt Rec Vote Cast Sponsor
- 1 Amend Articles to Reflect Changes in For For Management

Law

2 Elect Executive Director Kageyama, For For Management

Yoshiki

3.1 Elect Alternate Executive Director For For Management

Tanaka, Kenichi

3.2 Elect Alternate Executive Director For For Management

Shibata, Morio

- 4.1 Elect Supervisory Director Goto, Hakaru For For Management
- 4.2 Elect Supervisory Director Yamazaki, For For Management

Masahiko

4.3 Elect Supervisory Director Kawakami, For For Management

Yutaka

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#### NIPPON STEEL & SUMITOMO METAL CORP.

Ticker: 5401 Security ID: J55678106

Meeting Date: JUN 27, 2017 Meeting Type: Annual

Record Date: MAR 31, 2017

# Proposal Mgt Rec Vote Cast Sponsor

1 Approve Allocation of Income, with a For For Management

Final Dividend of JPY 45

2.1 Elect Director Muneoka, Shoji For Against Management

2.2 Elect Director Shindo, Kosei For Against Management

2.3 Elect Director Sakuma, Soichiro For For Management

2.4 Elect Director Saeki, Yasumitsu For For Management

2.5 Elect Director Fujino, Shinji For For Management

2.6 Elect Director Hashimoto, Eiji For For Management

2.7 Elect Director Takahashi, Kenji For For Management

2.8 Elect Director Sakae, Toshiharu For For Management

2.9 Elect Director Tanimoto, Shinji For For Management

2.10 Elect Director Nakamura, Shinichi For For Management

2.11 Elect Director Kunishi, Toshihiko For For Management

2.12 Elect Director Otsuka, Mutsutake For For Management

2.13 Elect Director Fujisaki, Ichiro For For Management

3 Appoint Statutory Auditor Nagayasu, For Against Management Katsunori

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#### NOKIA CORP.

Ticker: NOKIA Security ID: X61873133

Meeting Date: MAY 23, 2017 Meeting Type: Annual

Record Date: MAY 11, 2017

- # Proposal Mgt Rec Vote Cast Sponsor
- 1 Open Meeting None None Management
- 2 Call the Meeting to Order None None Management
- 3 Designate Inspector or Shareholder For For Management

Representative(s) of Minutes of Meeting

- 4 Acknowledge Proper Convening of Meeting For For Management
- 5 Prepare and Approve List of For For Management

Shareholders

6 Receive Financial Statements and None None Management

**Statutory Reports** 

7 Accept Financial Statements and For For Management

**Statutory Reports** 

8 Approve Allocation of Income and For For Management

Dividends of EUR 0.17 Per Share

9	Approve Discharge of Board and	For	For	Management
	President			
10	Approve Remuneration of Directors in	n For	For	Management
	the Amount of EUR 440,000 to Chair,			
	EUR 185,000 to Vice Chair and EUR			
	160,000 to Other Directors; Approve			
	Remuneration for Committee Work;			
	Approve Meeting Fees			
11	Fix Number of Directors at Ten	For	For	Management
12	Reelect Bruce Brown, Louis Hughes,	For	For	Management
	Jean Monty, Elizabeth Nelson, Olivier			
	Piou, Risto Siilasmaa, Carla			
	Smits-Nusteling and Kari Stadigh as			
	Directors, Elect Jeanette Horan and			
	Edward Kozel as New Directors			
13	Approve Remuneration of Auditors	For	For	Management
14	Ratify PricewaterhouseCoopers as	For	For	Management
	Auditors			
15	Authorize Share Repurchase Program	For	For	Management
16	Approve Issuance of up to 560 Million	n For	For	Management
	Shares without Preemptive Rights			
17	Close Meeting None	Non	e Ma	anagement

#### NORFOLK SOUTHERN CORPORATION

Ticker: NSC Security ID: 655844108

Meeting Date: MAY 11, 2017 Meeting Type: Annual

Record Date: MAR 02, 2017

- # Proposal Mgt Rec Vote Cast Sponsor
- 1.1 Elect Director Thomas D. Bell, Jr. For For Management
- 1.2 Elect Director Erskine B. Bowles For For Management
- 1.3 Elect Director Robert A. Bradway None None Management

Withdrawn

- 1.4 Elect Director Wesley G. Bush For For Management
- 1.5 Elect Director Daniel A. Carp For For Management
- 1.6 Elect Director Mitchell E. Daniels, Jr. For For Management
- 1.7 Elect Director Marcela E. Donadio For For Management
- 1.8 Elect Director Steven F. Leer For For Management
- 1.9 Elect Director Michael D. Lockhart For For Management
- 1.10 Elect Director Amy E. Miles For For Management
- 1.11 Elect Director Martin H. Nesbitt For For Management
- 1.12 Elect Director James A. Squires For For Management
- 1.13 Elect Director John R. Thompson For For Management
- 2 Ratify KPMG LLP as Auditors For For Management
- 3 Advisory Vote to Ratify Named For For Management

**Executive Officers' Compensation** 

4 Advisory Vote on Say on Pay Frequency One Year One Year Management

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#### **NOVARTIS AG**

Ticker: NOVN Security ID: 66987V109

Meeting Date: FEB 28, 2017 Meeting Type: Annual

Record Date: JAN 20, 2017

- # Proposal Mgt Rec Vote Cast Sponsor
- 1 Accept Financial Statements and For For Management

**Statutory Reports** 

2 Approve Discharge of Board and Senior For For Management

Management

3 Approve Allocation of Income and For For Management

Dividends of CHF 2.75 per Share

4 Approve CHF 5.14 Million Reduction in For For Management

Share Capital via Cancellation of

Repurchased Shares

5.1 Approve Maximum Remuneration of For For Management

Directors in the Amount of CHF 8.5

Million

5.2 Approve Maximum Remuneration of For For Management

Executive Committee in the Amount of

## CHF 99 Million

5.3	Approve Remuneration Report	For	Against	Management
(	(Non-Binding)			
6.1	Reelect Joerg Reinhardt as Director	For	For	Management
;	and Chairman			
6.2	Reelect Nancy C. Andrews as Direct	tor Fo	For	Management
6.3	Reelect Dimitri Azar as Director	For	For	Management
6.4	Reelect Ton Buechner as Director	For	For	Management
6.5	Reelect Srikant Datar as Director	For	For	Management
6.6	Reelect Elizabeth Doherty as Director	or For	For	Management
6.7	Reelect Ann Fudge as Director	For	For	Management
6.8	Reelect Pierre Landolt as Director	For	For	Management
6.9	Reelect Andreas von Planta as Direc	tor For	For	Management
6.10	Reelect Charles L. Sawyers as Direc	ctor For	For	Management
6.11	Reelect Enrico Vanni as Director	For	For	Management
6.12	Reelect William T. Winters as Direct	ctor For	For	Management
6.13	B Elect Frans van Houten as Director	For	For	Management
7.1	Appoint Srikant Datar as Member of	the Fo	r For	Management
(	Compensation Committee			
7.2	Appoint Ann Fudge as Member of the	ne Fo	r For	Management
(	Compensation Committee			
7.3	Appoint Enrico Vanni as Member of	the Fo	or For	Management
(	Compensation Committee			
7.4	Appoint William T. Winters as Mem	nber Fo	or For	Management
•	of the Compensation Committee			
8	Ratify PricewaterhouseCoopers AG a	as For	For	Management

Auditors

9 Designate Peter Andreas Zahn as For For Management

**Independent Proxy** 

10 Transact Other Business (Voting) For Against Management

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NRG YIELD, INC.

