Edgar Filing: BANC OF CALIFORNIA, INC. - Form 4

BANC OF Form 4 April 03, 20	CALIFORNIA, IN	NC.									
									APPROVAL		
Check t	STATES	SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							3235-0287		
if no lou subject Section Form 4 Form 5 obligati may cou <i>See</i> Inst 1(b).	nger to 16. or Filed put ons ntinue.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								Expires: January 31, 2005 Estimated average burden hours per response 0.5	
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> Nicolas Ronald J. Jr.			2. Issuer Name and Ticker or Trading Symbol BANC OF CALIFORNIA, INC. [BANC]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 18500 VON KARMAN AVE., SUITE 1100			3. Date of Earliest Transaction (Month/Day/Year) 04/01/2014					Director 10% Owner Officer (give title Other (specify below) EVP, CFO			
(Street) IRVINE, CA 92612			4. If Amendment, Date Original Filed(Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
(City)	(State)	(Zip)	Tab	la I Non	Dorivotivo	Soon	iting A or	Person	f or Popofici	ally Owned	
1. Title of Security2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date any (Month/Day/Year)		d Date, if	3.	4. Securiti on(A) or Dis (Instr. 3, 4	es Aco posed	quired of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	OwnershipIndirectForm:BeneficialDirect (D)Ownershipor Indirect(Instr. 4)[1]		
Common Stock	04/01/2014			Code V A	Amount 24,450 (1)	(D) A	Price \$ 12.27	(Instr. 3 and 4) 53,121.7993	D		
Common Stock								15,000	I	Held in Reporting Person's Retirement Savings Account	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Edgar Filing: BANC OF CALIFORNIA, INC. - Form 4

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5	Date	7. Title and A Underlying S (Instr. 3 and	Securities	8. Price Deriva Securit (Instr. :
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Options	\$ 11.36					(2)	11/05/2022	Common Stock	75,000	

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	onships Officer EVP, CFO	Other		
Nicolas Ronald J. Jr. 18500 VON KARMAN AVE., SUITE 1100 IRVINE, CA 92612			EVP, CFO			
Signatures						
/s/ Richard Herrin						

/s/ Richard Herrin, Attorney-in-Fact

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents grant of restricted stock. Shares will vest on the one-year anniversary of the grant date.
- (2) The stock options vests ratably over a five year period, beginning on the one year anniversary of the grant date.

04/03/2014

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.