**Brenner Timothy** Form 4 January 17, 2019

## FORM 4

### **OMB**

### **OMB APPROVAL**

3235-0287

2005

Check this box if no longer subject to Section 16.

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Number: January 31, Expires:

Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* **Brenner Timothy** 

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

NBT BANCORP INC [NBTB]

Symbol

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify

**52 SOUTH BROAD STREET** 

01/15/2019

below) EVP & President Wealth Mgmt

6. Individual or Joint/Group Filing(Check

(Street) 4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

NORWICH, NY 13815

(City)	(State) (	Zip) Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Form: Direct Beneficially (D) or Owned Indirect (I) Following (Instr. 4) Reported Transaction(s)			
NBT Bancorp Inc. Common Stock	01/15/2019		Code V	Amount 305	(D)	Price \$ 36.02	(Instr. 3 and 4) 57,163	D	
NBT Bancorp Inc. Common Stock	01/15/2019		F	1,572	D	\$ 36.02	55,591	D	
NBT Bancorp	01/15/2019		F	172	D	\$ 36.02	55,419	D	

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Inc. Common Stock								
NBT Bancorp Inc. Common Stock	01/15/2019	F	202	D	\$ 36.02	55,217	D	
NBT Bancorp Inc. Common Stock	01/15/2019	F	295	D	\$ 36.02	54,922	D	
NBT Bancorp Inc. Common Stock						313.223	I	401k

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transact Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	<b>:</b>	ate	Amou Under Secur	le and unt of rlying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owno Follo Repo Trans (Instr
			Code V	, ,	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Keiationships					
	Director	10% Owner	Officer	Other		

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Brenner Timothy 52 SOUTH BROAD STREET NORWICH, NY 13815

EVP & President Wealth Mgmt

## **Signatures**

By: Michael J. Chewens, Power of Attorney For: Timothy L. Brenner

01/17/2019

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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