### Edgar Filing: BOUSQUET CHAVANNE PATRICK - Form 4

BOUSQUET CHA Form 4 July 30, 2018	VANNE PA'	TRICK								
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations	<b>STATEME</b> Filed pursu	NT OF CHANG	hington, l GES IN B SECURI (a) of the lity Holdi	D.C. 205 BENEFIC TIES Securitie	<b>49</b> C <b>IAL OW</b> es Exchang pany Act o	<b>NERSHIP OF</b> ge Act of 1934, f 1935 or Sectio	OMB Number: Expires: Estimated a burden hou response	irs per		
(Print or Type Response 1. Name and Address o BOUSQUET CHA PATRICK	Symbol	BROWN FORMAN CORP [BFA,			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (Fir 850 DIXIE HIGHV	(Month/Da	3. Date of Earliest Transaction (Month/Day/Year) 07/26/2018			_X_ Director10% Owner Officer (give titleOther (specify below)below)					
(Street) 4. If Amendment, Date Of Filed(Month/Day/Year)				e Original		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (Sta	ate) (Zi	<sup>p)</sup> Table	I - Non-De	erivative S	ecurities Ac	quired, Disposed o	f, or Beneficial	lly Owned		
Security (Mont (Instr. 3)		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3, 4	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Class B Common						66,665 <u>(1)</u>	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (I	D)	Date Exercisable	Expiration Date	Title	Amount or Number or Shares
Deferred Stock Units	<u>(2)</u>	07/26/2018		А	2,121.7712		(3)	(3)	Class A Common	2,121.77
Deferred Stock Units	(5)						<u>(6)</u>	<u>(6)</u>	Class B Common	18,262.

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>		Relationsh		
	Director	10% Owner	Officer	Other
BOUSQUET CHAVANNE PATRICK 850 DIXIE HIGHWAY LOUISVILLE, KY 40210	Х			
Signatures				
Stephanie Ann Tso, Attorney in Fact for Bousquet-Chavanne	Patrick			07/30/2018
<u>**</u> Signature of Reporting Pe	erson			Date

# **Explanation of Responses:**

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

the DSU totals on this form have been updated to reflect such credits.

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On February 28, 2018, the issuer completed a stock split resulting in the distribution of one share of Class B common stock for every four (1) shares of either Class A or Class B common stock held. On April 23, 2018, the issuer paid a special cash dividend. Total has been updated to reflect the acquisition of 13,333 additional shares in the February 2018 stock split.

Under the Brown-Forman Corporation Non-Employee Director Deferred Stock Unit Program, each DSU represents the right to receive one share of the Company's Class A common stock. Grants made on July 26, 2018, were based on the closing price of the Company's

- (2) Class A common stock on that date (\$54.20). On each dividend payment date, participants are credited with DSU equivalents, and the DSU total on this form has been updated to reflect such credits.
- Annual grants of DSUs vest over the course of the Board year. DSUs are paid out in Class A common stock on the first February 1 that is (3) at least six months following the Director's termination from Board service.
- (4) Updated to reflect the special dividend.

Under the Brown-Forman Corporation Non-Employee Director Deferred Stock Unit Program, each DSU represents the right to receive (5) one share of the Company's Class B common stock. On each dividend payment date, participants are credited with DSU equivalents, and

- Annual grants of DSUs vest over the course of the Board year. DSUs are paid out in Class B common stock on the first February 1 that is (6)at least six months following the Director's termination from Board service.
- Updated to reflect the stock split and special dividend. (7)

#### **Reporting Owners**

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.