# Edgar Filing: NORFOLK SOUTHERN CORP - Form 4

### NORFOLK SOUTHERN CORP

Form 4 February 05, 2003

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . . 0.5

\_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo and Dye's Section 16 Filer www.section16.net

					me and Tic		F	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (First) (Middle)  99 Spring Street				rting	ntification lg Person, voluntary)	Numbe	Mo	Statement for onth/Day/Year 03/03	2	Director			
Adlanta CA 200						If Amendment, te of Original onth/Day/Year)	<b>(</b>	Senior V.P Chief Information Officer 7. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting					
Atlanta, GA 30303  (City) (State) (Zip)				able	I Non-F	<b>Deriv</b> at			F F	Person  Form filed by More than One Reporting Person  posed of, or Beneficially Owned			
Title of Security	2. Trans- action Date (Month/ Day/	2A. Deemed Execution Date, if any	3. Transaction Code (Instr. 8	<b> -</b>	4. Securities Acquire (A) or Disposed of (I (Instr. 3, 4 & 5)			5. Amount of Securities Beneficially Owned Follow-	_	6. Owner-	7. Nature of Indirect Beneficial Ownership		
	Year)	(Month/Day/ Year)	Code	V	Amount	(A) or (D)	Price	ing Reported Transactions(s) (Instr. 3 & 4)		(Instr. 4)	(Ilisti. +)		
Common Stock	02/03/03		<b>A</b> (1)		2,270	A				D			
Common Stock	02/03/03		<b>A</b> (4)		12,000	A			18,350	D			
Common Stock									140	$\overline{\mathbf{I}_{(6)}}$	Wife, Diane B. Renken		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

			0/1 /			<u> </u>					
1. Title of	2. Conver-	3. Trans-	3A.	4.	5. Number	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Natı
Derivative	sion or	action	Deemed	Trans-	of	and Expiration	Amount of	Derivative	Derivative	Owner-	of Indir

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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,	Price of Derivative Security	(Month/ Day/ Year)	if any (Month/ Day/ Year)	Code (Instr.	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5)		Date (Month/Day/ Year)					Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Form	Benefic Owners (Instr. 4
				Code V	(A)		Date Exer-cisable	Expira- tion Date		Amount or Number of Shares			(I) (Instr. 4)	
Option (right to buy) granted 2003	19.625	02/03/03		<b>A</b> (2)	30,000		02/03/04		Common Stock	30,000		30,000	D	
Restricted Stock Units		02/03/03		<b>A</b> (5)	8,000(5)				Common Stock	8,000	(5)	8,000	D	

Explanation of Responses:

- (1) Represents shares earned by the reporting person under the formula-based performance share feature of the Corporation's Long-Term Incentive Plan (a Rule 16b-3 plan).
- (2) Reports the grant to the reporting person of option to purchase 30,000 shares of common stock under the Norfolk Southern Corporation Long-Term Incentive Plan (a Rule 16b-3 plan).
- (3) Reports cash payment to the reporting person of deferred stock units based on the Fair Market Value of the Common Stock on February 3, 2003
- (4) The reporting person was awarded 12,000 shares of restricted stock under the Long-Term Incentive Plan (a Rule 16b-3 plan) on February 3, 2003. These shares will be distributed to the reporting person on the earlier of expiration of the restriction period or February 3, 2006.
- (5) Reports the number of Restricted Stock Units, exempt under Section 16b(3), granted and credited to the account of the reporting person on February 3, 2003, under the terms of the Norfolk Southern Corporation Restricted Stock Unit Plan. These Units ultimately will be satisfied in cash, not in shares of common stock, upon expiration of the restricted period or on February 3, 2006, whichever is earlier.
- (6) Held by wife, Diane B. Renken. The inclusion of these shares in this report shall not be construed as an admission the reporting person is the beneficial owner of these shares.

By: /s/ Dezora M. Martin 02/04/03
via POA for Stephen P. Renken Date
\*\*Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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<sup>\*\*</sup>Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).