

Kuhn Dennis  
Form 3  
October 05, 2017

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name <b>and</b> Ticker or Trading Symbol	
Â Kuhn Dennis		(Month/Day/Year)	HORIZON BANCORP /IN/ [HBNC]	
(Last)	(First)	(Middle)	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
		10/03/2017		
5795 WACKER DRIVE			(Check all applicable)	6. Individual or Joint/Group Filing(Check Applicable Line)
	(Street)		<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	<input checked="" type="checkbox"/> Form filed by One Reporting Person
STEVENSVILLE,Â MIÂ 49127			<input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other	<input type="checkbox"/> Form filed by More than One Reporting Person
(City)	(State)	(Zip)	Executive Vice President	

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	2,086	I	By ESOP
Common Stock	476	I	By Thrift
Common Stock	1,352	I	By SERP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Employee Stock Option	05/07/2011 <sup>(1)</sup>	05/07/2020	Common Stock	22,500	\$ 6.67	D	Â
Employee Stock Option	06/18/2014 <sup>(2)</sup>	06/18/2023	Common Stock	3,636	\$ 13.49	D	Â
Employee Stock Option	03/18/2015 <sup>(3)</sup>	03/18/2024	Common Stock	3,205	\$ 14.8	D	Â
Employee Stock Option	03/17/2016 <sup>(4)</sup>	03/17/2025	Common Stock	3,733	\$ 15.89	D	Â
Employee Stock Option	03/15/2017 <sup>(5)</sup>	03/15/2026	Common Stock	6,786	\$ 15.57	D	Â
Employee Stock Option	03/21/2018 <sup>(6)</sup>	03/21/2027	Common Stock	1,493	\$ 25.14	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Kuhn Dennis 5795 WACKER DRIVE STEVENSVILLE, MI 49127	Â	Â	Â Executive Vice President	Â

## Signatures

/s/Mark E. Secor, Attorney-in-Fact for Dennis J. Kuhn  
Date: 10/05/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option was granted on 5/07/2010 and vested in five equal installments beginning on the first anniversary on date of grant.
  - (2) The option was granted on 6/18/2013 and vested in three equal installments beginning on the first anniversary on date of grant.
  - (3) The option was granted on 3/18/2014 and vested in three equal installments beginning on the first anniversary of date of grant.
  - (4) The option was granted on 3/17/2015 and vested in three equal installments beginning on the first anniversary on date of grant.
  - (5) The option was granted on 3/15/2016 and vested in three equal installments beginning on the first anniversary on date of grant.
  - (6) The option was granted on 3/21/2017 and vested in three equal installments beginning on the first anniversary on date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.