

BERMAN ANN E
Form 4
March 05, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
BERMAN ANN E

2. Issuer Name **and** Ticker or Trading
Symbol
LOEWS CORP [L]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

144 COOLIDGE HILL

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
03/04/2019

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

CAMBRIDGE, MA 02138

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--------|------------------|---|--|-----------------------------------|
| | | | Code | V | Amount | (A) or (D) Price | | | |
| Common Stock | 03/04/2019 | | M | | 1,500 | A \$ 37.26 | 1,500 | D | |
| Common Stock | 03/04/2019 | | M | | 1,500 | A \$ 33.12 | 3,000 | D | |
| Common Stock | 03/04/2019 | | M | | 1,500 | A \$ 37.82 | 4,500 | D | |
| Common Stock | 03/04/2019 | | M | | 1,500 | A \$ 38.99 | 6,000 | D | |
| Common Stock | 03/04/2019 | | D | | 4,601 | D \$ 47.96 | 1,399 | D | |
| | 03/04/2019 | | S | | 1,399 | D | 0 | D | |

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Common
Stock

\$
48.24
(1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount Underlying Securities (Instr. 3 and 4) | | | |
|---|--|---|---|--------------------------------------|---|--|-----|--|--------------------|-----------------|--|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Appreciation Right | \$ 37.26 | 03/04/2019 | | M | | 1,500 | | 03/31/2010 | 03/31/2020 | Common Stock | 1,500 |
| Stock Appreciation Right | \$ 33.12 | 03/04/2019 | | M | | 1,500 | | 06/30/2010 | 06/30/2020 | Common Stock | 1,500 |
| Stock Appreciation Right | \$ 37.82 | 03/04/2019 | | M | | 1,500 | | 09/30/2010 | 09/30/2020 | Common Stock | 1,500 |
| Stock Appreciation Right | \$ 38.99 | 03/04/2019 | | M | | 1,500 | | 12/31/2010 | 12/31/2020 | Common Stock | 1,500 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| BERMAN ANN E 144 COOLIDGE HILL CAMBRIDGE, MA 02138 | X | | | |

Signatures

/s/ Thomas H. Watson by power of attorney for Ann E.
Berman

03/05/2019

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents the weighted average price of multiple transactions with a range of prices between \$48.24 and \$48.24. The Reporting Person,

(1) upon request by the Commission Staff, the Issuer or a security holder of the Issuer, undertakes to provide further information regarding the number of securities at each separate price sold.

(2) The Reporting Person received the Derivative Security pursuant to a stock appreciation right grant at no cost.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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