Eaton Corp plc Form 4 September 01, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

Number: 3235-0287 Expires: January 31,

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obligations

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Yadav Uday | | | 2. Issuer Name and Ticker or Trading Symbol Eaton Corp plc [ETN] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | |
|--|---------|----------|---|--|--|--|
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction | (Check all applicable) | | |
| 1000 EATON BOULEVARD | | RD | (Month/Day/Year) 08/30/2016 | Director 10% Owner X Officer (give title Other (specify below) See Remarks below. | | |
| (Street) | | | 4. If Amendment, Date Original | 6. Individual or Joint/Group Filing(Check | | |
| CLEVELAND, OH 44122 | | | Filed(Month/Day/Year) | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | |

| (City) | (State) | (Zip) Tabl | le I - Non-I | Derivative | Secu | rities Acqu | ired, Disposed of | , or Beneficiall | y Owned |
|--------------------------------------|--------------------------------------|---|---|------------|-----------|--|--|---|---------|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | | |
| Ordinary Shares | 08/30/2016 | | S | 6,867 | D | \$ 67.074 (1) | 22,601 | D | |
| Ordinary Shares | 08/30/2016 | | M | 3,051 | A | \$ 40.405 | 25,652 | D | |
| Ordinary Shares | 08/30/2016 | | S | 3,051 | D | \$ 67.018 (1) | 22,601 | D | |
| Ordinary Shares | 08/30/2016 | | M | 5,795 | A | \$ 41.565 | 28,396 | D | |
| | 08/30/2016 | | S | 5,500 | D | | 22,896 | D | |

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| Ordinary | | | | | \$ | | | |
|---|------------|---|------|-------|------------------|------------------|---------|----------------------|
| Shares | | | | | 67.044 | | | |
| | | | | | _ | | | |
| Ordinary Shares | 08/30/2016 | S | 295 | D | \$ 67.043 (1) | 22,601 | D | |
| Ordinary Shares | | | | | | 5,545.975 (2) | I | by trustee of ESP |
| Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. | | | | | | | | |
| | | | Pers | ons w | vho respo | nd to the collec | tion of | SEC 1474 |

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

 $\label{thm:convertible} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | Code Securities (Instr. 8) Acquired (A) or Disposed of (D) | | nof Derivative Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of (D) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. F Der Sec (Ins |
|---|---|--------------------------------------|---|--|-------------------------------|--|--------------------|---|--|----------------------------|
| | | | | Code V | (Instr. 3, 4, and 5) (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Stock Option | \$ 40.405 | 08/30/2016 | | M | 3,051 | (3) | 02/27/2017 | Ordinary Shares | 3,051 | \$ 4 |
| Stock Option | \$ 41.565 | 08/30/2016 | | M | 5,795 | <u>(4)</u> | 02/26/2018 | Ordinary Shares | 5,795 | \$ 4 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---------------------------------------|---------------|-----------|--------------------|-------|--|--|--|
| · · · · · · · · · · · · · · · · · · · | Director | 10% Owner | Officer | Other | | | |
| Yadav Uday 1000 EATON BOULEVARD | | | See Remarks below. | | | | |
| CLEVELAND, OH 44122 | | | | | | | |

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Signatures

/s/ Thomas E. Moran, as Attorney-in-Fact

09/01/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Price reported constitutes the average price of shares sold. The reporting person hereby undertakes, upon request of the Commission, the issuer or a security holder of the issuer, to provide full information regarding the number of shares sold at each separate price.
- (2) These ordinary shares are held in the Eaton Savings Plan.
- (3) These stock options were granted on February 27, 2007 and became fully exercisable over a three year period which ended on February 27, 2010.
- (4) These stock options were granted on February 26, 2008 and became fully exercisable over a three year period which ended on February 26, 2011.

Remarks:

Chief Operating Officer - Industrial Sector of Eaton Corporation, a subsidiary of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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