

Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form 35-CERT

AMERICAN ELECTRIC POWER CO INC
Form 35-CERT
August 08, 2002

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

| | |
|--|----------------|
| ----- | : |
| | : |
| In the Matter of | : |
| | : |
| AMERICAN ELECTRIC POWER COMPANY | : CERTIFICATE |
| Columbus, Ohio 43215 | : OF |
| | : NOTIFICATION |
| (70-5943) | : |
| | : |
| PUBLIC UTILITY HOLDING COMPANY ACT OF 1935 | : |
| ----- | : |

THIS IS TO CERTIFY that, in accordance with the terms and conditions of and for the purposes represented by the declaration, as amended, of American Electric Power Company, Inc. (the "Company") in the above-captioned file and the orders of the Securities and Exchange Commission with respect thereto (HCAR No. 19879, dated February 8, 1977; HCAR No. 19992, dated April 19, 1977; HCAR No. 20111, dated October 14, 1977; HCAR No. 20506, dated April 19, 1978; HCAR No. 20979, dated March 29, 1979; HCAR No. 21180, dated August 8, 1979; HCAR No. 21544, dated May 1, 1980; HCAR No. 22113, dated June 30, 1981; HCAR No. 22539, dated June 15, 1982; HCAR No. 22989, dated June 29, 1983; HCAR No. 23353, dated June 29, 1984; HCAR No. 23538, dated December 19, 1984; HCAR No. 23754, dated October 1, 1985; HCAR No. 23980, dated January 3, 1986; HCAR No. 24534, dated December 18, 1987; HCAR No. 25233, dated December 27, 1990; HCAR No. 25936, dated December 1, 1993; HCAR No. 26516 dated May 10, 1996; HCAR No. 26553, dated August 13, 1996; HCAR No. 27186, dated June 14, 2000 in file 70-9381; and HCAR No. 27258, dated December 19, 2000) during the period from April 1, 2002, through June 30, 2002, the Company issued a total of -0- shares of its Common Stock, \$6.50 par value ("Common Stock"), to Stanley & Co. [a nominee of EquiServe Trust Company, N.A. ("EquiServe")], at a total purchase price of \$-0-, for the accounts of participants in the Company's Dividend Reinvestment and Direct Stock Purchase Plan (the "Plan"). During such period, EquiServe, as Agent under the Plan, purchased on the open market for the accounts of participants a total of 431,020 shares of the Company's Common Stock, at a total purchase price of \$18,042,210.57. These transactions are set forth in more detail in the attached Schedule I, incorporated herein by reference.

Attached hereto is Schedule II, which provides a summary of the total expenses incurred, directly or indirectly, by the Company in connection with the operation of the Plan for the year ending December 31, 2001.

AMERICAN ELECTRIC POWER COMPANY, INC.

By: /s/ A. A. Pena

Treasurer

Dated: August 8, 2002

SCHEDULE I
to

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CERTIFICATE OF NOTIFICATION (#70-5943)
of
AMERICAN ELECTRIC POWER COMPANY, INC.
For the Period April 1 - June 30, 2002

TRANSACTIONS THIS PERIOD:

- ORIGINAL ISSUE SHARES -

| PERIOD | SHARES ISSUED | PRICE PER SHARE | TOTAL PURCHASE PRICE |
|--------------------|------------------|--------------------|-------------------------|
| TOTAL O/I PURCHASE | -0- | | \$-0- |