Ticker: NYLD.A Security ID: 62942X405

Meeting Date: APR 25, 2017 Meeting Type: Annual

Record Date: MAR 03, 2017

# Proposal Mgt Rec Vote Cast Sponsor

1.1 Elect Director Mauricio Gutierrez For Withhold Management

1.2 Elect Director John F. Chlebowski For For Management

1.3 Elect Director Kirkland B. Andrews For Withhold Management

1.4 Elect Director John Chillemi For Withhold Management

1.5 Elect Director Brian R. Ford For Management

1.6 Elect Director Ferrell P. McClean For For Management

1.7 Elect Director Christopher S. Sotos For Withhold Management

2 Approve Executive Incentive Bonus Plan For For Management

3 Advisory Vote to Ratify Named For Against Management

**Executive Officers' Compensation** 

- 4 Advisory Vote on Say on Pay Frequency One Year One Year Management
- 5 Ratify KPMG LLP as Auditors For For Management

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#### PATTERN ENERGY GROUP INC.

Ticker: PEGI Security ID: 70338P100

Meeting Date: JUN 01, 2017 Meeting Type: Annual

Record Date: APR 07, 2017

- # Proposal Mgt Rec Vote Cast Sponsor
- 1.1 Elect Director Alan R. Batkin For For Management
- 1.2 Elect Director Patricia S. Bellinger For For Management
- 1.3 Elect Director The Lord Browne of For For Management

Madingley

- 1.4 Elect Director Michael M. Garland For For Management
- 1.5 Elect Director Douglas G. Hall For For Management
- 1.6 Elect Director Michael B. Hoffman For For Management
- 1.7 Elect Director Patricia M. Newson For For Management
- 2 Ratify Ernst & Young LLP as Auditors For For Management
- 3 Advisory Vote to Ratify Named For For Management

Executive Officers' Compensation

4 Amend Omnibus Stock Plan For For Management

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## PFIZER INC.

Ticker: PFE Security ID: 717081103

Meeting Date: APR 27, 2017 Meeting Type: Annual

Record Date: FEB 28, 2017

#	Proposal Mgt Re	ec Vote	Cast S	ponsor
1.1	Elect Director Dennis A. Ausiello	For	For	Management
1.2	Elect Director Ronald E. Blaylock	For	For	Management
1.3	Elect Director W. Don Cornwell	For	For	Management
1.4	Elect Director Joseph J. Echevarria	For	For	Management
1.5	Elect Director Frances D. Fergusso	n For	For	Management
1.6	Elect Director Helen H. Hobbs	For	For	Management
1.7	Elect Director James M. Kilts	For	For	Management
1.8	Elect Director Shantanu Narayen	For	For	Management
1.9	Elect Director Suzanne Nora Johnse	on Fo	r For	Management
1.10	Elect Director Ian C. Read	For	For	Management
1.11	Elect Director Stephen W. Sanger	For	For	Management
1.12	2 Elect Director James C. Smith	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named	For	For	Management
	Executive Officers' Compensation			

- 4 Advisory Vote on Say on Pay Frequency One Year One Year Management
- 5 Adopt Holy Land Principles Against Against Shareholder
- 6 Amend Bylaws Call Special Meetings Against For Shareholder
- 7 Require Independent Board Chairman Against Against Shareholder

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#### RANDGOLD RESOURCES LTD

Ticker: RRS Security ID: 752344309

Meeting Date: MAY 02, 2017 Meeting Type: Annual

Record Date: MAR 24, 2017

- # Proposal Mgt Rec Vote Cast Sponsor
- 1 Accept Financial Statements and For For Management

Statutory Reports

- 2 Approve Final Dividend For For Management
- 3 Approve Remuneration Report For For Management
- 4 Approve Remuneration Policy For For Management
- 5 Re-elect Safiatou Ba-N'Daw as Director For For Management
- 6 Re-elect Mark Bristow as Director For For Management
- 7 Re-elect Christopher Coleman as For For Management

Director

- 8 Re-elect Jamil Kassum as Director For For Management
- 9 Elect Olivia Kirtley as Director For For Management

10 Re-elect Jeanine Mabunda Lioko as For For Management Director Re-elect Andrew Quinn as Director For For Management Re-elect Graham Shuttleworth as Management For For Director Reappoint BDO LLP as Auditors Management For For Authorise the Audit Committee to Fix For For Management Remuneration of Auditors Authorise Issue of Equity with For Management For Pre-emptive Rights 16 Approve Awards of Ordinary Shares to For For Management Non-executive Directors 17 Approve Award of Ordinary Shares to Management For the Senior Independent Director 18 Approve Award of Ordinary Shares to For Management the Chairman Authorise Issue of Equity without Management For For Pre-emptive Rights 20 Authorise Market Purchase of Ordinary For Management For Shares and American Depositary Shares

## RAYTHEON COMPANY

Ticker: RTN Security ID: 755111507

Meeting Date: MAY 25, 2017 Meeting Type: Annual

Record Date: APR 04, 2017

- # Proposal Mgt Rec Vote Cast Sponsor
- 1a Elect Director Tracy A. Atkinson For For Management
- 1b Elect Director Robert E. Beauchamp For For Management
- 1c Elect Director Vernon E. Clark For For Management
- 1d Elect Director Stephen J. Hadley For For Management
- 1e Elect Director Thomas A. Kennedy For For Management
- 1f Elect Director Letitia A. Long For For Management
- 1g Elect Director George R. Oliver For For Management
- 1h Elect Director Dinesh C. Paliwal For For Management
- 1i Elect Director William R. Spivey For For Management
- 1j Elect Director James A. Winnefeld, Jr. For For Management
- 2 Advisory Vote to Ratify Named For For Management

Executive Officers' Compensation

- 3 Advisory Vote on Say on Pay Frequency One Year One Year Management
- 4 Approve Executive Incentive Bonus Plan For For Management
- 5 Ratify PricewaterhouseCoopers LLP as For For Management

Auditors

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#### REGIONS FINANCIAL CORPORATION

Ticker: RF Security ID: 7591EP100

Meeting Date: APR 20, 2017 Meeting Type: Annual

Record Date: FEB 21, 2017

**Proposal** Mgt Rec Vote Cast Sponsor Elect Director Carolyn H. Byrd For Management For Elect Director David J. Cooper, Sr. For 1b For Management Elect Director Don DeFosset For For Management Elect Director Samuel A. Di Piazza, Jr. For For Management Elect Director Eric C. Fast For For Management 1e

f Elect Director O. B. Grayson Hall, Jr. For For Management

1g Elect Director John D. Johns For For Management

1h Elect Director Ruth Ann Marshall For For Management

1i Elect Director Susan W. Matlock For For Management

1j Elect Director John E. Maupin, Jr. For For Management

1k Elect Director Charles D. McCrary For For Management

11 Elect Director James T. Prokopanko For For Management

1m Elect Director Lee J. Styslinger, III For For Management

1n Elect Director Jose S. Suquet For For Management

2 Ratify Ernst & Young LLP as Auditors For For Management

3 Advisory Vote to Ratify Named For For Management

**Executive Officers' Compensation** 

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#### RUMO LOGISTICA OPERADORA MULTIMODAL SA

Ticker: RUMO3 Security ID: P8S112140

Meeting Date: DEC 19, 2016 Meeting Type: Special

Record Date:

- # Proposal Mgt Rec Vote Cast Sponsor
- 1 Approve Merger Agreement Between the For For Management Company and Rumo S.A.
- 2 Appoint Independent Firm to Appraise For For Management
  Proposed Transaction
- 3 Approve Independent Firm's Appraisal For For Management
- 4 Approve Merger Between the Company and For For Management
  Rumo S.A. and Subsequent Extinction of
  Company
- 5 Elect Fiscal Council Member For For Management
- 6 Elect Directors For For Management
- 7 Authorize Board to Ratify and Execute For For Management
  Approved Resolutions

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RUMO S.A.

