PRATT JOSEPH M

Form 5

February 14, 2019

03/15/2018

06/14/2018

Stock

Stock

Common

Â

Â

 $P^{(1)}$

 $P^{(1)}$

2.089

2.238

\$ 29.67

\$ 28.84

334.0164

336.2544

I

I

OMB APPROVAL FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer PRATT JOSEPH M Symbol BAR HARBOR BANKSHARES (Check all applicable) [BHB] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director 10% Owner _ Officer (give title Other (specify (Month/Day/Year) below) below) 12/31/2018 President, BHTS C/O BAR HARBOR BANKSHARES, P.O. BOX 400, **82 MAIN STREET** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) BAR HARBOR, MEÂ 04609 _X_ Form Filed by One Reporting Person Form Filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 1.Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired (A) 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned at Direct (D) Ownership end of or Indirect (Instr. 4) Issuer's (I) Fiscal Year (Instr. 4) (A) (Instr. 3 and Amount (D) Price By Common

401(k)

401(k)

Plan

Plan

By

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Common Stock	06/21/2018	Â	P <u>(1)</u>	0.0006	A	\$ 30.73	336.255	I	By 401(k) Plan
Common Stock	09/14/2018	Â	P(1)	2.348	A	\$ 29.01	338.603	I	By 401(k) Plan
Common Stock	12/17/2018	Â	P <u>(1)</u>	2.844	A	\$ 23.82	341.447	I	By 401(k) Plan
Common Stock	06/18/2018	Â	P(2)	15.192	A	\$ 29.8337	2,281.319	D	Â
Common Stock	09/14/2018	Â	P(2)	15.59	A	\$ 29.2667	2,296.909	D	Â
Common Stock	12/18/2018	Â	P(2)	19.572	A	\$ 23.4707	2,316.481	D	Â

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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SEC 2270

(9-02)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Tit	le and	8. Price of	9.
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration D	ate	Amou	unt of	Derivative	of
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Unde	rlying	Security	D
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	rities	(Instr. 5)	Se
	Derivative				Securities			(Instr	. 3 and 4)		В
	Security				Acquired						О
					(A) or						Eı
					Disposed						Is
					of (D)						Fi
					(Instr. 3,						(I:
					4, and 5)						
						Date	Expiration		Amount		
						Exercisable	Date	Title	Number of		
					(A) (D)				Shares		

Relationshins

Reporting Owners

Reminder: Report on a separate line for each class of

securities beneficially owned directly or indirectly.

Reporting Owner Name / Address	Kelationships						
	Director	10% Owner	Officer	Other			
PRATT JOSEPH M							
C/O BAR HARBOR BANKSHARES	â	â	President, BHTS	â			
P.O. BOX 400, 82 MAIN STREET	А	A	A Fiesidelli, Dhis	A			
BAR HARBOR, ME 04609							

Reporting Owners 2 Edgar Filing: PRATT JOSEPH M - Form 5

Signatures

/s/ David S. Cohen, as Attorney-in-Fact

02/14/2019

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired through dividend reinvestment in 401(k).
- (2) These shares were acquired through the executive's participation in dividend reinvestment programs.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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