## Edgar Filing: FLEETBOSTON FINANCIAL CORP - Form 4

## FLEETBOSTON FINANCIAL CORP

Form 4 January 14, 2003

# FORM 4

obligations may continue.

See Instruction 1(b).

Check this box if no longer subject to Section 16.

#### **OMB APPROVAL**

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . . 0.5

Filed By

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo and Dye's Section 16 Filer www.section16.net

1. Name and Ac			Name and ton Finan			6. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
(Last) FleetBoston Fire 100 Federal Str	of Re	port	Identificati ing Person ry (volunta	١,	j	4. Statement for Month/Day/Year 01/13/03	X Director 10% Owner Officer (give title below) Other (specify below)					
Boston, MA 02					j	5. If Amendment, Date of Original Month/Day/Year)	7. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person  Form filed by More than One Reporting Person					
(City	(State)	(Zip)		Ta	ble I No	n-Der	ivative S	Securities Acquired, Disposed of, or Beneficially Owned				
Security action Execution a (Instr. 3) Date Date,			3. Tran action Code (Instr. 8		4. Securiti (A) or Dis (Instr. 3, 4	posed		5. Amount of Securities Beneficially Owned Follow-	6. Owner- ship Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
	Year)	(Month/Day/ Year)	Code	V	Amount	(A) or (D)	Price	ing Reported Transactions(s) (Instr. 3 & 4)	(I) (Instr. 4)			
Common Stock	01/13/03		M		300,000	A	\$20.69		D			
Common Stock	01/13/03		<b>F</b> (1)		226,367	D	\$27.42		D			
Common Stock	01/13/03		<b>F</b> (2)		20,948	D	\$27.42	91,334 <u>(3)</u>	D			
Common Stock								556,752 <sup>(3)</sup>	I	By Trust		
Common Stock								12,035	I	Murray & Young Assoc. L.L.C.		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

	2. Conver-	3. Trans-	3A.	4.	5. N		6. Date Exercisable		7. Title and		8. Price of	9. Number of	10.	11. Nat
Derivative	sion or	action	Deemed	Trans-	of		and Expiration		Amount of		Derivative	Derivative	Owner-	of Indir
Security	Exercise	Date	Execution	action	Der	ivative	Date		Underlying		Security	Securities	ship	Benefic
	Price of		Date,	Code	Sec	urities	(Month/Day/		Securities		(Instr. 5)	Beneficially	Form	Owners
(Instr. 3)	Derivative	(Month/	if any		Acq	uired	Year)		(Instr. 3 & 4)			Owned	of Deriv-	(Instr. 4
	Security	Day/	(Month/	(Instr.	(A)	or	<u> </u>					Following	ative	
	1	Year)	Day/	8)	Disposed of							Reported	Security:	
			Year)		(D)							Transaction(s)	Direct	
												(Instr. 4)	(D)	
					(Instr. 3, 4							(======================================	or	
					& 5	)							Indirect	
				Code V	-1	ĺ	Date	Expira-	Title	Amount			(I)	
				Code	(A)			tion	Title	or			(Instr. 4)	
							Exer-cisable	Date		Number				
								Date		of				
										Shares				
	¢20.70	01/12/02		N	1	200 000	11/20/07	11/20/05			<b>\$27.42</b>	0		
Employee	\$20.69	01/13/03		M		300,000	11/30/96		Common	300,000	\$27.42	U	D	
Stock									Stock					
Option (Dialate														
(Right to														
Buy)														

Explanation of Responses:

By: /s/ <u>Terrence Murray</u>
Terrence Murray
\*\*Signature of Reporting Person

**01/14/03** Date

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

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<sup>(1)</sup> Delivery of previously owned shares to pay stock option exercise price.

<sup>(2)</sup> Withholding of shares or delivery of previously owned shares to satisfy tax withholding obligations in connection with a stock option exercise.

<sup>(3)</sup> Reflects the transfer of 52,685 shares from directly owned to Fleet National Bank as Trustee of the J. Terrence Murray Revocable Trust dated 11/20/87, as amended-standby.

<sup>\*\*</sup>Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).