

Edgar Filing: ALABAMA NATIONAL BANCORPORATION - Form 8-K

ALABAMA NATIONAL BANCORPORATION

Form 8-K

October 15, 2003

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): October 15, 2003

ALABAMA NATIONAL BANCORPORATION

(Exact Name of Registrant as Specified in its Charter)

Delaware	0-25160	63-1114426
(State of Incorporation)	(Commission File Number)	(IRS Employer Identification Number)

1927 First Avenue North, Birmingham, Alabama 35205
(Address of principal executive offices, including zip code)

(205) 583-3600
(Registrant's telephone number, including area code)

Item 7. Financial Statements and Exhibits.

(c) Exhibits.

Exhibit Number	Exhibit
-----	-----
99.1	Press Release dated October 15, 2003

Item 12. Results of Operations and Financial Condition.

On October 15, 2003, Alabama National BanCorporation issued a press release announcing financial results for the quarter and nine months ended September 30, 2003. The press release is attached as Exhibit 99.1 to this Form 8-K and is furnished to, but not filed with, the Commission.

SIGNATURES

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Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Alabama National Bancorporation

By: /s/ William E. Matthews, V

William E. Matthews, V
Executive Vice President and Chief Financial Officer

Dated: October 15, 2003

INDEX TO EXHIBITS

Exhibit Number	Exhibit
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99.1	Press Release dated October 15, 2003 *

* This exhibit is furnished to, but not filed with, the Commission by inclusion herein.

BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS) 2 (a) ☐ (b) ☒
----- SEC USE ONLY 3
----- CITIZENSHIP OR PLACE OF ORGANIZATION 4
Delaware ----- SOLE VOTING POWER 5 NUMBER OF
9,095,265 (see Item 4) SHARES ----- SHARED VOTING POWER
BENEFICIALLY 6 OWNED BY ----- EACH SOLE DISPOSITIVE
POWER 7 REPORTING 9,095,265 (see Item 4) PERSON -----
SHARED DISPOSITIVE POWER WITH 8 -----
AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON 9 9,095,265 (see Item 4)
----- CHECK BOX IF THE AGGREGATE AMOUNT
IN ROW (9) EXCLUDES CERTAIN SHARES 10 (SEE INSTRUCTIONS) ☐
----- PERCENT OF CLASS REPRESENTED BY
AMOUNT IN ROW (9) 11 14.2% ----- TYPE OF
REPORTING PERSON (SEE INSTRUCTIONS) 12 00
----- CUSIP NO. 4581 13G Page 2 of 9 Pages
----- NAMES OF REPORTING PERSONS. 1 THE
BEAR STEARNS COMPANIES INC. I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY).
----- CHECK THE APPROPRIATE BOX IF A
MEMBER OF A GROUP (SEE INSTRUCTIONS) 2 (a) ☐ (b) ☒
----- SEC USE ONLY 3

----- CITIZENSHIP OR PLACE OF ORGANIZATION 4
 Delaware ----- SOLE VOTING POWER 5 NUMBER OF
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 AMOUNT IN ROW (9) 11 14.2% ----- TYPE OF
 REPORTING PERSON (SEE INSTRUCTIONS) 12 HC

----- SCHEDULE 13G ITEM 1(A). NAME OF ISSUER:

