## Edgar Filing: HC2 HOLDINGS, INC. - Form SC 13G/A

HC2 HOLDINGS, INC. Form SC 13G/A February 07, 2018 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 SCHEDULE 13G Under the Securities Exchange Act of 1934 (Amendment No. 2) HC2 HOLDINGS INC \_\_\_\_\_ (Name of Issuer) Common (Title of Class of Securities) 404139107 \_\_\_\_\_ (CUSIP Number) December 31, 2017 \_\_\_\_\_ \_\_\_\_\_ (Date of Event Which Requires Filing Of This Statement) Check the appropriate box to designate the rule pursuant to which this Schedule is filed: (X) Rule 13d-1(b) () Rule 13d-1(c)

( ) Rule 13d-1(d)

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13G

| CUSI  | 2 404139107  |  |  |  |  |  |
|-------|--|--|--|--|--|--|
| 1.    | Name of reporting person<br>S.S. or I.R.S. identification no. of above person                  |  |  |  |  |  |
|       | Putnam Investments, LLC. d/b/a/ Putnam Investments<br>26-1080669                               |  |  |  |  |  |
| 2.    | Check the appropriate box if a member of a group<br>(a) ( ) (b) ( )                            |  |  |  |  |  |
| 3.    | SEC use only   |  |  |  |  |  |
| 4.    | Citizenship or place of organization<br>Delaware   |  |  |  |  |  |
| Numbe | er of shares beneficially owned by each reporting person with:<br>5. Sole Voting Power<br>NONE |  |  |  |  |  |
|       | 6. Shared Voting Power<br>NONE   |  |  |  |  |  |
|       | 7. Sole Dispositive<br>2,391,241   |  |  |  |  |  |
|       | 8. Shared Dispositive<br>NONE  |  |  |  |  |  |
| 9.    | Aggregate amount beneficially owned by each reporting person 2,391,241                         |  |  |  |  |  |
| 10.   | Check box if the aggregate amount in row (9) excludes certain shares ( )                       |  |  |  |  |  |
| 11.   | Percent of class represented by amount in row 9<br>5.6%  |  |  |  |  |  |
| 12.   | Type of Reporting person<br>HC   |  |  |  |  |  |

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CUSIP 404139107
_____
 Name of reporting person
1.
  S.S. or I.R.S. identification no. of above person
  Putnam Investment Management, LLC.
  04-3542621
_____
            _____
2. Check the appropriate box if a member of a group
  (a) ( ) (b) ( )
_____
3. SEC use only
_____
4. Citizenship or place of organization
  Delaware
_____
Number of shares beneficially owned by each reporting person with:
    5. Sole Voting Power
     NONE
    _____
    6. Shared Voting Power
     NONE
    _____
    7. Sole Dispositive
     2,391,241
       _____
    8. Shared Dispositive
    NONE
           _____
9. Aggregate amount beneficially owned by each reporting person
  2,391,241
        _____
10. Check box if the aggregate amount in row (9) excludes certain
  shares ( )
_____
                           _____
11. Percent of class represented by amount in row 9
  5.6%
    _____
_____
12. Type of Reporting person
  IA
_____
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CUSIP 404139107
           _____
1. Name of reporting person
  S.S. or I.R.S. identification no. of above person
  The Putnam Advisory Company, LLC.
  04-3543039
_____
           _____
2. Check the appropriate box if a member of a group
  (a) ( )
                 (b) ( )
_____
                       _____
3. SEC use only
_____
4. Citizenship or place of organization
  Delaware
         _____
Number of shares beneficially owned by each reporting person with:
    5. Sole Voting Power
     NONE
    _____
    6. Shared Voting Power
     NONE
    _____
    7. Sole Dispositive
     NONE
     _____
    8. Shared Dispositive
     NONE
         _____
                                  _____
9. Aggregate amount beneficially owned by each reporting person
  NONE
      _____
                                  _____
10. Check box if the aggregate amount in row (9) excludes certain
  shares ( )
_____
11. Percent of class represented by amount in row 9
  NONE
_____
    _____
12. Type of Reporting person
  ΤА
_____
    _____
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| CUSIF | 13G<br>404139107   |  |  |  |  |  |
|-------|--|--|--|--|--|--|
| 1.    | Name of reporting person<br>S.S. or I.R.S. identification no. of above person                  |  |  |  |  |  |
|       | Putnam Equity Spectrum Fund<br>26-4376696  |  |  |  |  |  |
| 2.    | Check the appropriate box if a member of a group<br>(a) ( ) (b) ( )                            |  |  |  |  |  |
| 3.    | SEC use only   |  |  |  |  |  |
| 4.    | Citizenship or place of organization<br>Massachusetts  |  |  |  |  |  |
| Numbe | er of shares beneficially owned by each reporting person with:<br>5. Sole Voting Power<br>NONE |  |  |  |  |  |
|       | 6. Shared Voting Power<br>NONE   |  |  |  |  |  |
|       | 7. Sole Dispositive<br>2,391,241   |  |  |  |  |  |
|       | 8. Shared Dispositive<br>NONE  |  |  |  |  |  |
| 9.    | Aggregate amount beneficially owned by each reporting person 2,391,241                         |  |  |  |  |  |
| 10.   | Check box if the aggregate amount in row (9) excludes certain shares ( )                       |  |  |  |  |  |
| 11.   | Percent of class represented by amount in row 9<br>5.6%  |  |  |  |  |  |
| 12.   | Type of Reporting person<br>IV   |  |  |  |  |  |