Ticker: RAIL3-BR Security ID: P8S114104

Meeting Date: APR 26, 2017 Meeting Type: Annual

Record Date:

- # Proposal Mgt Rec Vote Cast Sponsor
- 1 Accept Financial Statements and For For Management

Statutory Reports for Fiscal Year

Ended Dec. 31, 2016

- 2 Approve Allocation of Income For For Management
- 3 Fix Number of Directors at Twelve For For Management
- 4 Elect Directors and Alternates For For Management
- 4.1 Elect Director Appointed by Minority None Did Not Vote Shareholder

Shareholder

- 5 Fix Number of Fiscal Council Members For For Management at Five
- 6 Elect Fiscal Council Members and For Abstain Management

Alternates

6.1 Elect Thiago Costa Jacinto as Fiscal None For Shareholder

Council Member and William Cordeiro as

Alternate Appointed by Minority

Shareholder

#### RUMO S.A.

Ticker: RAIL3-BR Security ID: P8S114104

Meeting Date: APR 26, 2017 Meeting Type: Special

Record Date:

- # Proposal Mgt Rec Vote Cast Sponsor
- 1 Approve Remuneration of Company's For Against Management

  Management
- 2 Approve Remuneration of Fiscal Council For For Management

  Members
- 3 Amend Articles 7, 34 and 36 For For Management
- 4 Designate Newspapers to Publish For For Management

Company Announcements

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### SAMSONITE INTERNATIONAL S.A.

Ticker: 1910 Security ID: L80308106

Meeting Date: JUN 01, 2017 Meeting Type: Annual

Record Date: MAY 25, 2017

#	Proposal Mgt Rec Vote Cast Sponsor
1	Accept Financial Statements and For For Management
	Statutory Reports
2	Approve Allocation of Results for the For For Management
	Year 2016
3	Approve Cash Distribution For For Management
4a	Reelect Kyle Francis Gendreau as For For Management
	Director
4b	Reelect Tom Korbas as Director For For Management
4c	Reelect Ying Yeh as Director For For Management
5	Renew Mandate Granted to KPMG For For Management
	Luxembourg as Statutory Auditor
6	Approve KPMG LLP as External Auditor For For Management
7	Authorize Issuance Shares Up to 10 For For Management
	Percent of the Total Issued Shares
8	Authorize Repurchase of Issued Share For For Management
	Capital
9	Approve Discharge of Directors and For For Management
	Auditors
10	Approve Remuneration of Directors For For Management
11	Authorize Board to Fix the For For Management
	Remuneration of KPMG Luxembourg

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#### SAMSUNG ELECTRONICS CO. LTD.

Ticker: A005930 Security ID: Y74718100

Meeting Date: OCT 27, 2016 Meeting Type: Special

Record Date: SEP 28, 2016

# Proposal Mgt Rec Vote Cast Sponsor

1 Approve Spin-Off Agreement For For Management

2 Elect Lee Jae-yong as Inside Director For For Management

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#### SAMSUNG ELECTRONICS CO. LTD.

Ticker: A005930 Security ID: Y74718100

Meeting Date: MAR 24, 2017 Meeting Type: Annual

Record Date: DEC 31, 2016

# Proposal Mgt Rec Vote Cast Sponsor

1 Approve Financial Statements and For For Management

Allocation of Income

2 Approve Total Remuneration of Inside For For Management

**Directors and Outside Directors** 

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#### SEVEN & I HOLDINGS CO LTD

Ticker: 3382 Security ID: J7165H108

Meeting Date: MAY 25, 2017 Meeting Type: Annual

Record Date: FEB 28, 2017

# Proposal Mgt Rec Vote Cast Sponsor

- 1 Approve Allocation of Income, with a For For Management
  - Final Dividend of JPY 45
- 2.1 Elect Director Isaka, Ryuichi For For Management
- 2.2 Elect Director Goto, Katsuhiro For For Management
- 2.3 Elect Director Ito, Junro For For Management
- 2.4 Elect Director Aihara, Katsutane For For Management
- 2.5 Elect Director Yamaguchi, Kimiyoshi For For Management
- 2.6 Elect Director Furuya, Kazuki For For Management
- 2.7 Elect Director Anzai, Takashi For For Management
- 2.8 Elect Director Otaka, Zenko For For Management
- 2.9 Elect Director Joseph M. DePinto For For Management
- 2.10 Elect Director Scott Trevor Davis For For Management
- 2.11 Elect Director Tsukio, Yoshio For For Management
- 2.12 Elect Director Ito, Kunio For For Management
- 2.13 Elect Director Yonemura, Toshiro For For Management

3 Appoint Statutory Auditor Habano, For For Management Noriyuki

4 Approve Deep Discount Stock Option Plan For For Management

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#### SHIMAMURA CO. LTD.

Ticker: 8227 Security ID: J72208101

Meeting Date: MAY 12, 2017 Meeting Type: Annual

Record Date: FEB 20, 2017

- # Proposal Mgt Rec Vote Cast Sponsor
- 1 Approve Allocation of Income, with a For For Management Final Dividend of JPY 132
- 2.1 Elect Director Nonaka, Masato For For Management
- 2.2 Elect Director Kitajima, Tsuneyoshi For For Management
- 2.3 Elect Director Seki, Shintaro For For Management
- 2.4 Elect Director Nakamura, Takeshi For For Management
- 2.5 Elect Director Terai, Hidezo For For Management
- 2.6 Elect Director Matsui, Tamae For For Management

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#### SHIRE PLC

Ticker: SHP Security ID: 82481R106

Meeting Date: APR 25, 2017 Meeting Type: Annual

Record Date: MAR 23, 2017

- # Proposal Mgt Rec Vote Cast Sponsor
- 1 Accept Financial Statements and For For Management

Statutory Reports

- 2 Approve Remuneration Report For For Management
- 3 Re-elect Dominic Blakemore as Director For For Management
- 4 Re-elect Olivier Bohuon as Director For For Management
- 5 Re-elect William Burns as Director For For Management
- 6 Elect Ian Clark as Director For For Management
- 7 Elect Gail Fosler as Director For For Management
- 8 Re-elect Steven Gillis as Director For For Management
- 9 Re-elect David Ginsburg as Director For For Management
- 10 Re-elect Susan Kilsby as Director For For Management
- 11 Re-elect Sara Mathew as Director For For Management
- 12 Re-elect Anne Minto as Director For For Management
- 13 Re-elect Flemming Ornskov as Director For For Management
- 14 Re-elect Jeffrey Poulton as Director For For Management
- 15 Elect Albert Stroucken as Director For For Management
- 16 Reappoint Deloitte LLP as Auditors For For Management
- 17 Authorise the Audit, Compliance & Risk For For Management

Committee to Fix Remuneration of

Auditors

18 Authorise Issue of Equity with For For Management

Pre-emptive Rights

19 Authorise Issue of Equity without For For Management

Pre-emptive Rights

20 Authorise Issue of Equity without For For Management

Pre-emptive Rights in Connection with

an Acquisition or Other Capital

Investment

21 Authorise Market Purchase of Ordinary For For Management

Shares

22 Adopt New Articles of Association For For Management

23 Authorise the Company to Call General For For Management

Meeting with Two Weeks' Notice

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#### SK HYNIX INC.

