Koss Michael J Jr Form 4 July 27, 2018

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Koss Michael J Jr Issuer Symbol KOSS CORP [KOSS] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify 4129 N PORT WASHINGTON 07/25/2018 below) **AVENUE** VP Marketing & Product (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting MILWAUKEE, WI 53212 Person

| (City)                               | (State) (Z                           | Zip) Table  | I - Non-Do                             | erivative S  | ecurities Ac  | quired, Disposed   | of, or Beneficia   | lly Owned   |
|--------------------------------------|--------------------------------------|---|--|--|---------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securit<br>onAcquired<br>Disposed<br>(Instr. 3, | (A) or of (D) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      |                                      |   |  |  |               | 11   | I  | ESOP  |
| Common<br>Stock                      |                                      |   |  |  |               | 2,000  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exer<br>Expiration D<br>(Month/Day/ | ate                | 7. Title and 2. Underlying \$ (Instr. 3 and | Securities                          | 3 ( |
|---|---|--------------------------------------|---|--|---|---|--------------------|---|-------------------------------------|-----|
|   |   |                                      |   | Code V                                 | (A) (D)   | Date<br>Exercisable                         | Expiration<br>Date | Title                                       | Amount<br>or<br>Number<br>of Shares |     |
| Stock Option (right to buy)                         | \$ 2.92   | 07/25/2018                           |   | A                                      | 60,000  | <u>(1)</u>                                  | 07/25/2023         | Common<br>Stock                             | 60,000                              |     |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |             |       |  |  |
|--------------------------------|---------------|-----------|-------------|-------|--|--|
| r                              | Director      | 10% Owner | Officer     | Other |  |  |
| Koss Michael J Jr              |               |           | VP          |       |  |  |
| 4129 N PORT WASHINGTON AVENUE  |               |           | Marketing & |       |  |  |
| MILWAUKEE, WI 53212            |               |           | Product     |       |  |  |

## **Signatures**

| Michael J Koss<br>Jr            | 07/26/2018 |  |  |
|---------------------------------|------------|--|--|
| **Signature of Reporting Person | Date       |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option vests in four equal annual installments beginning on 7/25/2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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