

MODINE MANUFACTURING CO

Form 4

January 10, 2003

FORM 4

UNITED STATES SECURITIES AND
EXCHANGE COMMISSION
Washington, DC 20549

STATEMENT OF CHANGES IN
BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the
Securities Exchange Act of 1934,
Section 17(a) of the Public Utility
Holding Company Act of 1935 or
Section 30(h) of the Investment
Company Act of 1940

OMB
APPROVAL
OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden
hours per
response.....0.5

☐ Check this box if
no longer
subject to
Section 16. Form
4 or
Form 5
obligations may
continue.
See Instruction
1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol			6. Relationship of Reporting to Issuer (Check all applicable)		
Kelsey, M. C.			Modine Manufacturing Company - MODI			☐ Director ☒ 10% Owner ☒ Officer (give title below) ☐ Other (specify below) Senior Counsel and Assistant		
(Last)	(First)	(Middle)	3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)		4. Statement for Month/Day/Year	7. Individual or Joint/Group (Check Applicable Line)		
Modine Manufacturing Company			395-72-0184		1/06/03	Form filed by One Reporting Person		
1500 DeKoven Avenue					5. If Amendment, Date of Original (Month/Day/Year)	Form filed by More than One Reporting Person		
(Street)								
Racine WI 53403								
(City)	(State)	(Zip)	Table I — Non-Derivative Securities Acquired, Disposed of, or Beneficially					
1. Title of Security (Instr. 3)			2. Transaction Date	2A. Deemed Execution Date, if any	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D) or

	(Month/ Day/ Year)	(Month/ Day/ Year)	Code V	Amount	(A) or (D)	Price	Followed Reported Transaction(s) (Instr. 4) (Instr. 3 and 4)	Indirect (Instr. 4)
Common Stock, \$0.625 Par	1/06/03		A	1,600	A	-0-		
	(1)		J ⁽¹⁾	93.6149		(1)		
	(2)		J ⁽²⁾	98.8207		2,097.6674 (3) (4)	D	

(1)

The reported securities of 93.6149 Modine Common Stock Fund Units (Modine 401(k) Retirement Plan) were purchased 4/1/02 thru 1/02/03 at prices ranging from \$16.44 to \$29.01 per Unit. Each Unit consists of Modine common stock and cash components.

(2) The reported securities of 98.8207 Modine Common Stock Fund Units (Modine Deferred Compensation Plan) were purchased from 4/1/02 thru 1/02/03 at prices ranging from \$16.44 to \$29.01 per Unit. Each Unit consists of Modine common stock and cash components.

(3) Includes 230.5774 Units owned thru the Modine 401(k) Retirement Plan. Each Unit consists of Modine common stock and cash components.

(4) Includes 167.0900 Units owned thru the Modine Deferred Compensation Plan. Each Unit consists of Modine common stock and cash components.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(Over)
SEC 1474
(9-02)

FORM 4 (continued)	Table II — Derivative Securities Acquired, Disposed of, or Beneficially (e.g., puts, calls, warrants, options, convertible securities)							

Edgar Filing: MODINE MANUFACTURING CO - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exercise Price of Deri- vative Security	3. Trans- action Date (Month/ Day/ Year)	3A. Deemed Execution Date, if any (Month/ Day/ Year)	4. Trans- action Code (Instr.8)		5. Number of Deriv- ative Securities Ac- quired (A) or Dis- posed of (D) (Instr. 3, 4 and 5)		6. Date Exer- cisable and Expiration Date (Month/Day/ Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Deri- vative Secu- rity (Instr. 5)
				Code	V	(A)	(D)	Date Exer- cisable	Expira- tion Date	Title	Amount or Number of Shares	
Options with tandem tax withholding rights	\$25.8125	4/01/01		A		3,000		4/01/ 2001	4/01/ 2011	Common Stock	3,000	
Options with tandem tax withholding rights	\$22.78	1/16/02		A		6,000		1/16/ 2002	1/16/ 2012	Common Stock	6,000	
Options with tandem tax withholding rights	\$18.53	1/06/03		A		3,360		1/06/ 2003	1/06/ 2013	Common Stock	3,360	

Explanation of Responses:

The plans under which these options were granted, which complies with Rule 16b-3, allows for the provision of tax withholding rights.

The Reporting Person is a participant in the Modine Employee Stock Ownership Plan (ESOP), Modine Common Stock Fund Units and the Modine Manufacturing Company Pension Trusts. The entities (with the exception of the Modine Common Stock Fund) are also Reporting Persons pursuant to Section 16(a) and files separate statements. Shares held by the Reporting Person in the Plan or Trust are reported on this Statement and on statements filed by the Plan.

/s/ Margaret C. Kelsey

January 9, 2003

** Intentional misstatements or
omissions of facts constitute
Federal Criminal Violations.
See

**Signature of Reporting Person

Date

18 U.S.C. 1001 and 15 U.S.C.
78ff(a).

Note: File three copies of this Form, one of which must be
manually signed. If space is insufficient,
see Instruction 6 for procedure.

Potential persons who are to respond to the collection of
information contained in this form are not
required to respond unless the form displays a currently valid
OMB Number.

Page 2