Ticker: A000660 Security ID: Y8085F100

Meeting Date: MAR 24, 2017 Meeting Type: Annual

Record Date: DEC 31, 2016

# Proposal Mgt Rec Vote Cast Sponsor

For Approve Financial Statements and For Management Allocation of Income Amend Articles of Incorporation For For Management Elect Lee Seok-hui as Inside Director For For Management 3 Elect Park Jeong-ho as Non-independent For Management 4 For Non-executive Director For 5.1 Elect Choi Jong-won as Outside Director For Management 5.2 Elect Shin Chang-hwan as Outside Management For For Director 6.1 Elect Choi Jong-won as Member of Audit For For Management Committee 6.2 Elect Shin Chang-hwan as Member of For For Management **Audit Committee** Approve Total Remuneration of Inside For For Management **Directors and Outside Directors Approve Stock Option Grants** For Management 8 For

#### **SNAP-ON INCORPORATED**

Ticker: SNA Security ID: 833034101

Meeting Date: APR 27, 2017 Meeting Type: Annual

Record Date: FEB 27, 2017

#	Proposal Mgt Re	c Vote	Cast	Sponsor
1.1	Elect Director David C. Adams	For	For	Management
1.2	Elect Director Karen L. Daniel	For	For	Management
1.3	Elect Director Ruth Ann M. Gillis	For	For	Management
1.4	Elect Director James P. Holden	For	For	Management
1.5	Elect Director Nathan J. Jones	For	For	Management
1.6	Elect Director Henry W. Knueppel	For	For	Management
1.7	Elect Director W. Dudley Lehman	For	For	Management
1.8	Elect Director Nicholas T. Pinchuk	For	For	Management
1.9	Elect Director Gregg M. Sherrill	For	For	Management
1.10	Elect Director Donald J. Stebbins	For	For	Management
2	Ratify Deloitte & Touche LLP as	For	For	Management
	Auditors			
3	Advisory Vote to Ratify Named	For	For	Management
	Executive Officers' Compensation			

4 Advisory Vote on Say on Pay Frequency One Year One Year Management

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## STORA ENSO OYJ

Ticker: STERV Security ID: X8T9CM113

Meeting Date: APR 27, 2017 Meeting Type: Annual

Record Date: APR 13, 2017

Proposal Mgt Rec Vote Cast Sponsor Open Meeting None None Management Call the Meeting to Order Management 2 None None Designate Inspector or Shareholder For For 3 Management Representative(s) of Minutes of Meeting Acknowledge Proper Convening of Meeting For For Management For 5 Prepare and Approve List of For Management Shareholders Receive Financial Statements and 6 None None Management Statutory Reports; Receive Board's Report; Receive Auditor's Report Accept Financial Statements and For For Management **Statutory Reports** Approve Allocation of Income and For For Management Dividends of EUR 0.37 Per Share For For Approve Discharge of Board and Management President 10 Approve Remuneration of Directors in For For Management the Amount of EUR 170,000 for Chairman, EUR 100,000 for Vice Chairman, and EUR 70,000 for Other Directors; Approve Remuneration for Committee Work 11 Fix Number of Directors at Nine For For Management

12 Reelect Anne Brunila, Jorma Eloranta, For For Management

Elisabeth Fleuriot, Hock Goh, Mikael

Makinen, Richard Nilsson and Hans

Straberg as Directors; Elect

Christiane Kuehne and Goran Sandberg

as New Directors

13 Approve Remuneration of Auditors For For Management

14 Ratify Deloitte & Touche as Auditors For For Management

15 Amend Articles Re: Election of For For Management

Chairman and Vice Chairman; General

Meeting Notice

16 Amend Articles Re: Charter of the For For Management

Shareholder's Nomination Board

17 Presentation of Minutes of the Meeting None None Management

18 Close Meeting None None Management

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#### SVENSKA CELLULOSA AB (SCA)

Ticker: SCA B Security ID: W90152120

Meeting Date: APR 05, 2017 Meeting Type: Annual

Record Date: MAR 30, 2017

# Proposal Mgt Rec Vote Cast Sponsor

- 1 Elect Chairman of Meeting For Did Not Vote Management
- 2 Prepare and Approve List of For Did Not Vote Management

Shareholders

- 3 Designate Inspector(s) of Minutes of For Did Not Vote Management
  Meeting
- 4 Acknowledge Proper Convening of Meeting For Did Not Vote Management
- 5 Approve Agenda of Meeting For Did Not Vote Management
- 6 Receive Financial Statements and None None Management
  Statutory Reports
- 7 Receive President's Report None None Management
- 8a Accept Financial Statements and For Did Not Vote Management
  Statutory Reports
- 8b Approve Allocation of Income and For Did Not Vote Management

  Dividends of SEK 6 Per Share; Approve

  Distribution of Shares in SCA Hygiene
- 8c Approve Record Date for Dividend For Did Not Vote Management

  Payment
- 8d Approve Discharge of Board and For Did Not Vote Management

  President
- 9 Determine Number of Directors (10) and For Did Not Vote Management

  Deputy Directors (0) of Board
- 10 Determine Number of Auditors (1) and For Did Not Vote Management

  Deputy Auditors (0)
- 11 Approve Remuneration of Directors in For Did Not Vote Management the Amount of SEK 2.1 Million for

Chairman and SEK 700,000 for Other

Directors; Approve Remuneration for

Representatives of Four of Company's

Nominating Committee prior the Next

Largest Shareholders to Serve on

Committee Work; Approve Remuneration

of Auditors

12a Reelect Par Boman as Director For Did Not Vote Management 12b Reelect Ewa Bjorling as Director For Did Not Vote Management 12c Reelect Maija-Liisa Friman as Director For Did Not Vote Management 12d Reelect Annemarie Gardshol as Director For Did Not Vote Management 12e Reelect Magnus Groth as Director For Did Not Vote Management 12f Reelect Johan Malmquist as Director For Did Not Vote Management 12g Reelect Bert Nordberg as Director For Did Not Vote Management 12h Reelect Louise Svanberg as Director For Did Not Vote Management 12i Reelect Barbara Milian Thoralfsson as For Did Not Vote Management Director 12j Elect Lars Rebien Sorensen as Director For Did Not Vote Management Elect Par Boman as Board Chairman For Did Not Vote Management 14 Ratify Ernst & Young as Auditors For Did Not Vote Management 15a Authorize Chairman of Board and Did Not Vote Management For Representatives of Four of Company's Largest Shareholders to Serve on Nominating Committee prior the **Extraordinary General Meeting** 15b Authorize Chairman of Board and Did Not Vote Management For

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Annual General Meeting (2018)

16 Approve Remuneration Policy And Other For Did Not Vote Management

Terms of Employment For Executive

Management

17 Change Location of Registered For Did Not Vote Management

Office/Headquarters

18a Approve SEK 9.23 Million Reduction in For Did Not Vote Management

Share Capital via Share Cancellation

18b Approve Capitalization of Reserves of For Did Not Vote Management

SEK 9.23 Million for Bonus Issue

19 Close Meeting None None Management

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SVENSKA CELLULOSA AB (SCA)

Ticker: SCA B Security ID: W90152120

Meeting Date: MAY 17, 2017 Meeting Type: Special

Record Date: MAY 11, 2017

# Proposal Mgt Rec Vote Cast Sponsor

Elect Chairman of Meeting For Did Not Vote Management

2 Prepare and Approve List of For Did Not Vote Management

Shareholders

- 3 Designate Inspector(s) of Minutes of For Did Not Vote Management
  Meeting
- 4 Acknowledge Proper Convening of Meeting For Did Not Vote Management
- 5 Approve Agenda of Meeting For Did Not Vote Management
- 6 Determine Number of Members (9) and For Did Not Vote Management

  Deputy Members (0) of Board
- 7 Approve Remuneration of Directors in For Did Not Vote Management the Amount of SEK 1.8 Million to Chair and SEK 600,000 to Other Directors;
  - Approve Remuneration for Committee Work
- 8a Elect Charlotte Bengtsson as New For Did Not Vote Management

  Director
- 8b Elect Lennart Evrell as New Director For Did Not Vote Management
- 8c Elect Ulf Larsson as New Director For Did Not Vote Management
- 8d Elect Martin Lindqvist as New Director For Did Not Vote Management
- 8e Elect Lotta Lyra as New Director For Did Not Vote Management
- 9 Close Meeting None None Management

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#### SYMRISE AG

Ticker: SY1 Security ID: D827A1108

Meeting Date: MAY 17, 2017 Meeting Type: Annual

Record Date: APR 25, 2017

#	Proposal Mgt Rec Vote Cast Sponsor
1	Receive Financial Statements and None None Management
	Statutory Reports for Fiscal 2016
	(Non-Voting)
2	Approve Allocation of Income and For For Management
	Dividends of EUR 0.85 per Share
3	Approve Discharge of Management Board For For Management
	for Fiscal 2016
4	Approve Discharge of Supervisory Board For For Management
	for Fiscal 2016
5	Ratify Ernst & Young as Auditors for For For Management
	Fiscal 2017
6	Approve Issuance of Warrants/Bonds For For Management
	with Warrants Attached/Convertible
	Bonds without Preemptive Rights up to
	Aggregate Nominal Amount of EUR 1.5
	Billion; Approve Creation of EUR 20
	Million Pool of Conditional Capital to
	Guarantee Conversion Rights

