

Wells Robert L II
Form 4/A
October 10, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Wells Robert L II

2. Issuer Name and Ticker or Trading Symbol
RGC RESOURCES INC [RGC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
10/05/2018

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

VP, Information Technology

P.O. BOX 13007

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)
10/09/2018

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

ROANOKE, VA 24030

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | Code V Amount (D) Price | | | |
| Common Stock | 10/05/2018 | | M ⁽¹⁾ | 500 A \$ 12.673 | 11,538.635 | D | |
| | | | | | <u>(2)</u> | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|---------------------|---|--|---|----------------------------|
| Non-Qualified Stock Option (3) | \$ 12.673 | 10/05/2018 | | M | 500 | 10/01/2013 04/01/2023 | Common Stock | 4,000 |
| Non-Qualified Stock Option (4) | \$ 14.4 | | | | | 06/04/2015 12/04/2024 | Common Stock | 3,750 |
| Non-Qualified Stock Option (5) | \$ 14.147 | | | | | 06/03/2016 12/03/2025 | Common Stock | 3,750 |
| Non-Qualified Stock Option (6) | \$ 16.367 | | | | | 06/08/2017 12/08/2026 | Common Stock | 3,750 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|----------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Wells Robert L II P.O. BOX 13007 ROANOKE, VA 24030 | | | VP, Information Technology | |

Signatures

Robert L. Wells,
II
10/10/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of options granted 04/01/2013. All options fully exercisable six months after date of grant.
Includes 5.795 shares purchased 5/1/2018 and 5.296 shares purchased 08/01/2018 through dividends reinvested in the RGC Resources, Inc. Dividend Reinvestment and Stock Purchase Plan. Also includes 6.644 restricted shares purchased 05/01/2018 and 6.103 restricted shares purchased 08/01/2018 through dividends reinvested in the RGC Resources, Inc. Restricted Stock Plan.

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- (3) Amended to reflect Non-Qualified Stock Option as derivative title.
- (4) Amended to reflect Non-Qualified Stock Option as derivative title.
- (5) Amended to reflect Non-Qualified Stock Option as derivative title.
- (6) Amended to reflect Non-Qualified Stock Option as derivative title.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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