MASCHMEIER STEPHANIE

Form 4

November 03, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

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OMB APPROVAL

if no longer subject to Section 16. Form 4 or Form 5

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obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * MASCHMEIER STEPHANIE

(First)

(Street)

2. Issuer Name and Ticker or Trading

Symbol

SAIA INC [SAIA]

Issuer

(Middle) 3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner _ Other (specify Officer (give title

(Check all applicable)

5. Relationship of Reporting Person(s) to

11465 JOHNS CREEK PARKWAY, 11/02/2017

below) below) Controller

SUITE 400

(Last)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

JOHNS CREEK, GA 30097

(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities Acquired			5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Year)	Execution Date, if	Transactio	Transaction(A) or Disposed of			Securities	Form: Direct	Indirect	
(Instr. 3)		any	Code	(D)			Beneficially	(D) or	Beneficial	
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)			Owned	Indirect (I)	Ownership	
							Following	(Instr. 4)	(Instr. 4)	
					(4)		Reported			
				(A)		Transaction(s)				
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common			Code v	Amount	(D)	¢				
Stock	11/02/2017		M	4,090	A	э 31.5	7,315.5	D		
Stock						31.3				
Common Stock	11/02/2017		S	4,090	D	\$ 65	3,225.5	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to Buy)	\$ 31.5	11/02/2017		M		4,090	02/04/2017	02/03/2021	Common Stock	4,090
Phantom Stock	(1)						(2)	<u>(3)</u>	Common Stock	7,136.734
Stock Options (Right to Buy)	\$ 43.01						02/03/2018	02/03/2022	Common Stock	4,220
Stock Options (Right to Buy)	\$ 27.45						02/08/2019	02/08/2023	Common Stock	6,110
Stock Options (Right to Buy)	\$ 46.35						02/07/2020	02/07/2024	Common Stock	1,630

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

MASCHMEIER STEPHANIE 11465 JOHNS CREEK PARKWAY, SUITE 400 JOHNS CREEK, GA 30097

Controller

Signatures

/s/ Stephanie R.
Maschmeier
11/03/2017

**Signature of Reporting Date
Person

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The conversion rate of this derivative security on November 2, 2017 is 1.868 resulting in 8,470.09 shares of common stock (underlying security in column 7).
- (2) Immediate
- The shares of phantom stock become payable in the Company's common stock upon reporting person's termination of service as an employee, in accordance with the terms of the Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.