SYNCHRONY FINANCIAL

Ticker: SYF Security ID: 87165B103

Meeting Date: MAY 18, 2017 Meeting Type: Annual

Record Date: MAR 23, 2017

# Proposal Mgt Rec Vote Cast Sponsor

1a Elect Director Margaret M. Keane For For Management

1b Elect Director Paget L. Alves For For Management

1c Elect Director Arthur W. Coviello, Jr. For For Management

1d Elect Director William W. Graylin For For Management

1e Elect Director Roy A. Guthrie For For Management

1f Elect Director Richard C. Hartnack For For Management

1g Elect Director Jeffrey G. Naylor For For Management

1h Elect Director Laurel J. Richie For For Management

1i Elect Director Olympia J. Snowe For For Management

2 Advisory Vote to Ratify Named For For Management

**Executive Officers' Compensation** 

3 Amend Omnibus Stock Plan For For Management

4 Ratify KPMG LLP as Auditors For For Management

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#### TE CONNECTIVITY LTD.

Ticker: TEL Security ID: H84989104

Meeting Date: MAR 08, 2017 Meeting Type: Annual

Record Date: FEB 16, 2017

#	Proposal Mgt F	Mgt Rec Vote Cast		Sponsor
1a	Elect Director Pierre R. Brondeau	For	For	Management
1b	Elect Director Terrence R. Curtin	For	For	Management
1c	Elect Director Carol A. ('John')	For	For	Management
	Davidson			
1d	Elect Director William A. Jeffrey	For	For	Management
1e	Elect Director Thomas J. Lynch	For	For	Management
1f	Elect Director Yong Nam	For	For	Management
1g	Elect Director Daniel J. Phelan	For	For	Management
1h	Elect Director Paula A. Sneed	For	For	Management
1i	Elect Director Abhijit Y. Talwalka	ır For	For	Management
1j	Elect Director Mark C. Trudeau	For	For	Management
1k	Elect Director John C. Van Scoter	For	For	Management
11	Elect Director Laura H. Wright	For	For	Management
2	Elect Board Chairman Thomas J. I	Lynch l	For F	For Management
3a	Elect Daniel J. Phelan as Member	of Fo	r For	Management
Management Development & Compensation				
	Committee			
3b	Elect Paula A. Sneed as Member of	of Fo	r Foi	Management
	Management Development & Com	pensatio	n	
	Committee			
3c	Elect John C. Van Scoter as Memb	ber of F	For F	or Management

Management Development & Compensation

Committee

- 4 Designate Rene Schwarzenbach as For For Management
  Independent Proxy
- 5.1 Accept Annual Report for Fiscal Year For For ManagementEnded September 30, 2016
- 5.2 Accept Statutory Financial Statements For For Management for Fiscal Year Ended September 30,2016
- 5.3 Approve Consolidated Financial For For ManagementStatements for Fiscal Year EndedSeptember 30, 2016
- 6 Approve Discharge of Board and Senior For For Management

  Management
- 7.1 Ratify Deloitte & Touche LLP as For For ManagementIndependent Registered PublicAccounting Firm for Fiscal Year 2017
- 7.2 Ratify Deloitte AG as Swiss Registered For For Management

  Auditors
- 7.3 Ratify PricewaterhouseCoopers AG as For For Management
  Special Auditors
- 8 Advisory Vote to Ratify Named For For Management

  Executive Officers' Compensation
- 9 Advisory Vote on Say on Pay Frequency One Year One Year Management
- 10 Amend Omnibus Stock Plan For For Management
- 11 Approve the Increase in Maximum For For Management

Aggregate Remuneration of Executive

Management

12 Approve the Increase in Maximum For For Management

Aggregate Remuneration of Board of

Directors

13 Approve Allocation of Available For For Management

Earnings at September 30, 2016

14 Approve Declaration of Dividend For For Management

15 Authorize Share Repurchase Program For Against Management

16 Approve Reduction of Share Capital For For Management

17 Adjourn Meeting For Against Management

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#### **TESORO CORPORATION**

Ticker: TSO Security ID: 881609101

Meeting Date: MAR 24, 2017 Meeting Type: Special

Record Date: FEB 10, 2017

# Proposal Mgt Rec Vote Cast Sponsor

I Issue Shares in Connection with For For Management

Acquisition

2 Increase Authorized Common Stock For For Management

3 Adjourn Meeting For For Management

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#### **TESORO CORPORATION**

Ticker: TSO Security ID: 881609101

Meeting Date: MAY 04, 2017 Meeting Type: Annual

Record Date: MAR 16, 2017

- # Proposal Mgt Rec Vote Cast Sponsor
- 1.1 Elect Director Rodney F. Chase For For Management
- 1.2 Elect Director Edward G. Galante For For Management
- 1.3 Elect Director Gregory J. Goff For For Management
- 1.4 Elect Director David Lilley For For Management
- 1.5 Elect Director Mary Pat McCarthy For For Management
- 1.6 Elect Director J.W. Nokes For For Management
- 1.7 Elect Director William H. Schumann, III For For Management
- 1.8 Elect Director Susan Tomasky For For Management
- 1.9 Elect Director Michael E. Wiley For For Management
- 1.10 Elect Director Patrick Y. Yang For For Management
- 2 Advisory Vote to Ratify Named For For Management

**Executive Officers' Compensation** 

- 3 Ratify Ernst & Young LLP as Auditors For For Management
- 4 Advisory Vote on Say on Pay Frequency One Year One Year Management

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### THE GEO GROUP, INC.

Ticker: GEO Security ID: 36162J106

Meeting Date: APR 27, 2017 Meeting Type: Annual

Record Date: MAR 03, 2017

- # Proposal Mgt Rec Vote Cast Sponsor
- 1.1 Elect Director Clarence E. Anthony For For Management
- 1.2 Elect Director Anne N. Foreman For For Management
- 1.3 Elect Director Richard H. Glanton For For Management
- 1.4 Elect Director Christopher C. Wheeler For For Management
- 1.5 Elect Director Julie Myers Wood For For Management
- 1.6 Elect Director George C. Zoley For For Management
- 2 Ratify Grant Thornton LLP as Auditors For For Management
- 3 Advisory Vote to Ratify Named For For Management

**Executive Officers' Compensation** 

4 Advisory Vote on Say on Pay Frequency One Year One Year Management

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THE HOME DEPOT, INC.

Ticker: HD Security ID: 437076102

Meeting Date: MAY 18, 2017 Meeting Type: Annual

Record Date: MAR 20, 2017

- **Proposal** Mgt Rec Vote Cast # Sponsor Elect Director Gerard J. Arpey For For Management 1a Elect Director Ari Bousbib 1b For For Management Elect Director Jeffery H. Boyd For For Management For 1d Elect Director Gregory D. Brenneman For Management Elect Director J. Frank Brown For For Management Elect Director Albert P. Carey For Management 1f For Elect Director Armando Codina For For Management 1g Elect Director Helena B. Foulkes For For Management Elect Director Linda R. Gooden For For Management 1i 1i Elect Director Wayne M. Hewett For For Management Elect Director Karen L. Katen For Management 1k For Elect Director Craig A. Menear For For Management 11 Elect Director Mark Vadon For For Management 2 Ratify KPMG LLP as Auditors For For Management Advisory Vote to Ratify Named Management 3 For For **Executive Officers' Compensation**
- 4 Advisory Vote on Say on Pay Frequency One Year One Year Management
- 5 Prepare Employment Diversity Report Against For Shareholder and Report on Diversity Policies