- OPEN MARKET PURCHASES -

| DATE | SHARES PURCHASED | AVERAGE PRICE PER SHARE | TOTAL PURCHASE PRICE |
|-----------------------|---------------------|----------------------------|-------------------------|
| 04/02/02 | 12,145 | 45.964 | 558,229.28 |
| 04/09/02 | 8,053 | 47.342 | 381,245.04 |
| 04/16/02 | 6,755 | 47.666 | 321,986.86 |
| 04/23/02 | 7,883 | 48.254 | 380,388.37 |
| 04/30/02 | 4,597 | 45.750 | 210,312.75 |
| 05/07/02 | 8,260 | 46.533 | 384,364.96 |
| 05/14/02 | 7,217 | 45.696 | 329,788.03 |
| 05/21/02 | 4,102 | 44.397 | 182,114.48 |
| 05/28/02 | 4,627 | 45.000 | 208,215.00 |
| 06/04/02 | 8,697 | 41.666 | 362,371.36 |
| 06/05/02 | 45,000 | 41.218 | 1,854,810.00 |
| 06/06/02 | 45,000 | 40.785 | 1,835,325.00 |
| 06/07/02 | 45,000 | 40.085 | 1,803,825.00 |
| 06/10/02 | 45,000 | 40.712 | 1,832,040.00 |
| 06/11/02 | 50,000 | 41.245 | 2,062,250.00 |
| 06/11/02 | 8,412 | 41.196 | 346,541.21 |
| 06/12/02 | 50,000 | 41.242 | 2,062,100.00 |
| 06/13/02 | 54,271 | 41.629 | 2,259,247.46 |
| 06/18/02 | 7,544 | 42.515 | 320,733.16 |
| 06/25/02 | 8,457 | 40.951 | 346,322.61 |
| | ----- | | ----- |
| TOTAL O/M PURCHASE | 431,020 | | \$18,042,210.57 |
| | ===== | | ===== |

- TOTAL ACTIVITY THIS PERIOD -

| | SHARES PURCHASED | TOTAL PURCHASE PRICE |
|----------------|---------------------|-------------------------|
| O/I Shares | -0- | \$ -0- |
| O/M Purchases | 431,020 | \$18,042,201.57 |
| | ----- | ----- |
| TOTAL ACTIVITY | 431,020 | \$18,042,210.57 |
| | ===== | ===== |

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PAGE TWO
 SCHEDULE I to
 CERTIFICATE OF NOTIFICATION (#70-5943) of
 AMERICAN ELECTRIC POWER COMPANY, INC.
 For the Period April 1 - June 30, 2002

CUMULATIVE SUMMARY OF TRANSACTIONS:

- ORIGINAL ISSUE SHARES -

| | SHARES ISSUED | TOTAL PURCHASE PRICE |
|-----------------------------|---------------|-------------------------|
| Totals from last report | 47,773,594 | \$1,008,340,148.37 |
| Transactions this period | -0- | \$ -0- |
| | ----- | ----- |
| Total Original Issue Shares | 47,773,594 | \$1,008,340,148.37 |
| | ===== | ===== |

- OPEN MARKET PURCHASES -

| | SHARES ISSUED | TOTAL PURCHASE PRICE |
|--------------------------|---------------|-------------------------|
| Totals from last report | 24,073,418 | \$768,816,891.40 |
| Transactions this period | 431,020 | \$18,042,210.57 |
| | ----- | ----- |
| Total Open Market Shares | 24,504,438 | \$786,859,101.90 |
| | ===== | ===== |

SCHEDULE II
 to
 CERTIFICATE OF NOTIFICATION (#70-5943)
 of
 AMERICAN ELECTRIC POWER COMPANY, INC.

Summary of Plan Expenses
 for the year ended
 December 31, 2001

Fees and expenses of First Chicago Trust Company of New York, the Plan agent:

| | |
|---|-----------|
| Brokers' fees for purchase of shares | \$ 44,269 |
| Account maintenance charges | * |
| Postage | 114,560 |
| Printing expenses - DPR statement form, authorization cards, envelopes, and enclosing costs | 32,094 |
| | ----- |
| Total | \$190,923 |

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Average number of participants in the
Plan during the year

70,910

- * The fee paid the transfer agent does not separately identify the account maintenance charges for the DRP plan; however, we estimate the fee to be in the range of \$5 per account.