Edgar Filing: Wochner William J - Form 4

Form 4	illiam J											
February 26	, 2018											
FORM	14 UNITED	STATES					NGE C	OMMISSION	OMB AF OMB Number:	PROVAL 3235-0287		
Check th if no lon subject t Section Form 4 of Form 5 obligation may con See Instr 1(b).	ger o 16. or Filed pur ons tinue.	Washington, D.C. 20549 MENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES arsuant to Section 16(a) of the Securities Exchange Act of 1934, (a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								January 31, 2005 verage 's per 0.5		
(Print or Type	Responses)											
1. Name and A Wochner W	Address of Reporting /illiam J	Person <u>*</u>	Symbol	r Name and AS CITY			-	5. Relationship of Issuer	Reporting Pers	on(s) to		
			f Earliest Ti			[110 0]	(Check	(Check all applicable)				
KANSAS (BOX 21933	CITY SOUTHERI 35	N, PO	(Month/E 02/23/2	•				Director X_ Officer (give below) Sr VP & C		Owner r (specify icer		
	(Street)			endment, Da nth/Day/Year	-	ıl		6. Individual or Joi Applicable Line) _X_Form filed by O	ne Reporting Per	son		
KANSAS (CITY, MO 64121-	-9335						Form filed by M Person	ore than One Rej	porting		
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative	Secur	ities Acqu	uired, Disposed of,	or Beneficiall	y Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transactic Code (Instr. 8) Code V	(Instr. 3,	isposed	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	02/23/2018			F	295	D	\$ 107.17	23,569.453	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 35.41					03/01/2013 <u>(1)</u>	02/29/2020	Common Stock	6,800
Employee Stock Option (Right to Buy)	\$ 52.62					<u>(2)</u>	02/22/2021	Common Stock	2,830
Employee Stock Option (Right to Buy)	\$ 66.99					(2)	02/21/2022	Common Stock	2,037
Employee Stock Option (Right to Buy)	\$ 97.77					(2)	02/20/2023	Common Stock	1,618
Employee Stock Option (Right to Buy)	\$ 94.23					(2)	02/19/2024	Common Stock	1,720
Employee Stock Option (Right to Buy)	\$ 119.35					(2)	02/17/2025	Common Stock	1,649
Employee Stock Option	\$ 82.71					<u>(2)</u>	02/18/2026	Common Stock	3,851

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(Right to Buy)						
Employee Stock Option (Right to Buy)	\$ 86.89		(2)	02/16/2027	Common Stock	3,618

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Wochner William J KANSAS CITY SOUTHERN PO BOX 219335 KANSAS CITY, MO 64121-9335			Sr VP & Chief Legal Officer				
Signatures							
Julie D. Powell, Attorney-in-fact	02/26/2018						
<u>**</u> Signature of Reporting Person	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Prior to March 1, 2013, this option may become exercisable in 1/3 increments if the daily closing price of Kansas City Southern's common stock on the New York Stock Exchange is greater than or equal to \$38.95, \$42.85, and \$47.14, respectively, for at least 30

- (1) consecutive trading days. The first target was met and 2,267 of these options became exercisable on November 19, 2010. The second target was met and 2,267 of these options became exercisable on December 8, 2010. The third target was met and 2,266 of these options became exercisable on January 7, 2011.
- (2) These options become exercisable in three equal installments beginning on the first anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.