6 Adopt Proxy Statement Reporting on Against Against Shareholder

Vote

7 Amend Articles/Bylaws/Charter - Call Against For Shareholder Special Meetings

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#### THE KRAFT HEINZ COMPANY

Ticker: KHC Security ID: 500754106

Political Contributions and Advisory

Meeting Date: APR 19, 2017 Meeting Type: Annual

Record Date: FEB 21, 2017

# Proposal Mgt Rec Vote Cast Sponsor

a Elect Director Gregory E. Abel For For Management

1b Elect Director Alexandre Behring For For Management

Ic Elect Director Warren E. Buffett For For Management

1d Elect Director John T. Cahill For For Management

e Elect Director Tracy Britt Cool For For Management

1f Elect Director Feroz Dewan For For Management

1g Elect Director Jeanne P. Jackson For For Management

1h Elect Director Jorge Paulo Lemann For For Management

1i Elect Director Mackey J. McDonald For For Management

- 1j Elect Director John C. Pope For For Management
- 1k Elect Director Marcel Herrmann Telles For For Management
- 2 Advisory Vote to Ratify Named For For Management

**Executive Officers' Compensation** 

3 Ratify PricewaterhouseCoopers LLP as For For Management

Auditors

- 4 Report on Sustainability Against For Shareholder
- 5 Assess Environmental Impact of Against For Shareholder

Non-Recyclable Packaging

6 Report on Supply Chain Impact on Against For Shareholder

Deforestation

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#### THE KROGER CO.

Ticker: KR Security ID: 501044101

Meeting Date: JUN 22, 2017 Meeting Type: Annual

Record Date: APR 26, 2017

# Proposal Mgt Rec Vote Cast Sponsor

la Elect Director Nora A. Aufreiter For For Management

1b Elect Director Robert D. Beyer For For Management

1c Elect Director Anne Gates For For Management

1d Elect Director Susan J. Kropf For For Management

1e	Elect Director W. Rodney McMulle	n Fo	or For	Management
1f	Elect Director Jorge P. Montoya	For	For	Management
1g	Elect Director Clyde R. Moore	For	For	Management
1h	Elect Director James A. Runde	For	For	Management
1i	Elect Director Ronald L. Sargent	For	For	Management
1j	Elect Director Bobby S. Shackouls	For	For	Management
1k	Elect Director Mark S. Sutton	For	For	Management
2	Advisory Vote to Ratify Named	For	For	Management
	Executive Officers' Compensation			
3	Advisory Vote on Say on Pay Freque	ency C	one Year	One Year Management
4	Ratify PricewaterhouseCoopers LLP	as Fo	or For	Management
	Auditors			
5	Assess Environmental Impact of	Agair	nst For	Shareholder
	Non-Recyclable Packaging			
6	Assess Benefits of Adopting Renewa	able A	gainst Fo	or Shareholder
	Energy Goals			
7	Adopt Policy and Plan to Eliminate	Agai	nst For	Shareholder
	Deforestation in Supply Chain			
8	Require Independent Board Chairma	n Aş	gainst Ag	gainst Shareholder

THE PNC FINANCIAL SERVICES GROUP, INC.

Ticker: PNC Security ID: 693475105

Meeting Date: APR 25, 2017 Meeting Type: Annual

Record Date: FEB 03, 2017

- **Proposal** Mgt Rec Vote Cast Sponsor Elect Director Charles E. Bunch For Management For Elect Director Marjorie Rodgers For For Management Cheshire Elect Director William S. Demchak For For Management Elect Director Andrew T. Feldstein For For Management Elect Director Daniel R. Hesse For For Management Elect Director Kay Coles James For For Management Elect Director Richard B. Kelson For Management For Elect Director Jane G. Pepper For Management For Elect Director Donald J. Shepard For Management For 1.10 Elect Director Lorene K. Steffes For Management For 1.11 Elect Director Dennis F. Strigl For For Management 1.12 Elect Director Michael J. Ward For Management For 1.13 Elect Director Gregory D. Wasson For For Management 2 Ratify PricewaterhouseCoopers LLP as For Management For Auditors Advisory Vote to Ratify Named 3 For For Management **Executive Officers' Compensation**
- 4 Advisory Vote on Say on Pay Frequency One Year One Year Management
- 5 Prepare Employment Diversity Report Against Against Shareholder and Report on Diversity Policies

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# THE TJX COMPANIES, INC.

Ticker: TJX Security ID: 872540109

Meeting Date: JUN 06, 2017 Meeting Type: Annual

Record Date: APR 10, 2017

#	Proposal	Mgt Rec	Vote	Cast S	ponsor
1.1	Elect Director Zein Abdalla	ı Fo	or ]	For	Management
1.2	Elect Director Jose B. Alva	rez F	or	For	Management
1.3	Elect Director Alan M. Ben	nett ]	For	For	Management
1.4	Elect Director David T. Chi	ng F	For	For	Management
1.5	Elect Director Ernie Herrma	an F	For	For	Management
1.6	Elect Director Michael F. H	lines 1	For	For	Management
1.7	Elect Director Amy B. Land	e F	For	For	Management
1.8	Elect Director Carol Meyro	witz	For	For	Management
1.9	Elect Director Jackwyn L. N	Nemerov	For	For	Management
1.10	Elect Director John F. O'B	rien I	For	For	Management
1.11	Elect Director Willow B. S	hire	For	For	Management
2	Ratify PricewaterhouseCoop	pers as	For	For	Management
	Auditors				
3	Amend Omnibus Stock Plan	1 .	For	For	Management

- 4 Amend Executive Incentive Bonus Plan For For Management
- 5 Advisory Vote to Ratify Named For Against Management

  Executive Officers' Compensation
- 6 Advisory Vote on Say on Pay Frequency One Year One Year Management
- 7 Include Diversity as a Performance Against Against Shareholder

  Metric
- 8 Report on Pay Disparity Against Against Shareholder
- 9 Report on Gender, Race, or Ethnicity Against Against Shareholder
  Pay Gap
- 10 Report on Net-Zero Greenhouse Gas Against Against ShareholderEmissions

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### THERMO FISHER SCIENTIFIC INC.

Ticker: TMO Security ID: 883556102

Meeting Date: MAY 17, 2017 Meeting Type: Annual

Record Date: MAR 27, 2017

# Proposal Mgt Rec Vote Cast Sponsor

1a Elect Director Marc N. Casper For For Management

1b Elect Director Nelson J. Chai For For Management

1c Elect Director C. Martin Harris For For Management

1d Elect Director Tyler Jacks For For Management

1e Elect Director Judy C. Lewent For For Management

1f Elect Director Thomas J. Lynch For For Management

1g Elect Director Jim P. Manzi For For Management

1h Elect Director William G. Parrett For For Management

li Elect Director Lars R. Sorensen For For Management

1j Elect Director Scott M. Sperling For For Management

1k Elect Director Elaine S. Ullian For For Management

11 Elect Director Dion J. Weisler For For Management

2 Advisory Vote to Ratify Named For For Management

**Executive Officers' Compensation** 

3 Advisory Vote on Say on Pay Frequency One Year One Year Management

Ratify PricewaterhouseCoopers LLP as For For Management

Auditors

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#### **UNILEVER NV**

Ticker: UNA Security ID: N8981F271

Meeting Date: APR 26, 2017 Meeting Type: Annual

Record Date: MAR 29, 2017

# Proposal Mgt Rec Vote Cast Sponsor

1 Discussion of the Annual Report and None None Management

Accounts for the 2016 financial year

- 2 Approve Financial Statements and For For Management
  Allocation of Income
- 3 Approve Discharge of Executive Board For For Management

  Members
- 4 Approve Discharge of Non-Executive For For Management
  Board Members
- 5 Approve Remuneration Policy for For Management

  Management Board Members
- 6 Approve Unilever Share Plan 2017 For For Management
- 7 Elect N.S. Andersen as Non-Executive For For Management
  Board Member
- 8 Elect L.M. Cha as Non-Executive Board For For Management