Item 1(a) Name of Issuer: HC2 HOLDINGS INC \_\_\_\_\_ Item 1(b) Address of Issuer's Principal Executive Offices: 450 Park Avenue 30th Floor New York, NY 10022 \_\_\_\_\_ Item 2(a) Item 2(b) Name of Person Filing: Address or principal business office or, if none, residence: Putnam Investments, LLC d/b/a One Post Office Square Putnam Investments ("PI") Boston, Massachusetts 02109 on behalf of itself and: Putnam Investment Management One Post Office Square Boston, Massachusetts 02109 The Putnam Advisory One Post Office Square Company, LLC. ("PAC") Boston, Massachusetts 02109 One Post Office Square \*\*Putnam Equity Spectrum Fund Boston, Massachusetts 02109 \_\_\_\_\_ Item 2(c) Citizenship: PI, PIM and PAC are limited liability companies organized under Delaware law. The citizenship of other persons identified in Item 2(a) is designated as follows: \*\*Voluntary association known as Massachusetts business trust -Massachusetts law \_\_\_\_\_ Item 2(d) Title of Class of Securities: Common \_\_\_\_\_ Item 2(e) Cusip Number: 404139107 \_\_\_\_\_

- (a) ( ) Broker or dealer registered under section 15 of the Act (15 U.S.C. 780);
- (b) ( ) Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);
- (c)() Insurance company as defined in section 3(a)(19) of the Act
  (15 U.S.C. 78c);
- (d) (X) Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C 80a-8);
- (e) (X) An investment adviser in accordance with 240.13d-1(b)(1)(ii)(E);
- (f) ( ) An employee benefit plan or endowment fund in accordance with 240.13d-1 (b) (1) (ii) (F);
- (g)(X) A parent holding company or control person in accordance with 240.13d-1(b)(1)(ii)(G);
- (h)() A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i) () A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
- (j)() A non-U.S. institution in accordance with 240.13d-1(b)(1)(ii)(J);
- (k) ( ) Group, in accordance with 240.13d-1(b)(1)(ii)(K). If filing as a non-U.S. institution in accordance with 240.13d-1(b)(1)(ii)(J), please specify the type of institution:

## Item 4. Ownership

|                                       |  | PIM* +    | PAC* = | PI**      |  |  |  |
|---------------------------------------|--|-----------|--------|-----------|--|--|--|
| (a)                                   | Amount Beneficially<br>Owned:  | 2,391,241 | NONE   | 2,391,241 |  |  |  |
| (b)                                   | Percent of Class:  | 5.6%      | NONE   | 5.6%      |  |  |  |
| (c)                                   | Number of shares as to which the person has:                                       |           |        |           |  |  |  |
| (1)                                   | Sole power to vote<br>or to direct the vote;<br>(but see Item 7)                   | NONE      | NONE   | NONE      |  |  |  |
| (2)                                   | Shared power to vote<br>or to direct the vote;<br>(but see Item 7)                 | NONE      | NONE   | NONE      |  |  |  |
| (3)                                   | Sole power to dispose<br>or to direct the<br>disposition of;<br>(but see Item 7)   | 2,391,241 | NONE   | 2,391,241 |  |  |  |
| (4)                                   | Shared power to<br>dispose or to direct<br>the disposition of;<br>(but see Item 7) | NONE      | NONE   | NONE      |  |  |  |
| * Investment adviser subsidiary of PI |  |           |        |           |  |  |  |

\*\* Parent company to PIM and PAC

Note: as part of the Putnam Family of Funds, and the 2,391,241 shares held by PIM, the Putnam Equity Spectrum Fund held 2,391,241 shares, or 5.6%

Item 5. Ownership of 5 Percent or Less of a Class

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than 5 percent of the class of securities, check the following ()

Item 6. Ownership of More than Five/Ten Percent on Behalf of Another Person:

Clients of or the persons filing this Schedule 13G have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of, the securities reported on this Schedule 13G. Unless otherwise indicated by the persons filing this Schedule 13G, no person's interest relates to more than five percent of the class of securities. Securities reported on this Schedule 13G as being beneficially owned by PI consist of securities beneficially owned by subsidiaries of PI which are registered investment advisers, which in turn include securities beneficially owned by clients of such investment advisers, which clients may include investment companies registered under the Investment Company Act and/or employee benefit plans, pension funds, endowment funds or other institutional clients.

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company

PI, wholly owns two registered investment advisers: Putnam Investment Management, LLC, which is the investment adviser to the Putnam family of mutual funds and the Putnam Advisory Company, LLC, which is the investment adviser to Putnam's institutional clients. Both subsidiaries have dispositive power over the shares as investment managers. In the case of shares held by the Putnam mutual funds managed by Putnam Investment Management, LLC, the mutual funds, through their boards of trustees, have voting power. Unless otherwise indicated, The Putnam Advisory Company, LLC has sole voting power over the shares held by its institutional clients.

Pursuant to Rule 13d-4, PI declares that the filing of this Schedule 13G shall not be deemed an admission for the purposes of Section 13(d) or 13(g) that it is the beneficial owner of any securities covered by this Schedule 13G, and further states that it does not have any power to vote or dispose of, or direct the voting or disposition of, any of the securities covered by this Schedule 13G.

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Item 8. Identification and Classification of Members of the Group: Not applicable.

Item 9. Notice of Dissolution of Group:

Not applicable

Item 10. Certification.

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect, other than activities solely in connection with a nomination under 240.14a-11.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Putnam Investments, LLC

For this and all future filings, reference is made to Power of Attorney dated February 15, 2011, with respect to duly authorized signatures on behalf of Putnam Investments LLC., Putnam Investment Management, LLC., The Putnam Advisory Company, LLC. and any Putnam Fund wherever applicable.

For this and all future filings, reference is made to an Agreement dated June 28, 1990, with respect to one filing of Schedule 13G on behalf of said entities, pursuant to Rule 13d-1(f)(1).