ARRIETA JORGE Form 4

February 11, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB agas

OMB APPROVAL

Number: 3235-0287

Synings January 31,

Expires: 2005
Estimated average

burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or

Form 4 or
Form 5

obligations
may continue.

Filed pursuant to Section 16(a)
Section 17(a) of the Public Utility

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and A ARRIETA J	•	rting Person *	2. Issuer Name and Ticker or Trading Symbol SUNTRUST BANKS INC [STI]	5. Relationship of Reporting Person(s) to Issuer		
(Last)			3. Date of Earliest Transaction	(Check all applicable)		
303 PEACHTREE STREET			(Month/Day/Year) 02/08/2019	Director 10% OwnerX_ Officer (give title Other (specify below) CEVP & General Auditor		
(Street) ATLANTA, GA 30308			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zin)				

(City)	(State)	(Zip) Tab	le I - Non-	Derivative S	ecurit	ies Acqui	ired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities oner Dispose (Instr. 3, 4) Amount	d of (Ľ))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/08/2019		M	832.101	A	\$ 64.37 (1)	6,979.532	D	
Common Stock	02/08/2019		F	231	D	\$ 64.37 (1)	6,748.633	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Edgar Filing: ARRIETA JORGE - Form 4

$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of Derivative Acquired (Disposed of (Instr. 3, 4,	Securities A) or of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title an Underlyin (Instr. 3 at
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
Phantom Stock Units (3)	(3)	02/08/2019		M			02/09/2019(3)	02/09/2019(3)	Commo Stock
Phantom Stock Units (2)	(2)						<u>(2)</u>	<u>(2)</u>	Commo Stock
Phantom Stock Units (3)	(3)						02/14/2020(3)	09/21/2020(3)	Commo Stock
Phantom Stock Units (3)	(3)						02/14/2019(3)	02/14/2019(3)	Commo Stock
Phantom Stock Units (3)	(3)						12/31/2019(3)	12/31/2019(3)	Commo Stock
Phantom Stock Units (4)	<u>(4)</u>						02/13/2019	<u>(4)</u>	Commo Stock
Phantom Stock Units (4)	<u>(4)</u>						02/13/2020	<u>(4)</u>	Commo Stock
Phantom Stock Units (4)	<u>(4)</u>						02/13/2021	<u>(4)</u>	Commo Stock
Phantom Stock Units (5)	<u>(5)</u>	02/08/2019		A	641.378		02/08/2020	<u>(5)</u>	Commo Stock
Phantom Stock Units (5)	<u>(5)</u>	02/08/2019		A	641.378		02/08/2021	<u>(5)</u>	Commo Stock
Phantom Stock Units (5)	<u>(5)</u>	02/08/2019		A	641.378		02/08/2022	<u>(5)</u>	Commo Stock

Edgar Filing: ARRIETA JORGE - Form 4

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

ARRIETA JORGE

303 PEACHTREE STREET CEVP & General Auditor

ATLANTA, GA 30308

Signatures

Curt Phillips, Attorney-in-Fact for Jorge
Arrieta

02/11/2019

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the weighted average price. The shares were sold as part of a series of transactions on the same day at prices ranging from \$73.134 to \$73.135.
- (2) The phantom stock units were acquired under SunTrust Banks, Inc.'s Deferred Compensation Plan. These securities convert to common stock on a one-for-one basis.
- Represents time-vested restricted stock units under the 2009 Stock Plan. The Plan is exempt under Rule 16b-3. The restricted stock unit (3) award areements contain tax withholding features which allow us to withhold units to satisfy withholding obligations. Units will be settled in shares.
- Represents time-vested restricted stock units granted on February 13, 2018 under the SunTrust Banks, Inc. 2009 Stock Plan. The plan is exempt under Rule 16b-3. Units will be settled in shares. The award agreement contains tax withholding features which allow us to withhold units to satisfy tax withholding obligations.
- Represents time-vested restricted stock units granted under the SunTrust Banks, Inc. 2018 Omnibus Incentive Compensation Plan. The (5) plan is exempt under Rule 16b-3. Units will be settled in shares. The award agreement contains tax withholding features which allow us to withhold units to satisfy tax withholding obligations.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3