  Member
- 9 Elect V. Colao as Non-Executive Board For For Management

  Member
- 10 Elect M Dekkers as Non-Executive Board For For Management

  Member
- 11 Elect A.M. Fudge as Non-Executive For For Management

  Board Member
- 12 Elect J. Hartmann as Non-Executive For For Management

  Board Member
- 13 Elect M. Ma as Non-Executive Board For For Management

  Member
- 14 Elect S Masiyiwa as Non-Executive For For Management

  Board Member

15	Elect Y.Moon as Non-Executive Board For For Management
	Member
16	Elect G. Pitkethly as Executive Board For For Management
	Member
17	Elect P.G.J.M. Polman as Executive For For Management
	Board Member
18	Elect J. Rishton as Non-Executive For For Management
	Board Member
19	Elect F. Sijbesma as Non-Executive For For Management
	Board Member
20	Ratify KPMG as Auditors For For Management
21	Grant Board Authority to Issue Shares For For Management
	Up To 10 Percent of Issued Capital
	Plus Additional 10 Percent in Case of
	Takeover/Merger
22	Authorize Repurchase of Up to 10 For For Management
	Percent of Issued Share Capital
23	Approve Cancellation of Repurchased For For Management
	Shares

### UNITEDHEALTH GROUP INCORPORATED

Ticker: UNH Security ID: 91324P102

Meeting Date: JUN 05, 2017 Meeting Type: Annual

Record Date: APR 11, 2017

#	Proposal	Mgt Rec	Vote	Cast S <sub>1</sub>	oonsor	
1a	Elect Director William C.	Ballard, Jr.	For	For	Managemen	t
1b	Elect Director Richard T. l	Burke	For	For	Management	
1c	Elect Director Timothy P.	Flynn	For	For	Management	
1d	Elect Director Stephen J. I	Hemsley	For	For	Managemen	ıt
1e	Elect Director Michele J. I	Iooper	For	For	Management	į
1f	Elect Director Rodger A. L	awson	For	For	Managemen	ıt
1g	Elect Director Glenn M. R	enwick	For	For	Managemen	nt
1h	Elect Director Kenneth I. S	Shine I	For	For	Management	
1i	Elect Director Gail R. Wile	ensky I	For	For	Management	
2	Advisory Vote to Ratify Na	amed	For	For	Managemen	t
	Executive Officers' Comper	sation				
3	Advisory Vote on Say on F	ay Frequen	cy O	ne Year	One Year Ma	anage

- gement
- Ratify Deloitte & Touche LLP as For Management For Auditors
- Report on Lobbying Payments and Policy Against For Shareholder

UNIVERSAL HEALTH SERVICES, INC.

Ticker: UHS Security ID: 913903100

Meeting Date: MAY 17, 2017 Meeting Type: Annual

Record Date: MAR 21, 2017

- # Proposal Mgt Rec Vote Cast Sponsor
- 1 Elect Director Lawrence S. Gibbs For For Management
- 2 Amend Stock Option Plan For For Management
- 3 Advisory Vote to Ratify Named For For Management

Executive Officers' Compensation

4 Advisory Vote on Say on Pay Frequency Three One Year Management

Years

5 Ratify PricewaterhouseCoopers LLP as For For Management

Auditors

6 Adopt Proxy Access Right Against For Shareholder

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### **UPM-KYMMENE OY**

Ticker: UPM Security ID: X9518S108

Meeting Date: MAR 29, 2017 Meeting Type: Annual

Record Date: MAR 17, 2017

# Proposal Mgt Rec Vote Cast Sponsor

Open Meeting None None Management 1 2 Call the Meeting to Order None None Management Designate Inspector or Shareholder For 3 For Management Representative(s) of Minutes of Meeting Acknowledge Proper Convening of Meeting For For Management 5 Prepare and Approve List of For For Management Shareholders Receive Financial Statements and None None Management **Statutory Reports** 7 Accept Financial Statements and For For Management Statutory Reports 8 Approve Allocation of Income and For For Management Dividends of EUR 0.95 Per Share For 9 Approve Discharge of Board and For Management President 10 Remuneration of Directors in the For For Management Amount of EUR 190,000 for Chairman, EUR 135,000 for Deputy Chairman and EUR 100,000 for Other Directors; Approve Compensation for Committee Work Fix Number of Directors at Ten For 11 For Management For Management Reelect Berndt Brunow, Henrik For Ehrnrooth, Piia-Noora Kauppi, Wendy Lane, Jussi Pesonen, Ari Puheloinen, Veli-Matti Reinikkala, Suzanne Thoma,

Kim Wahl and Bjorn Wahlroos as

irectors	

13 Approve Remuneration of Auditors For For Management

14 Ratify PricewaterhouseCoopers as For For Management

Auditors

15 Authorize Share Repurchase Program For For Management

16 Approve that the Rights Attached to For For Management

183,116 Shares Entered in the

Company's Joint Book-Entry Account be

Forfeited

17 Authorize Charitable Donations For For Management

18 Close Meeting None None Management

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#### **VEOLIA ENVIRONNEMENT**

Ticker: VIE Security ID: F9686M107

Meeting Date: APR 20, 2017 Meeting Type: Annual/Special

Record Date: APR 13, 2017

# Proposal Mgt Rec Vote Cast Sponsor

1 Approve Financial Statements and For For Management

Statutory Reports

2 Approve Consolidated Financial For For Management

Statements and Statutory Reports

- 3 Approve Non-Deductible Expenses For For Management
- 4 Approve Allocation of Income and For For Management

Dividends of EUR 0.80 per Share

- 5 Approve Auditors' Special Report on For For Management
  Related-Party Transactions
- 6 Reelect Caisse des Depots et For For Management

  Consignations as Director
- 7 Reelect Marion Guillou as Director For For Management
- 8 Reelect Paolo Scaroni as Director For For Management
- 9 Renew Appointment of Ernst & Young et For For Management

  Autres as Auditor
- 10 Approve Remuneration Policy for For Against Management Chairman and CEO
- 11 Non-Binding Vote on Compensation of For Against Management
  Antoine Frerot, Chairman and CEO
- 12 Authorize Repurchase of Up to 10 For For Management

  Percent of Issued Share Capital
- 13 Change Location of Registered Office For For Management to 21 rue de La Boetie, 75008 Paris and Amend Article 4 of Bylaws

  Accordingly
- 14 Amend Article 12 of Bylaws Re: Age For For Management Limit For Chairman
- 15 Authorize Filing of Required For For Management

  Documents/Other Formalities

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#### **VF CORPORATION**

Ticker: VFC Security ID: 918204108

Meeting Date: APR 25, 2017 Meeting Type: Annual

Record Date: MAR 01, 2017

Mgt Rec Vote Cast Sponsor **Proposal** Elect Director Richard T. Carucci For For Management Elect Director Juliana L. Chugg For For Management Elect Director Benno Dorer For For Management Elect Director Mark S. Hoplamazian For For Management Elect Director Robert J. Hurst For For Management Elect Director Laura W. Lang For For Management Elect Director W. Alan McCollough For Management For Elect Director W. Rodney McMullen For For Management Elect Director Clarence Otis, Jr. For For Management 1.10 Elect Director Steven E. Rendle For For Management 1.11 Elect Director Carol L. Roberts For For Management 1.12 Elect Director Matthew J. Shattock For For Management 1.13 Elect Director Eric C. Wiseman For For Management Advisory Vote to Ratify Named For For Management

