**NEIL STEVEN M** Form 4 October 26, 2006

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

**OMB APPROVAL** OMB

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response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

See Instruction

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person \* **NEIL STEVEN M** 

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

COOPER COMPANIES INC [COO] 3. Date of Earliest Transaction

(Month/Day/Year)

(Check all applicable)

THE COOPER COMPANIES.

(First)

10/24/2006

(Middle)

(Zip)

Director 10% Owner X\_ Officer (give title Other (specify

below)

below)

INC., 6140 STONERIDGE MALL **ROAD** 

(Street)

(State)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

VP & CFO

Applicable Line)

Filed(Month/Day/Year) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

PLEASANTON, CA 94588

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially (D) or Owned Indirect (I) **Following** (Instr. 4)

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Ownership (Instr. 4)

Reported (A) Transaction(s) or

(Instr. 3 and 4)

Common Stock

Code V Amount (D) Price

2,750 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: NEIL STEVEN M - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to buy)	\$ 57.95	10/24/2006		A	40,000	<u>(1)</u>	10/23/2011	Common Stock	40,000

# **Reporting Owners**

Reporting Owner Name / Address	Ketauonsinps					
	Director	10% Owner	Officer	Other		

NEIL STEVEN M THE COOPER COMPANIES, INC. 6140 STONERIDGE MALL ROAD PLEASANTON, CA 94588

VP & CFO

# **Signatures**

Steven M Neil 10/26/2006

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The option vests if the Company's stock price achieves certain targets within specified timeframes as follows: one-fourth shall vest if Fair Market Value of the Company's stock achieves \$64.90, but not earlier than 1/1/08; one-fourth shall vest if Fair Market Value of the

(1) Company's stock achieves \$69.54, but not earlier than 5/1/08; one-fourth shall vest if the Fair Market Value of the Company's stock achieves \$75.34 but not earlier than 5/1/09; and one-fourth shall vest if the Fair Market Value of the Company's stock achieves \$81.13 but not earlier than 5/1/10. All options not other wise vesting pursuant to the above timetable shall become exercisable on May 24, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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