

FULTON FINANCIAL CORP
Form 4
November 21, 2016

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Roda Craig A

2. Issuer Name and Ticker or Trading Symbol
FULTON FINANCIAL CORP
[FULT]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
11/17/2016

____ Director
____ Officer (give title below)
____ 10% Owner
____ Other (specify below)
Sr. Executive Vice President

C/O FULTON FINANCIAL CORPORATION,, P.O. BOX 4887, ONE PENN SQUARE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

LANCASTER, PA 17604

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount or Price		
\$2.50 par value Common Stock	11/17/2016		M		18,000 A \$ 14.415	103,734.0335 (1) (2)	D
\$2.50 par value Common Stock	11/17/2016		M		15,958 A \$ 10.88	119,692.0335 (1)	D
\$2.50 par value	11/17/2016		M		7,722 A \$ 9.965	127,414.0335 (1)	D

Common Stock								
\$2.50 par value Common Stock	11/17/2016		F	30,388	D	\$ 17.55	97,026.0335 <u>(1)</u>	D
\$2.50 par value common stock	11/17/2016		S	9,844	D	\$ 17.7001 <u>(3)</u>	87,182.0335 <u>(4)</u>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Stock Option (Right to Buy)	\$ 14.415	11/17/2016		M	18,000	07/01/2010	06/30/2017	\$2.50 par value Common Stock	18,000
Stock Option (Right to Buy)	\$ 10.88	11/17/2016		M	15,958	<u>(5)</u>	06/30/2021	\$2.50 par value Common Stock	15,958
Stock Option (Right to Buy)	\$ 9.965	11/17/2016		M	7,722	<u>(6)</u>	06/30/2018	\$2.50 par value Common Stock	7,722

Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

Director 10% Owner Officer

Other

Roda Craig A
 C/O FULTON FINANCIAL CORPORATION,
 P.O. BOX 4887, ONE PENN SQUARE
 LANCASTER, PA 17604

Sr. Executive Vice President

Signatures

Mark A. Crowe,
 Attorney-in-Fact

11/21/2016

Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 28,798.4150 shares held jointly with spouse.
 Includes 62.90046 shares acquired on April 15, 2016, 483.2266 shares acquired on April 19, 2016, 68.41204 shares acquired on July 15, 2016, 530.3537 shares acquired on July 19, 2016, 68.36842 shares acquired on October 14, 2016, 526.3719 shares acquired on October 17, 2016 pursuant to dividend reinvestment.
- (2) Represents the weighted average price of shares sold in multiple transactions through a broker-dealer at prices ranging from \$17.70 to \$17.7001, inclusive. The reporting person undertakes to provide the Securities and Exchange Commission, the issuer, or a security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price.
- (3) Includes 18,954.4090 shares held jointly with spouse.
- (4) These shares became exercisable as follows: 5,320 share(s) on July 1, 2012, 5,319 share(s) on July 1, 2013, 5,319 share(s) on July 1, 2014.
- (5) These shares became exercisable as follows: 2,574 share(s) on July 1, 2009, 2,574 share(s) on July 1, 2010 and 2,574 share(s) on July 1, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.