Integrated Circuit Systems, Inc. ITEM 1(B). ADDRESS OF ISSUER'S PRINCIPAL EXECUTIVE OFFICES: 2435
 Boulevard of the Generals Norristown, PA 19403 ITEM 2(A). NAME OF PERSON FILING: This Statement is being
 jointly filed by each of the following persons pursuant to Rule 13d-1(f) promulgated by the Securities and Exchange
 Commission pursuant to Section 13 of the Securities Exchange Act of 1934, as amended (the "Exchange Act"): (i)
 Bear Stearns MB 1998-1999 Pre-Fund, LLC (the "Pre-Fund"), a Delaware limited liability company, by virtue of its
 direct beneficial ownership of shares of common stock, par value \$0.01 per share (the "Common Stock"), of the
 Issuer; and (ii) The Bear Stearns Companies Inc. ("BSC"), a Delaware corporation, as the managing member of the
 Pre-Fund. The Pre-Fund and BSC are collectively referred herein as the "Reporting Persons." The Reporting Persons
 have entered into a Joint Filing Agreement, a copy of which is filed with this statement as Exhibit A (which is
 incorporated herein by reference), pursuant to which the Reporting Persons have agreed to file this statement jointly in
 accordance with the provisions of Rule 13d-1(f)(1) under the Exchange Act. The Reporting Persons may be deemed to
 constitute a "group" for purposes of Section 13(d)(3) of the Exchange Act. The Reporting Persons expressly disclaim
 that they have agreed to act as a group other than as described in this Statement. ITEM 2(B). ADDRESS OF
 PRINCIPAL BUSINESS OFFICE OR, IF NONE, RESIDENCE: The address of the principal business office of each
 of the Reporting Persons is The Bear Stearns Companies Inc., 245 Park Avenue, New York, New York 10167. ITEM
 2(C). CITIZENSHIP: The Pre-Fund is a limited liability company organized under the laws of the State of Delaware,
 and BSC is a corporation organized under the laws of the State of Delaware. ITEM 2(D). TITLE OF CLASS OF
 SECURITIES: Common Stock, par value \$0.01 per share. ITEM 2(E). CUSIP NO.: 45811K 20 8 ITEM 3. IF THIS
 STATEMENT IS FILED PURSUANT TO RULES 13D-1(B), OR 13D-2(B) OR (C), CHECK WHETHER THE
 PERSON FILING IS: (a) ☐ a broker or dealer registered under section 15 of the Act (15 U.S.C. 78o); (b) ☐ a bank
 as defined in section 3(a)(6) of the Act (15 U.S.C. 78c); (c) ☐ an insurance company as defined in section 3(a)(19)
 of the Act (15 U.S.C. 78c); (d) ☐ an investment company registered under section 8 of the Investment Company Act of
 1940 (15 U.S.C. 80a-8); (e) ☐ an investment adviser in accordance with (S)240.13d-1(b)(1)(ii)(E); (f) ☐ an
 employee benefit plan or endowment fund in accordance with (S)240.13d-1(b)(1)(ii)(F); (g) ☐ a parent holding
 company or control person in accordance with (S) 240.13d-1(b)(1)(ii)(G); (h) ☐ a savings associations as defined in
 Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813); (i) ☐ a church plan that is excluded from the
 definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C.
 80a-3); or (j) ☐ a Group, in accordance with (S)240.13d- 1(b)(1)(ii)(J). Not applicable. ITEM 4. OWNERSHIP.
 (A)-(C). Each Reporting Person named in response to Item 2 hereof has, as of December 31, 2000, sole or shared
 power to vote or to direct the vote and sole or shared power to dispose or to direct the disposition of the Common
 Stock as follows: (i) As of the date hereof, the Pre-Fund has the sole power to vote and to dispose of 9,095,265 shares
 of Common Stock currently held by the Pre-Fund, constituting approximately 14.2% of the outstanding Common
 Stock issued and outstanding as of January ., 2001, as reported in the Issuer's Quarterly Report on Form 10-Q for the
 quarterly period ended September 30, 2000 (the "Quarterly Report"). (ii) BSC, as the managing member of the
 Pre-Fund, may be deemed to share voting and dispositive power with respect to 9,095,265 shares of Common Stock
 currently held by the Pre-Fund, representing approximately 14.2% of the total number of outstanding shares of
 Common Stock as reported in the Quarterly Report. The filing of this Statement by BSC shall not be construed as an
 admission that BSC is, for the purpose of Section 13(d) of the Exchange Act, the beneficial owner of such shares held

by the Pre-Fund. ITEM 5. OWNERSHIP OF FIVE PERCENT OR LESS OF A CLASS. If this statement is being filed to report the fact that as of the date hereof each of the Reporting Persons has ceased to be the beneficial owner of more than five percent of the class of securities, check the following []. ITEM 6. OWNERSHIP OF MORE THAN FIVE PERCENT ON BEHALF OF ANOTHER PERSON. Not applicable. ITEM 7. IDENTIFICATION AND CLASSIFICATION OF THE SUBSIDIARY WHICH ACQUIRED THE SECURITY BEING REPORTED ON BY THE PARENT HOLDING COMPANY OR CONTROL PERSON. Not applicable. ITEM 8. IDENTIFICATION AND CLASSIFICATION OF MEMBERS OF THE GROUP. Not applicable. ITEM 9. NOTICE OF DISSOLUTION OF GROUP. Not applicable. ITEM 10. CERTIFICATIONS. Not applicable. SIGNATURE After reasonable inquiry and to the best of our knowledge and belief, the undersigned certify that the information set forth in this statement is true, complete and correct. Date: February 12, 2001 BEAR STEARNS MB 1998-1999 PRE-FUND, LLC By: The Bear Stearns Companies Inc. By: /s/ Mark E. Lehman _____ Name: Mark E. Lehman Title: Executive Vice President THE BEAR STEARNS COMPANIES INC. By: /s/ Mark E. Lehman _____ Name: Mark E. Lehman Title: Executive Vice President EXHIBIT A AGREEMENT REGARDING THE JOINT FILING OF SCHEDULE 13G _____ The undersigned hereby agree as follows: (i) Each of them is individually eligible to use the Schedule 13G to which this Exhibit is attached, and such Schedule 13G is filed on behalf of each of them; and (ii) each of them is responsible for the timely filing of such Schedule 13G and any amendments thereto, and for the completeness and accuracy of the information concerning such person contained therein; but none of them is responsible for the completeness or accuracy of the information concerning the other persons making the filing, unless such person knows or has reason to believe that such information is inaccurate. Date: February 12, 2001 BEAR STEARNS MB 1998-1999 PRE-FUND, LLC By: The Bear Stearns Companies Inc. By: /s/ Mark E. Lehman ----- Name: Mark E. Lehman Title: Executive Vice President THE BEAR STEARNS COMPANIES INC. By: /s/ Mark E. Lehman ----- Name: Mark E. Lehman Title: Executive Vice President