

Edgar Filing: CRACCHIOLO JAMES M - Form 4

CRACCHIOLO JAMES M  
 Form 4  
 May 01, 2003

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549  
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.  
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person  
 James M. Cracchiolo  
 American Express Tower  
 3 World Financial Center  
 200 Vesey Street  
 NY, New York 10285-5003
2. Issuer Name and Ticker or Trading Symbol  
 American Express Company (AXP)
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Day/Year  
 4/29/2003
5. If Amendment, Date of Original (Month/Day/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)  
 ( ) Director ( ) 10% Owner (X) Officer (give title below) ( ) Other  
 (specify below)  
 Member, Global Leadership Team
7. Individual or Joint/Group Filing (Check Applicable Line)  
 (X) Form filed by One Reporting Person  
 ( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Trans-<br>action<br>Date | 2A. Exec-<br>ution<br>Date | 3. Trans-<br>action<br>Code | 4. Securities Acquired (A)<br>or Disposed of (D)<br>Amount | A/<br>D | Price  | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported Trans(s) |
|----------------------|-----------------------------|----------------------------|-----------------------------|--|---------|--------|--|
| Common Shares        | 4/29/<br>2003               | 4/29/<br>2003              | M                           | 37893.000  | A       | 22.146 |  |
| Common Shares        | 4/29/<br>2003               | 4/29/<br>2003              | F                           | 27775.000  | D       | 37.915 | 299362.527   |
| Common Shares        |                             |                            |                             |  |         |        | 4635.000   |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of<br>Derivative<br>Security | 2. Con-<br>version<br>or Exer-<br>cise<br>Price of<br>Deriva- | 3. Trans-<br>action | 3A. Deemed<br>Execu-<br>tion | 4. Trans-<br>action | 5. Number of De<br>rivative Secu<br>rities Acqui<br>red(A) or Dis<br>posed of(D) | 6. Date Exer<br>cisable and<br>Expiration<br>Date(Month/<br>Day/Year)<br>Date Expir | 7. Title and Amount<br>of Underlying<br>Securities | 8. P<br>of<br>vat<br>Sec<br>rit |
|---------------------------------------|---|---------------------|------------------------------|---------------------|--|---|--|---------------------------------|
|---------------------------------------|---|---------------------|------------------------------|---------------------|--|---|--|---------------------------------|

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| Active<br>Security   | (Month/<br>Day/<br>Year) | (Month/<br>Day/<br>Year) | Code | V | Amount    | A/<br>D | Exer-<br>cisa-<br>ble | ation<br>Date | Title and Number<br>of Shares |
|--|--------------------------|--------------------------|------|---|-----------|---------|-----------------------|---------------|-------------------------------|
| Employee Stock<br>Option (right<br>to buy) with<br>tandem SAR -<br>2/24/97 | 4/29/<br>003             | 2/4/29/<br>2003          | M    |   | 37893.000 | D       | 2/24/<br>98 2         | 02/23/<br>07  | Common Shares<br>37893.00     |
| Employee Stock<br>Option (right<br>to buy) -<br>2/24/97                    | 4/29/<br>003             | 2/4/29/<br>2003          | A    |   | 27775.000 | A       | 10/29/<br>03          | 2/23/<br>07   | Common Shares<br>27775.00     |

Explanation of Responses:

1. Shares held in reporting person's account under the Company's Incentive Savings Plan as of April 1, 2003. This plan uses unit accounting, and the number of shares that a participant is deemed to hold varies with the unit price of the Company pooled stock fund.

2. These options vested in three equal annual installments beginning on the date shown as "Date Exercisable."

SIGNATURE OF REPORTING PERSON

James M. Cracchiolo

James M. Cracchiolo