**Executive Officers' Compensation** 

3 Advisory Vote on Say on Pay Frequency One Year One Year Management

4 Ratify PricewaterhouseCoopers LLP as For For Management

Auditors

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### **VODAFONE GROUP PLC**

Ticker: VOD Security ID: G93882192

Meeting Date: JUL 29, 2016 Meeting Type: Annual

Record Date: JUL 27, 2016

# Proposal Mgt Rec Vote Cast Sponsor

1 Accept Financial Statements and For For Management

**Statutory Reports** 

2 Re-elect Gerard Kleisterlee as Director For For Management

3 Re-elect Vittorio Colao as Director For For Management

4 Re-elect Nick Read as Director For For Management

5 Re-elect Sir Crispin Davis as Director For For Management

6 Re-elect Dr Mathias Dopfner as Director For For Management

7 Re-elect Dame Clara Furse as Director For For Management

8 Re-elect Valerie Gooding as Director For For Management

9 Re-elect Renee James as Director For For Management

10 Re-elect Samuel Jonah as Director For For Management

11	Re-elect Nick Land as Director	For	For	M	Ianagement	
12	Elect David Nish as Director	For	For	Ma	anagement	
13	Re-elect Philip Yea as Director	For	For	M	anagement	
14	Approve Final Dividend	For	For	Ma	nagement	
15	Approve Remuneration Report	For	Fo <sub>1</sub>	•	Management	
16	Reappoint PricewaterhouseCooper	s LLP	For	For	Managem	ient
	as Auditors					
17	Authorise the Audit and Risk Com	mittee	For	For	Manageme	ent
	to Fix Remuneration of Auditors					
18	Authorise Issue of Equity with	For	For	M	anagement	
	Pre-emptive Rights					
19	Authorise Issue of Equity without	For	For	N	Management	
	Pre-emptive Rights					
20	Authorise Issue of Equity without	For	For	N	Management	
	Pre-emptive Rights					
21	Authorise Market Purchase of Ord	inary I	For I	For	Manageme	nt
	Shares					
22	Authorise EU Political Donations	and Fo	or Fo	or	Managemen	t
	Expenditure					
23	Authorise the Company to Call Ge	neral I	For I	For	Manageme	nt
	Meeting with Two Weeks' Notice					

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#### **WELLS FARGO & COMPANY**

Ticker: WFC Security ID: 949746101

Meeting Date: APR 25, 2017 Meeting Type: Annual

Record Date: MAR 01, 2017

- # Proposal Mgt Rec Vote Cast Sponsor
- 1a Elect Director John D. Baker, II For Against Management
- 1b Elect Director John S. Chen For Against Management
- 1c Elect Director Lloyd H. Dean For Against Management
- 1d Elect Director Elizabeth A. Duke For Against Management
- 1e Elect Director Enrique Hernandez, Jr. For Against Management
- 1f Elect Director Donald M. James For Against Management
- 1g Elect Director Cynthia H. Milligan For Against Management
- 1h Elect Director Karen B. Peetz For For Management
- 1i Elect Director Federico F. Pena For Against Management
- 1j Elect Director James H. Quigley For Against Management
- 1k Elect Director Stephen W. Sanger For Against Management
- 11 Elect Director Ronald L. Sargent For For Management
- 1m Elect Director Timothy J. Sloan For For Management
- 1n Elect Director Susan G. Swenson For Against Management
- 10 Elect Director Suzanne M. Vautrinot For Against Management
- 2 Advisory Vote to Ratify Named For For Management

**Executive Officers' Compensation** 

- 3 Advisory Vote on Say on Pay Frequency One Year One Year Management
- 4 Ratify KPMG LLP as Auditors For For Management

- 5 Review and Report on Business Standards Against For Shareholder
- 6 Provide for Cumulative Voting Against Against Shareholder
- 7 Report on Divesting Non-Core Business Against Against Shareholder
- 8 Report on Gender Pay Gap Against Against Shareholder
- 9 Report on Lobbying Payments and Policy Against Against Shareholder
- 10 Adopt Global Policy Regarding the Against For ShareholderRights of Indigenous People

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### WESTERN DIGITAL CORPORATION

Ticker: WDC Security ID: 958102105

Meeting Date: NOV 04, 2016 Meeting Type: Annual

Record Date: SEP 08, 2016

- # Proposal Mgt Rec Vote Cast Sponsor
- 1a Elect Director Martin I. Cole For For Management
- 1b Elect Director Kathleen A. Cote For For Management
- 1c Elect Director Henry T. DeNero For For Management
- 1d Elect Director Michael D. Lambert For For Management
- 1e Elect Director Len J. Lauer For For Management
- 1f Elect Director Matthew E. Massengill For For Management
- 1g Elect Director Sanjay Mehrotra For For Management

1h Elect Director Stephen D. Milligan For For Management

1i Elect Director Paula A. Price For For Management

2 Advisory Vote to Ratify Named For For Management

Executive Officers' Compensation

3 Ratify KPMG LLP as Auditors For For Management

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### WESTFIELD CORP

Ticker: WFD Security ID: Q9701H107

Meeting Date: APR 07, 2017 Meeting Type: Annual

Record Date: APR 05, 2017

# Proposal Mgt Rec Vote Cast Sponsor

2 Approve the Remuneration Report For For Management

3 Elect Brian Schwartz as Director For For Management

4 Elect Roy Furman as Director For For Management

5 Elect Mark R Johnson as Director For For Management

6 Elect Steven Lowy as Director For For Management

7 Elect Jeffrey Goldstein as Director For For Management

8 Elect Dawn Ostroff as Director For For Management

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### WHIRLPOOL CORPORATION

Ticker: WHR Security ID: 963320106

Meeting Date: APR 18, 2017 Meeting Type: Annual

Record Date: FEB 21, 2017

#	Proposal Mgt	Rec	Vot	e Cast	Sponsor	
1a	Elect Director Samuel R. Allen	I	For	For	Management	
1b	Elect Director Marc R. Bitzer	F	or	For	Management	
1c	Elect Director Greg Creed	Fo	r	For	Management	
1d	Elect Director Gary T. DiCamillo	)	For	For	Management	
1e	Elect Director Diane M. Dietz	F	or	For	Management	
1f	Elect Director Gerri T. Elliott	For		For	Management	
1g	Elect Director Jeff M. Fettig	Fo	r	For	Management	
1h	Elect Director Michael F. Johnsto	on	For	For	Management	
1i	Elect Director John D. Liu	For		For	Management	
1j	Elect Director Harish Manwani	]	For	For	Management	
1k	Elect Director William D. Perez	]	For	For	Management	
11	Elect Director Larry O. Spencer	F	or	For	Management	
1m	Elect Director Michael D. White	<b>;</b>	For	For	Management	
2	Advisory Vote to Ratify Named		For	For	Management	
	Executive Officers' Compensation					

- 3 Advisory Vote on Say on Pay Frequency One Year One Year Management
- 4 Ratify Ernst & Young LLP as Auditors For For Management

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#### ZIMMER BIOMET HOLDINGS, INC.

Ticker: ZBH Security ID: 98956P102

Meeting Date: MAY 12, 2017 Meeting Type: Annual

Record Date: MAR 13, 2017

- # Proposal Mgt Rec Vote Cast Sponsor
- a Elect Director Christopher B. Begley For For Management
- 1b Elect Director Betsy J. Bernard For For Management
- 1c Elect Director Gail K. Boudreaux For For Management
- 1d Elect Director David C. Dvorak For For Management
- 1e Elect Director Michael J. Farrell For For Management
- 1f Elect Director Larry C. Glasscock For For Management
- 1g Elect Director Robert A. Hagemann For For Management
- 1h Elect Director Arthur J. Higgins For For Management
- 1i Elect Director Michael W. Michelson For For Management
- 1j Elect Director Cecil B. Pickett For For Management
- 1k Elect Director Jeffrey K. Rhodes For For Management
- 2 Ratify PricewaterhouseCoopers LLP as For For Management

Auditors

3 Advisory Vote to Ratify Named For For Management

**Executive Officers' Compensation** 

4	Advisory	Vote on Say on Pay Frequency	One Year One Year	Management
				-
===		END NPX REPORT		

### **SIGNATURES**

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

# (Registrant) Alpine Total Dynamic Dividend Fund

By (Signature and Title)\* /s/ Samuel A. Lieber Samuel A. Lieber, President

Date August 23, 2017

<sup>\*</sup>Print the name and title of each signing officer under his or her signature.