#### RAMU RAYMOND R

Form 4

February 19, 2019

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

**OMB APPROVAL** 

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue.

See Instruction

Check this box

if no longer

Section 16.

Form 4 or

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* RAMU RAYMOND R

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Street)

SAIA INC [SAIA]

(Check all applicable)

Exec. VP & Chief Customer Off.

(Last)

(First) (Middle) 3. Date of Earliest Transaction

Director

10% Owner

(Month/Day/Year)

11465 JOHNS CREEK PARKWAY, 02/15/2019

Officer (give title below)

Other (specify

SUITE 400

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

JOHNS CREEK, GA 30097

(City)	(State)	(Zip) Tak	ole I - Non-	-Derivative	Secu	rities Acquir	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transacti Code (Instr. 8)	omr Dispos (Instr. 3,	sed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/15/2019		M	13,440	` /	\$ 27.45	33,920	D	
Common Stock	02/15/2019		M	4,500	A	\$ 43.01	38,420	D	
Common Stock	02/15/2019		S	4,500	D	\$ 69.1929	33,920	D	
Common Stock	02/15/2019		S	13,440	D	\$ 69.1929	20,480	D	
Common Stock	02/15/2019		S	2,820	D	\$ 69.193	17,660	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Stock Options (Right to Buy)	\$ 43.01	02/15/2019		M		4,500	02/03/2018	02/03/2022	Common Stock	4,500
Stock Options (Right to Buy)	\$ 27.45	02/15/2019		M		13,440	02/09/2019	02/09/2023	Common Stock	13,440
Phantom Stock	(1)						(2)	<u>(3)</u>	Common Stock	7,073.24
Stock Options (Right to Buy)	\$ 73.35						02/06/2021	02/06/2025	Common Stock	3,920
Stock Options (Right to Buy)	\$ 66.03						02/07/2022	02/07/2026	Common Stock	6,500
Stock Options (Right to Buy)	\$ 46.35						02/07/2020	02/07/2024	Common Stock	3,580

# **Reporting Owners**

Reporting Owner Name / Address	Ketauonsnips					
	Director	10% Owner	Officer	Other		

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RAMU RAYMOND R 11465 JOHNS CREEK PARKWAY, SUITE 400 JOHNS CREEK, GA 30097

Exec. VP & Chief Customer Off.

### **Signatures**

/s/ Stephanie R. 02/18/2019 Maschmeier

\*\*Signature of Reporting Date
Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The conversion rate of this derivative security on February 15, 2019 is 1.1680 resulting in 8,335.926 shares of common stock (underlying security in column 7).
- (2) Immediate
- The shares of phantom stock become payable in the Company's common stock upon reporting person's termination of service as an employee, in accordance with the terms of the Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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